PSI Zuoz Summerschool on Particle Physics Zuoz, 19-25 August 2012

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Flavor Physics 1/91

### Plan of Lectures

#### 1. Lecture1

- (a) What is flavor physics?
- (b) Why is it interesting?
- (c) Flavor in the Standard Model
- (d) The SM flavor puzzle
- (e) Lessons from the B-factories

#### 2. Lecture2

- (a) The NP flavor puzzle
- (b) Minimal Flavor Violation
- (c) Flavor models
- (d) Flavor@LHC

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# What is Flavor Physics?

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#### What is Flavor Physics?

### What are flavors?

Copies of the same gauge representation:

$$SU(3)_{\rm C} \times U(1)_{\rm EM}$$

Up-type quarks 
$$(3)_{+2/3}$$
  $u, c, t$ 

Down-type quarks 
$$(3)_{-1/3}$$
  $d, s, b$ 

Charged leptons 
$$(1)_{-1}$$
  $e, \mu, \tau$ 

Neutrinos 
$$(1)_0 \quad \nu_1, \nu_2, \nu_3$$

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#### What is Flavor Physics?

### What are flavors?

### In the interaction basis:

$$SU(3)_{\rm C} \times SU(2)_{\rm L} \times U(1)_{\rm Y}$$

Quark doublets

 $(3,2)_{+1/6}$   $Q_{Li}$ 

Up-type quark singlets  $(3,1)_{+2/3}$   $U_{Ri}$ 

Down-type quark singlets

 $(3,1)_{-1/3}$   $D_{Ri}$ 

Lepton doublets

 $(1,2)_{-1/2}$   $L_{Li}$ 

Charged lepton singlets  $(1,1)_{-1}$   $E_{Ri}$ 

### In QCD:

$$SU(3)_{\rm C}$$

Quarks (3) u, d, s, c, b, t

## What is flavor physics?

- Interactions that distinguish among the generations:
  - Neither strong nor electromagnetic interactions
  - Within the SM: Only weak and Yukawa interactions
- In the interaction basis:
  - The weak interactions are also flavor-universal
  - The source of all SM flavor physics: Yukawa interactions among the gauge interaction eigenstates
- Flavor parameters:
  - Parameters with flavor index  $(m_i, V_{ij})$

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## More flavor dictionary

- Flavor universal:
  - Coupling/paremeters  $\propto \mathbf{1}_{ij}$  in flavor space
  - Example: strong interactions  $\overline{U_R}G^{\mu a}\lambda^a\gamma_\mu\mathbf{1}U_R$
- Flavor diagonal:
  - Coupling/paremeters that are diagonal in flavor space
  - Example: Yukawa interactions in mass basis  $\overline{U_L} \lambda_u U_R H$ ,  $\lambda_u = \text{diag}(y_u, y_c, y_t)$

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#### What is Flavor Physics?

### And more flavor dictionary

- Flavor changing:
  - Initial flavor number  $\neq$  final flavor number
  - Flavor number = # particles # antiparticles
  - $-B \to \psi K \quad (\bar{b} \to \bar{c}c\bar{s}); K^- \to \mu^- \overline{\nu_2} \quad (s\bar{u} \to \mu^- \overline{\nu_2})$
- Flavor changing neutral current processes:
  - Flavor changing processes that involve either U or D but not both and/or either  $\ell^-$  or  $\nu$  but not both
  - $-\mu \to e\gamma; K \to \pi\nu\bar{\nu} \ (s \to d\nu\bar{\nu}); D^0 \overline{D}^0 \text{ mixing } (c\bar{u} \to u\bar{c})...$
  - FCNC are highly suppressed in the SM

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### The Flavor Factories

- B-factories: Belle and BaBar Asymmetric  $e^+ - e^-$  colliders producing  $\Upsilon(4S) \to B\bar{B}$
- Tevatron: CDF and D0  $p \bar{p}$  colliders at 2 TeV  $(B_s...)$
- MEG:  $\mu \rightarrow e \gamma$
- LHC: LHCb, ATLAS, CMS
- Future: NA62, Super-B, LHCb-upgrade...

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# Why is Flavor Physics Interesting?

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## Why is flavor physics interesting?

- Flavor physics is sensitive to new physics at  $\Lambda_{\rm NP} \gg E_{\rm experiment}$
- The Standard Model flavor puzzle:
  Why are the flavor parameters small and hierarchical?
  (Why) are the neutrino flavor parameters different?
- The New Physics flavor puzzle:

  If there is NP at the TeV scale, why are FCNC so small?

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### A brief history of FV

- $\Gamma(K \to \mu\mu) \ll \Gamma(K \to \mu\nu) \implies \text{Charm}$  [GIM, 1970]
- $\Delta m_K \implies m_c \sim 1.5~GeV$  [Gaillard-Lee, 1974]
- $\varepsilon_K \neq 0 \implies \text{Third generation}$  [KM, 1973]
- $\Delta m_B \implies m_t \gg m_W$  [Various, 1986]

### A recent example of flavor@GeV $\Longrightarrow$ SUSY@TeV:

•  $\Delta m_D + \Delta m_K \implies \Delta m_{\tilde q}/m_{\tilde q} \lesssim 0.04-0.1$  [Ciuchini et al, PLB 655, 162 (2007); Nir, JHEP 0705, 102 (2007); Blum et al, PRL 102, 211802 (2009)]

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### What is CP violation?

- Interactions that distinguish between particles and antiparticles (e.g.  $e_L^- \leftrightarrow e_R^+$ )
  - Neither strong nor electromagnetic interactions (Comment:  $\theta_{\text{QCD}}$  is irrelevant to our discussion)
  - Within the SM: Charged current weak interactions ( $\delta_{\rm KM}$ )
  - With NP: many new sources of CPV
  - Manifestations of CP violation:
    - $-\Gamma(B^0 \to \psi K_S) \neq \Gamma(\overline{B^0} \to \psi K_S)$
    - $-K_S, K_L \neq K_+, K_-$

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## Why is CPV interesting?

- Within the SM, a single CP violating parameter  $\eta$ : In addition, QCD = CP invariant ( $\theta_{\text{QCD}}$  irrelevant) Strong predictive power (correlations + zeros) Excellent tests of the flavor sector
- $\eta$  cannot explain the baryon asymmetry a puzzle: There must exist new sources of CPV Electroweak baryogenesis? (Testable at the LHC) Leptogenesis? (Window to  $\Lambda_{\text{seesaw}}$ )

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# A brief history of CPV

- 1964 2000
  - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}$ ;  $\Re(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$

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### A brief history of CPV

- $\bullet$  1964 2000
  - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}$ ;  $\Re(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$
- $\bullet$  2000 2012
  - $S_{\psi K_S} = +0.68 \pm 0.02$
  - $S_{\phi K_S} = +0.74 \pm 0.12$ ,  $S_{\eta' K_S} = +0.59 \pm 0.07$ ,  $S_{f_0 K_S} = +0.69 \pm 0.11$
  - $S_{K^+K^-K_S} = +0.68 \pm 0.10$
  - $S_{\pi^+\pi^-} = -0.65 \pm 0.07$ ,  $C_{\pi^+\pi^-} = -0.36 \pm 0.06$
  - $S_{\psi\pi^0} = -0.93 \pm 0.15, S_{D^+D^-} = -0.98 \pm 0.17,$  $S_{D^{*+}D^{*-}} = -0.77 \pm 0.10$
  - $A_{K^{\mp}\pi^{\pm}} = -0.087 \pm 0.008$
  - $A_{D_{+}K^{\pm}} = +0.19 \pm 0.03$

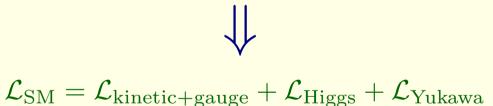
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# The Standard Model

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### The Standard Model

- $G_{\rm SM} = SU(3)_C \times SU(2)_L \times U(1)_Y$
- $\langle \phi(1,2)_{+1/2} \rangle \neq 0$  breaks  $G_{\rm SM} \to SU(3)_C \times U(1)_{EM}$
- Quarks:  $3 \times \{Q_L(3,2)_{+1/6} + U_R(3,1)_{+2/3} + D_R(3,1)_{-1/3}\}$ Leptons:  $3 \times \{L_L(1,2)_{-1/2} + E_R(1,1)_{-1}\}$



- $\mathcal{L}_{\text{SM}}$  depends on 18 parameters
- All have been measured

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### Flavor Symmetry

- $\mathcal{L}_{\text{kinetic+gauge}} + \mathcal{L}_{\text{Higgs}}$  has a large global symmetry:  $G_{\text{global}} = [U(3)]^5$
- $Q_L \to V_Q Q_L$ ,  $U_R \to V_U U_R$ ,  $D_R \to V_D D_R$ ,  $L_L \to V_L L_L$ ,  $E_R \to V_E E_R$
- Take, for example  $\mathcal{L}_{\text{kinetic+gauge}}$  for  $Q_L(3,2)_{+1/6}$ :  $i\overline{Q_L}_i(\partial_{\mu} + \frac{i}{2}g_sG^a_{\mu}\lambda^a + \frac{i}{2}g_sW^b_{\mu}\tau^b + \frac{i}{6}g'B_{\mu})\gamma^{\mu}\delta_{ij}Q_{Lj}$
- $\overline{Q_L} \mathbf{1} Q_L \rightarrow \overline{Q_L} V_Q^{\dagger} \mathbf{1} V_Q Q_L = \overline{Q_L} \mathbf{1} Q_L$
- Take, for example  $\mathcal{L}_{\text{kinetic+gauge}}$  for  $E_R(1,1)_{-1}$ :  $i\overline{E_R}_i(\partial_{\mu} ig'B_{\mu})\gamma^{\mu}\delta_{ij}E_{Rj}$
- $\overline{E_R} \mathbf{1} E_R \rightarrow \overline{E_R} V_E^{\dagger} \mathbf{1} V_E E_R = \overline{E_R} \mathbf{1} E_R$

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### **Quark Flavor Violation**

- $\mathcal{L}_{\text{Yukawa}}^q = \overline{Q_L}_i Y_{ij}^u \tilde{\phi} U_{Rj} + \overline{Q_L}_i Y_{ij}^d \phi D_{Rj}$ breaks  $U(3)_Q \times U(3)_U \times U(3)_D \to U(1)_B$
- Flavor physics: interactions that break the  $[SU(3)]^5$  symmetry



- $Q_L \to V_Q Q_L$ ,  $U_R \to V_U U_R$ ,  $D_R \to V_D D_R$ = Change of interaction basis
- $Y^d \to V_Q Y^d V_D^{\dagger}, \quad Y^u \to V_Q Y^u V_U^{\dagger}$
- Can be used to reduce the number of parameters in  $Y^u, Y^d$

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## Kobayashi and Maskawa (I)

### CP violation $\leftrightarrow$ Complex couplings:

- Hermiticity:  $\mathcal{L} \sim g_{ijk}\phi_i\phi_j\phi_k + g_{ijk}^*\phi_i^{\dagger}\phi_j^{\dagger}\phi_k^{\dagger}$
- CP transformation:  $\phi_i \phi_j \phi_k \leftrightarrow \phi_i^{\dagger} \phi_j^{\dagger} \phi_k^{\dagger}$
- CP is a good symmetry if  $g_{ijk} = g_{ijk}^*$

### The number of real and imaginary quark flavor parameters:

• With two generations:

$$2 \times (4_R + 4_I) - [3 \times (1_R + 3_I) - 1_I] = 5_R + 0_I$$

• With three generations:

$$2 \times (9_R + 9_I) - [3 \times (3_R + 6_I) - 1_I] = 9_R + 1_I$$

• The two generation SM is CP conserving The three generation SM is CP violating

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### The quark flavor parameters

• Convenient (but not unique) interaction basis:

$$Y^d \to V_Q Y^d V_D^{\dagger} = \lambda^d, \quad Y^u \to V_Q Y^u V_U^{\dagger} = V^{\dagger} \lambda^u$$

•  $\lambda^d, \lambda^u$  diagonal and real:

$$\lambda^d = \begin{pmatrix} y_d & & \\ & y_s & \\ & & y_b \end{pmatrix}; \quad \lambda^u = \begin{pmatrix} y_u & & \\ & y_c & \\ & & y_t \end{pmatrix}$$

• V unitary with 3 real  $(\lambda, A, \rho)$  and 1 imaginary  $(\eta)$  parameters:

$$V \simeq \begin{pmatrix} 1 & \lambda & A\lambda^3(\rho + i\eta) \\ -\lambda & 1 & A\lambda^2 \\ A\lambda^3(1 - \rho + i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

• Another convenient basis:  $Y^d \to V\lambda^d$ ,  $Y^u \to \lambda^u$ 

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### The mass basis

- To transform to the mass basis:  $D_L \to D_L$ ,  $U_L \to VU_L$
- $m_q = y_q \langle \phi \rangle$
- V = The CKM matrix

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \overline{U_L} V \gamma^{\mu} D_L W_{\mu}^+ + \text{h.c.}$$

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

•  $\eta$  - the only source of CP violation

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## Kobayashi and Maskawa (II)

### The achievements:

• Predicting the third generation

• Suggesting the correct mechanism of CP violation

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### Lepton Flavor Violation

- $\mathcal{L}_{\text{Yukawa}}^{\ell} = \overline{L_L}_i Y_{ij}^e \phi E_{Rj}$ breaks  $U(3)_L \times U(3)_E \to U(1)_e \times U(1)_\mu \times U(1)_\tau$
- Flavor physics: interactions that break the  $[SU(3)]^5$  symmetry



- $L_L \to V_L L_L$ ,  $E_R \to V_E E_R$ = Change of interaction basis
- $\bullet \ Y^e \to V_L Y^e V_E^\dagger$
- Can be used to make  $Y^e \to \lambda_e = \text{diag}(Y_e, Y_\mu, Y_\tau)$ No lepton flavor changing interactions within the SM

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## Intermediate Summary I

- Within the Standard Model
  - The W-mediated quark interactions the only source of FC and CPV physics:  $\mathcal{L}_W = \frac{g}{\sqrt{2}} \overline{U_L} V \gamma^{\mu} D_L W_{\mu}^+ + \text{h.c.}$
  - All flavor changing processes depend on 4 CKM parameters:  $\lambda, A, \rho, \eta$
  - All CP violating processes depend on the single KM phase:  $\eta$

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# The SM Flavor Puzzle

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### Smallness and Hierarchy

$$Y_t \sim 1, \quad Y_c \sim 10^{-2}, \quad Y_u \sim 10^{-5}$$
 $Y_b \sim 10^{-2}, \quad Y_s \sim 10^{-3}, \quad Y_d \sim 10^{-4}$ 
 $Y_\tau \sim 10^{-2}, \quad Y_\mu \sim 10^{-3}, \quad Y_e \sim 10^{-6}$ 
 $|V_{us}| \sim 0.2, \quad |V_{cb}| \sim 0.04, \quad |V_{ub}| \sim 0.004, \quad \delta_{\rm KM} \sim 1$ 

- For comparison:  $g_s \sim 1$ ,  $g \sim 0.6$ ,  $g' \sim 0.3$ ,  $\lambda \sim 1$
- The SM flavor parameters have structure: smallness and hierarchy
- Why? = The SM flavor puzzle
  - Approximate symmetry? [Froggatt-Nielsen]
  - Strong dynamics? [Nelson-Strassler]
  - Location in extra dimension? [Arkani-Hamed-Schmaltz]

- ?

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#### The SM flavor puzzle

## Neutrino flavor parameters

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu 3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$

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#### The SM flavor puzzle

### Neutrino flavor parameters

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu 3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$

#### • Note:

- $|U_{\mu 3}| > \text{any } |V_{ij}|; |U_{e2}| > \text{any } |V_{ij}| \quad (i \neq j)$
- $m_2/m_3 > \text{any } m_i/m_j \text{ for charged fermions}$
- $|U_{e3}| \not \ll 1$
- So far, neither smallness nor hierarchy
- Is neutrino flavor different from charged fermion flavor?

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### Structure is in the eye of the beholder

$$|U|_{3\sigma} = \begin{pmatrix} 0.79 - 0.86 & 0.50 - 0.61 & 0.1 - 0.2 \\ 0.25 - 0.53 & 0.47 - 0.73 & 0.56 - 0.79 \\ 0.21 - 0.51 & 0.42 - 0.69 & 0.61 - 0.83 \end{pmatrix}$$

• Tribimaximal-ists:

$$|U|_{\text{TBM}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0\\ \sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2}\\ \sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

• Anarch-ists:

$$|U|_{\text{anarchy}} = \begin{pmatrix} \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \end{pmatrix}$$

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#### The SM Flavor Puzzle

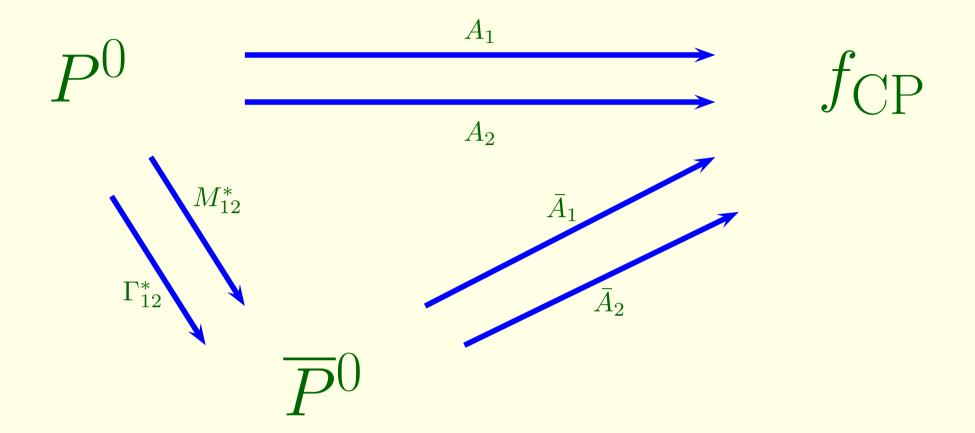
## Intermediate Summary II

- Why is there smallness and hierarchy in the flavor parameters?
- Is there a relation Dirac/Majorana  $\Leftrightarrow$  hierarchy/anarchy? Is there a relation Dirac/Majorana  $\Leftrightarrow$  Abelian/non-Abelian?

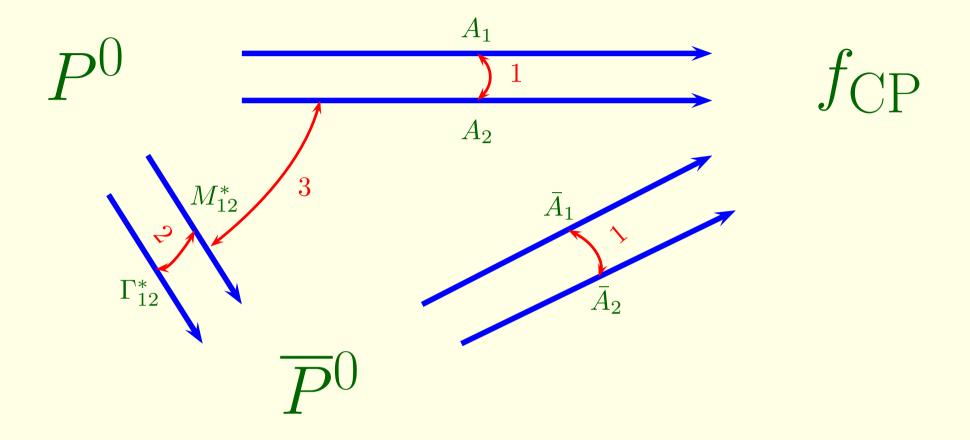
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# What have we learned?

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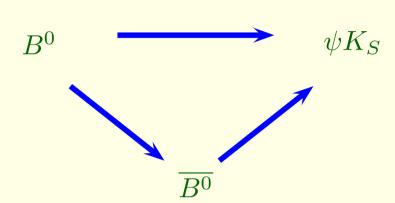


1 Decay 
$$|\bar{A}/A| \neq 1$$
  $\frac{\bar{A}}{A} = \frac{\bar{A}_1 + \bar{A}_2}{A_1 + A_2}$   $\mathcal{A}_{K^{\mp}\pi^{\pm}}$   $P^{\pm} \to f^{\pm}$ 
2 Mixing  $|q/p| \neq 1$   $\frac{q}{p} = \frac{2M_{12}^* - i\Gamma_{12}^*}{\Delta M - i\Delta \Gamma}$   $\mathcal{R}e \ \varepsilon$   $P^0, \overline{P}^0 \to \ell^{\pm} X$ 
3 Interference  $\mathcal{I}m\lambda \neq 0$   $\lambda = \frac{M_{12}^*}{|M_{12}|} \frac{\bar{A}}{A}$   $S_{\psi K_S}$   $P^0, \overline{P}^0 \to f_{\mathrm{CP}}$ 

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#### What have we learned?

# $S_{\psi K_S}$



- Babar/Belle:  $A_{\psi K_S}(t) = \frac{\frac{d\Gamma}{dt} [\overline{B_{\text{phys}}^0}(t) \to \psi K_S] \frac{d\Gamma}{dt} [B_{\text{phys}}^0(t) \to \psi K_S]}{\frac{d\Gamma}{dt} [\overline{B_{\text{phys}}^0}(t) \to \psi K_S] + \frac{d\Gamma}{dt} [B_{\text{phys}}^0(t) \to \psi K_S]}$
- Theory:  $A_{\psi K_S}(t)$  dominated by interference between  $A(B^0 \to \psi K_S)$  and  $A(B^0 \to \overline{B^0} \to \psi K_S)$
- $\bullet \implies A_{\psi K_S}(t) = S_{\psi K_S} \sin(\Delta m_B t)$   $\implies S_{\psi K_S} = \mathcal{I}m \left[ \frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$

### $S_{\psi K_S}$ in the SM

• 
$$S_{\psi K_S} = \mathcal{I}m \left[ \frac{V_{tb}^* V_{td}}{V_{tb} V_{td}^*} \frac{V_{cb} V_{cd}^*}{V_{cb}^* V_{cd}} \right] = \frac{2\eta (1-\rho)}{\eta^2 + (1-\rho)^2}$$

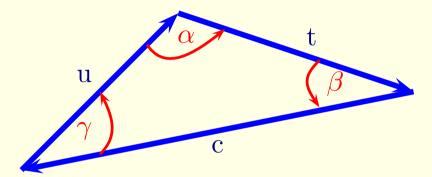
- In the language of the unitarity triangle:  $S_{\psi K_S} = \sin 2\beta$
- The approximations involved are better than one percent!
- Experiments:  $S_{\psi K_S} = 0.68 \pm 0.02$

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### The Unitarity Triangle

• A geometrical presentation of 
$$V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$$

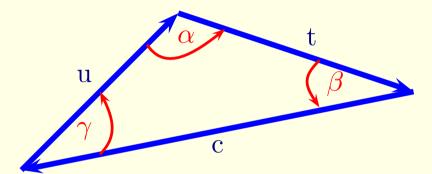
$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



### The Unitarity Triangle

• A geometrical presentation of  $V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$ 

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



• Rescale and rotate:  $A\lambda^3 [(\rho + i\eta) + (1 - \rho - i\eta) + (-1)] = 0$ 

$$V = \begin{pmatrix} 1 - \frac{\lambda^2}{2} & \lambda & A\lambda^3(\rho - i\eta) \\ -\lambda & 1 - \frac{\lambda^2}{2} & A\lambda^2 \\ A\lambda^3(1 - \rho - i\eta) & -A\lambda^2 & 1 \end{pmatrix} \begin{pmatrix} \bar{\rho}, \bar{\eta} \end{pmatrix}$$

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 $\alpha \equiv \phi_2; \quad \beta \equiv \phi_1; \quad \gamma \equiv \phi_3$ 

### Testing CKM – Take I

- Assume: CKM matrix is the only source of FV and CPV
- $\lambda$  known from  $K \to \pi \ell \nu$ A known from  $b \to c \ell \nu$
- Many observables are  $f(\rho, \eta)$ :

$$-b \rightarrow u\ell\nu \implies \propto |V_{ub}/V_{cb}|^2 \propto \rho^2 + \eta^2$$

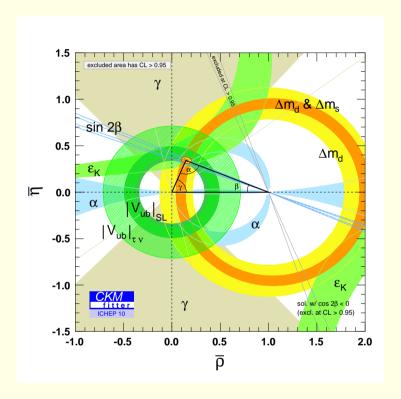
$$-\Delta m_{B_d}/\Delta m_{B_s} \implies \propto |V_{td}/V_{ts}|^2 \propto (1-\rho)^2 + \eta^2$$

$$-S_{\psi K_S} \implies \frac{2\eta(1-\rho)}{(1-\rho)^2+\eta^2}$$

- $-S_{\rho\rho}(\alpha)$
- $-\mathcal{A}_{DK}(\gamma)$
- $-\epsilon_K$

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### The B-factories Plot

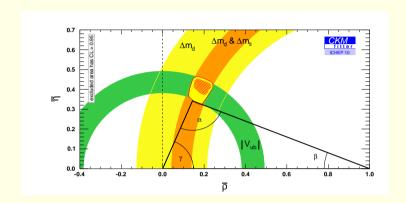


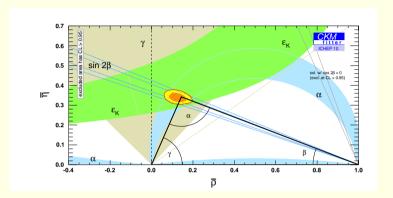
CKMFitter

Very likely, the CKM mechanism dominates FV and CPV

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### CPC vs. CPV





Very likely, the KM mechanism dominates CP violation

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## $S_{\psi K_S}$ with NP

- Reminder:  $S_{\psi K_S} = \mathcal{I}m \left[ \frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$
- New physics contributions to the tree level decay amplitude negligible
- New physics contributions to the loop + CKM suppressed mixing amplitude could be large
- Define  $h_d e^{2i\sigma_d} = \frac{A^{\text{NP}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$

$$r_d e^{2i\theta_d} = 1 + h_d e^{2i\sigma_d} = \frac{A^{\text{full}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$$

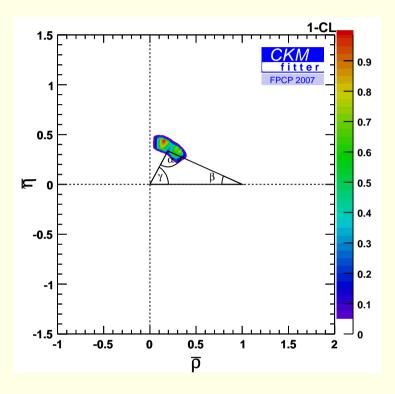
•  $S_{\psi K_S} = \sin[2(\beta + \theta_d)] = f(\rho, \eta, h_d, \sigma_d)$ 

### Testing CKM - take II

- Assume: New Physics in leading tree decays negligible
- Allow arbitrary new physics in loop processes
- Use only tree decays and  $B^0 \overline{B}^0$  mixing
- Use  $|V_{ub}/V_{cb}|$ ,  $\mathcal{A}_{DK}$ ,  $S_{\psi K}$ ,  $S_{\rho\rho}$ ,  $\Delta m_{B_d}$ ,  $\mathcal{A}_{\mathrm{SL}}^d$
- Fit to  $\eta$ ,  $\rho$ ,  $h_d$ ,  $\sigma_d$
- Find whether  $\eta = 0$  is allowed If not  $\Longrightarrow$  The KM mechanism is at work
- Find whether  $h_d \gg 1$  is allowed If not  $\Longrightarrow$  The KM mechanism is dominant

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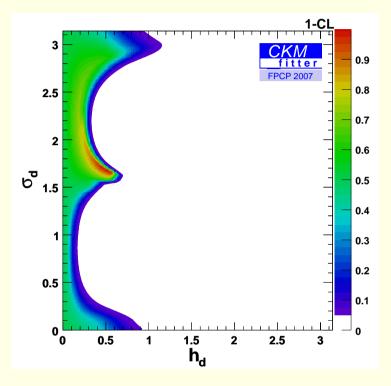
$$\eta \neq 0$$
?



• The KM mechanism is at work

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$$h_d \ll 1$$
?



- The KM mechanism dominates CP violation
- The CKM mechanism is a major player in flavor violation

Flavor Physics 42/91

### Hints of new physics?

• LHCb+CDF+...:  $\Delta A_{\rm CP}^c = (-0.66 \pm 0.15) \times 10^{-2}$ SM(?):  $\Delta A_{\rm CP}^c \lesssim 10^{-3}$ 

• D0: 
$$A_{\text{SL}}^b = (-7.9 \pm 1.7 \pm 0.9) \times 10^{-3}$$
  
SM:  $A_{\text{SL}}^b = (-0.23 \pm 0.06) \times 10^{-3}$ 

• CDF+D0: Forward-backward asymmetry in  $t\bar{t}$  production

Observable	Experiment	SM
$A_{ m FB}^t$	$0.18 \pm 0.04$	$\sim 0.08$
$A_{ m FB}^\ell$	$0.15 \pm 0.04$	$\sim 0.02$
$A_{\rm FB}^t(m_{t\bar{t}} > 450)$	$0.28 \pm 0.06$	0.10 - 0.15

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### Intermediate summary III

- The KM phase is different from zero (SM violates CP)
- The KM mechanism is the dominant source of the CP violation observed in meson decays
- Complete alternatives to the KM mechanism are excluded (Superweak, Approximate CP)
- CP violation in  $D, B_s$  may still hold surprises
- No evidence for corrections to CKM
- NP contributions to the observed FCNC are at most comparable to the CKM contributions
- NP contributions are very small in  $s \to d, c \to u, b \to d, b \to s$

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#### Models of Flavor Physics

#### Plan of Lectures

#### 1. Lecture1

- (a) What is flavor physics?
- (b) Why is it interesting?
- (c) Flavor in the Standard Model
- (d) The SM flavor puzzle
- (e) Lessons from the B-factories

#### 2. Lecture2

- (a) The NP flavor puzzle
- (b) Minimal Flavor Violation
- (c) Models of Flavor Physics
- (d) Flavor@LHC

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#### Models of Flavor Physics

# The NP Flavor Puzzle

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### The SM = Low energy effective theory

- 1. Gravity  $\Longrightarrow \Lambda_{\rm Planck} \sim 10^{19} \ GeV$
- 2.  $m_{\nu} \neq 0 \Longrightarrow \Lambda_{\text{Seesaw}} \leq 10^{15} \text{ GeV}$
- 3.  $m_H^2$ -fine tuning; Dark matter  $\Longrightarrow \Lambda_{\rm NP} \sim TeV$



- The SM = Low energy effective theory
- Must write non-renormalizable terms suppressed by  $\Lambda_{\rm NP}^{d-4}$
- $\mathcal{L}_{d=5} = \frac{y_{ij}^{\nu}}{\Lambda_{\text{seesaw}}} L_i L_j \phi \phi$
- $\mathcal{L}_{d=6}$  contains many flavor changing operators

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### New Physics

- The effects of new physics at a high energy scale  $\Lambda_{\rm NP}$  can be presented as higher dimension operators
- For example, we expect the following dimension-six operators:

$$\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_{\mu} s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_{\mu} u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_{\mu} b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_{\mu} b_L)^2$$

• New contribution to neutral meson mixing, e.g.

$$\frac{\Delta m_B}{m_B} \sim \frac{f_B^2}{3} \times \frac{|z_{bd}|}{\Lambda_{\rm NP}^2}$$

• Generic flavor structure  $\equiv z_{ij} \sim 1$  or, perhaps, loop – factor

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## Some data

$\Delta m_K/m_K$	$7.0 \times 10^{-15}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$
$\epsilon_K$	$2.3 \times 10^{-3}$
$A_{\Gamma}$	$\leq 0.2$
$S_{\psi K_S}$	$0.68 \pm 0.02$
$S_{\psi\phi}$	$\leq 1$

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### High Scale?

• For  $z_{ij} \sim 1$  (and  $\mathcal{I}m(z_{ij}) \sim 1$ ),  $\Lambda_{\rm NP} \gtrsim \frac{10^{-4}}{\sqrt{\Delta m/m}} \ TeV$ 

		$\Lambda_{ m NP} \gtrsim$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	1000 TeV
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$1000  \mathrm{TeV}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$400  \mathrm{TeV}$
$\Delta m_{B_s}/m_{B_s}$	$2.1\times10^{-12}$	70  TeV
$\epsilon_K$	$2.3 \times 10^{-3}$	$20000~{ m TeV}$
$A_{\Gamma}$	$\leq 0.004$	$3000~{\rm TeV}$
$S_{\psi K_S}$	$0.67 \pm 0.02$	$800  \mathrm{TeV}$
$S_{\psi\phi}$	$\leq 1$	$70  \mathrm{TeV}$

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## High Scale

- For  $z_{ij} \sim 1$ ,  $\Lambda_{\rm NP} \gg 1000 \ TeV$
- For  $z_{ij} \sim \alpha_2^2$ ,  $\Lambda_{\rm NP} \gg 100 \ TeV$

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### High Scale

- For  $z_{ij} \sim 1$ ,  $\Lambda_{\rm NP} \gg 1000~TeV$
- For  $z_{ij} \sim \alpha_2^2$ ,  $\Lambda_{\rm NP} \gg 100 \ TeV$



- Did we misinterpret the Higgs fine tuning problem?
- Did we misinterpret the dark matter puzzle?

Flavor Physics 51/91

### Small (hierachical?) flavor parameters?

• For  $\Lambda_{\rm NP} \sim 1~TeV,~z_{ij} \lesssim 10^8 (\Delta m_{ij}/m)$ 

		$z_{ij} \lesssim$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	$9 \times 10^{-7}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$6 \times 10^{-7}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$5 \times 10^{-6}$
$\Delta m_{B_s}/m_{B_s}$	$2.1\times10^{-12}$	$2\times10^{-4}$
		$\mathcal{I}m(z_{ij}) \lesssim$
$\epsilon_K$	$2.3 \times 10^{-3}$	$4 \times 10^{-9}$
$A_{\Gamma}$	$\leq 0.004$	$1 \times 10^{-7}$
$S_{\psi K_S}$	$0.67 \pm 0.02$	$1 \times 10^{-6}$
$S_{\psi\phi}$	< 1	$2 \times 10^{-4}$

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### Small (hierachical?) flavor parameters

• For  $\Lambda_{\rm NP} \sim TeV$ ,  $\mathcal{I}m(z_{sd}) < 6 \times 10^{-9}$ 

• For  $\Lambda_{\rm NP} \sim TeV$ ,  $|z_{bs}| < 2 \times 10^{-4}$ 

Flavor Physics 53/91

### Small (hierachical?) flavor parameters

- For  $\Lambda_{\rm NP} \sim TeV$ ,  $\mathcal{I}m(z_{sd}) < 6 \times 10^{-9}$
- For  $\Lambda_{\rm NP} \sim TeV$ ,  $|z_{bs}| < 2 \times 10^{-4}$



- The flavor structure of NP@TeV must be highly non-generic Degeneracies/Alignment
- How? Why? = The NP flavor puzzle

Flavor Physics 53/91

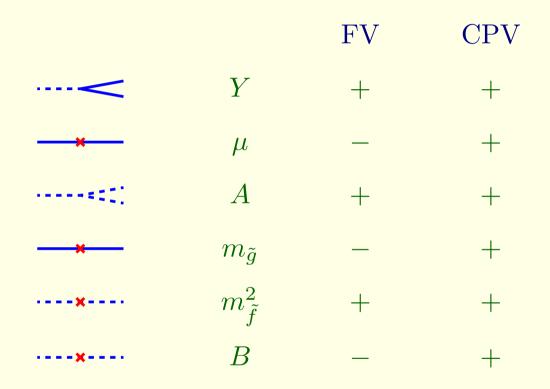
# How does the SM ( $\Lambda_{\rm SM} \sim m_W$ ) do it?

		$z_{ij} \sim$	$z_{ij}^{ m SM}$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	$5 \times 10^{-9}$	$\alpha_2^2 y_c^2  V_{cd} V_{cs} ^2$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$5 \times 10^{-9}$	Long Distance
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$7 \times 10^{-8}$	$\alpha_2^2 y_t^2  V_{td} V_{tb} ^2$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$	$2 \times 10^{-6}$	$\alpha_2^2 y_t^2  V_{ts} V_{tb} ^2$
		$rac{\mathcal{I}m(z_{ij})}{ z_{ij} }\sim$	$rac{\mathcal{I}m(z_{ij}^{ ext{SM}})}{ z_{ij}^{ ext{SM}} }$
$\epsilon_K$	$2.3 \times 10^{-3}$	O(0.01)	$\mathcal{I}m \frac{y_t^2 (V_{td}^* V_{ts})^2}{y_c^2 (V_{cd}^* V_{cs})^2} \sim 0.01$
$A_{\Gamma}$	$\leq 0.004$	$\leq 0.2$	0
$S_{\psi K_S}$	$0.67 \pm 0.02$	$\mathcal{O}(1)$	$\mathcal{I}m \frac{V_{tb}V_{td}^*}{V_{tb}^*V_{td}} \frac{V_{cb}^*V_{cd}}{V_{cb}V_{cd}^*} \sim 0.7$
$S_{\psi\phi}$	≤ 1	$\leq 1$	$\mathcal{I}m \frac{V_{tb}V_{ts}^*}{V_{tb}^*V_{ts}} \frac{V_{cb}^*V_{cs}}{V_{cb}V_{cs}^*} \sim 0.02$

• Does the new physics know the SM Yukawa structure? (MFV)

Flavor Physics 54/91

### Supersymmetry for Phenomenologists

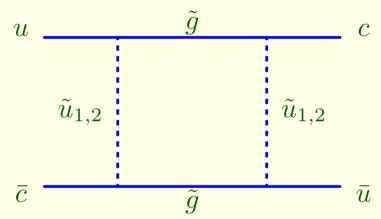


80 real + 44 imaginary parameters

Flavor Physics 55/91

# The $D^0 - \overline{D^0}$ mixing challenge

Take, for example, the contribution from the first two generations of squark doublets to  $D - \bar{D}$  mixing:



$$\begin{split} \Lambda_{\mathrm{NP}} &= m_{\tilde{Q}} \\ z_{cu} \sim 3.8 \times 10^{-5} \frac{(\Delta m_{\tilde{Q}}^2)^2}{m_{\tilde{Q}}^4} (K_{21}^{u_L} K_{11}^{u_L*})^2 \\ & \Longrightarrow \frac{TeV}{m_{\tilde{Q}}} \times \frac{\Delta m_{\tilde{Q}}^2}{m_{\tilde{Q}}^2} \times \sin 2\theta_u \leq 0.05 - 0.10 \end{split}$$

Flavor Physics 56/91

### How can Supersymmetry do it?

$$\frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1$$

Why? = The SUSY flavor puzzle

Flavor Physics 57/91

### How can Supersymmetry do it?

$$\left| \frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1 \right|$$

Why? = The SUSY flavor puzzle

#### • Solutions:

- Heaviness:  $\tilde{m} \gg 1 \ TeV$
- Degeneracy:  $\Delta \tilde{m}_{ij}^2 \ll \tilde{m}^2$
- Alignment:  $K_{ij} \ll 1$

- Split Supersymmetry
- Gauge-mediation
- Horizontal symmetries

### Gauge Mediated Supersymmetry Breaking

Gauge interactions generate universal soft squark and slepton masses:

- $\bullet \ \widetilde{M}_{\tilde{q}_L}^2 = \tilde{m}^2 \mathbf{1} + D_{q_L} \mathbf{1} + v_q^2 Y_q Y_q^{\dagger}$
- RGE:  $\tilde{m}_{\tilde{Q}_L}^2(m_Z) = \tilde{m}^2(r_3 \mathbf{1} + c_u Y_u Y_u^{\dagger} + c_d Y_d Y_d^{\dagger})$
- Strong  $[\mathcal{O}(10^{-4})]$  degeneracy between  $\tilde{Q}_{L1} \tilde{Q}_{L2}$ ; CKM-size alignment
- The only source of flavor violation = The SM Yukawa couplings
- An example of minimal flavor violation (MFV)
- MFV solves all SUSY flavor problems

Flavor Physics 58/91

### Intermediate Summary IV

- How does new physics at TeV suppress its flavor violation?
- Degeneracy? Alignment?
- Is the flavor structure of the NP related to the SM Yukawa structure?
- Are the solutions of the NP and SM flavor puzzles related?

Flavor Physics 59/91

#### **Models of Flavor Physics**

# Minimal Flavor Violation

Flavor Physics 60/91

#### **Minimal Flavor Violation**

### **Spurions**

- $\mathcal{L}_{\text{gauge}}^{\text{SM}}$  has a global symmetry,  $G_{\text{flavor}}^q = SU(3)_Q \times SU(3)_U \times SU(3)_D$ , under which  $Q_L(3,1,1),\ U_R(1,3,1),\ D_R(1,1,3)$
- $\mathcal{L}_{\text{Yukawa}}^q = \overline{Q_L}_i Y_{ij}^u \tilde{\phi} U_{Rj} + \overline{Q_L}_i Y_{ij}^d \phi D_{Rj}$  breaks  $G_{\text{flavor}}^q$
- $G_{\text{flavor}}^q$  would be a good symmetry if  $Y^q$  were fields transforming as  $Y^u(3, \bar{3}, 1), Y^d(3, 1, \bar{3})$
- We say that  $Y^u, Y^d$  are spurions that break  $G^q_{\text{flavor}}$

Flavor Physics 61/91

### MFV: Definition

A class of models that obey the following principle:

- The only breaking of flavor universality comes from  $Y_u, Y_d (\lambda_d, \lambda_u, V)$
- The only spurions that break  $SU(3)_Q \times SU(3)_U \times SU(3)_D$  are  $Y_u(3, \bar{3}, 1)$  and  $Y_d(3, 1, \bar{3})$

In MFV models, the NP flavor puzzle is solved

Flavor Physics 62/91

### Operationally...

1. SM = Low energy effective theory:

All higher dimensional operators, constructed from SM fields and the  $Y_q$ -spurions are formally invariant under  $[SU(3)]^3$ 

2. A new high energy physics theory:

All operators, constructed from SM and NP fields and the  $Y_q$ -spurions are formally invariant under  $[SU(3)]^3$  Example: Gauge mediated supersymmetry breaking (GMSB)

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#### Minimal Flavor Violation (MFV)

### Example (1)

- Consider  $\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu d_L)^2$
- $\overline{s_L} \in (\overline{3}, 1, 1), \quad d_L \in (3, 1, 1) \implies (\overline{s_L} \gamma_\mu d_L) \in (8, 1, 1)$
- $Y_d Y_d^{\dagger} = (3, 1, \bar{3}) \times (\bar{3}, 1, 3) \supset (8, 1, 1)$  $Y_u Y_u^{\dagger} = (3, \bar{3}, 1) \times (\bar{3}, 3, 1) \supset (8, 1, 1)$
- But we are in the down mass basis:  $Y_d = \lambda_d \Longrightarrow (Y_d Y_d^{\dagger})_{12} = 0$
- Must be  $(Y_u Y_u^{\dagger})_{12} = (V^{\dagger} \lambda_u^2 V)_{12} \approx y_t^2 V_{td}^* V_{ts}$
- $z_{sd} \propto y_t^4 (V_{td}^* V_{ts})^2$
- $z_{cu} \propto y_b^4 (V_{ub} V_{cb}^*)^2$   $z_{bd} \propto y_t^4 (V_{td}^* V_{tb})^2$  $z_{bs} \propto y_t^4 (V_{ts}^* V_{tb})^2$
- With the help of a loop factor, phenomenologically OK!

Flavor Physics

#### Minimal Flavor Violation (MFV)

### Example (2)

- $\tilde{Q}_L^{\dagger} \tilde{Q}_L = (\bar{3}, 1, 1) \times (3, 1, 1) = (1 + 8, 1, 1)$
- $\Longrightarrow m_{\tilde{Q}_L}^2 = \mathbf{1} + a_u Y_u Y_u^{\dagger} + a_d Y_d Y_d^{\dagger}$  $Y_d Y_d^{\dagger} - \text{FC in u-basis}; \ Y_u Y_u^{\dagger} - \text{FC in d-basis}$
- $\tilde{U}_R^{\dagger} \tilde{U}_R = (1, \bar{3}, 1) \times (1, 3, 1) = (1, 1 + 8, 1)$
- $\Longrightarrow m_{\tilde{U}_R}^2 = \mathbf{1} + b_u Y_u^{\dagger} Y_u \text{no FC!}$
- $\tilde{D}_R^{\dagger} \tilde{D}_R = (1, 1, \bar{3}) \times (1, 1, 3) = (1, 1, 1 + 8)$
- $\Longrightarrow m_{\tilde{D}_R}^2 = \mathbf{1} + b_d Y_d^{\dagger} Y_d \text{no FC!}$

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#### Minimal Flavor Violation (MFV)

# Example $(2 \rightarrow 1)$

GMSB, two generations:

• 
$$\frac{\Delta m_{\tilde{d}_L}^2}{m_{\tilde{d}_L}^2} \sim y_c^2$$
,  $K_{21}^{d_L^*} K_{11}^{d_L} = V_{cd}^* V_{cs}$   
 $\implies z_{sd}^{\text{GMSB}} \sim y_c^4 (V_{cd}^* V_{cs})^2$ 

• 
$$\frac{\Delta m_{\tilde{u}_L}^2}{m_{\tilde{u}_L}^2} \sim y_c^2$$
,  $K_{21}^{u_L^*} K_{11}^{u_L} = \frac{y_s^2}{y_c^2} V_{us} V_{cs}^*$   
 $\implies z_{cu}^{\text{GMSB}} \sim y_s^4 (V_{us}^* V_{cs})^2$ 

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#### Minimal Flavor Violation (MFV)

#### MFV contributions to CPV

• Deviations from SM:

		$y_b \sim 1$			$y_b \ll 1$	
i	$S_{\psi\phi}$	$S_{\psi K}$	$\epsilon_K$	$S_{\psi\phi}$	$S_{\psi K}$	$\epsilon_K$
		small				
$^{2,3}$	large	large	$\operatorname{small}$	large	large	$\operatorname{small}$
4,5	large	$\operatorname{small}$	large	small	$\operatorname{small}$	large

• MFV will be excluded if

•  $S_{\psi K}$ -large and  $S_{\psi \phi}$ -small

•  $S_{\psi K}, S_{\psi \phi}, \epsilon_K$  all large

Flavor Physics 67/91

#### $V_{\text{CKM}}$ , with apologies to BABAR and BELLE

• The CKM matrix a-la BABAR/BELLE:

$$V_{\text{CKM}} = \begin{pmatrix} 0.97383 \pm 0.00024 & 0.2272 \pm 0.0010 & (3.96 \pm 0.09) \times 10^{-3} \\ 0.2271 \pm 0.0010 & 0.97296 \pm 0.00024 & (4.221^{+0.010}_{-0.080}) \times 10^{-2} \\ (8.14^{+0.32}_{-0.64}) \times 10^{-3} & (4.161^{+0.012}_{-0.078}) \times 10^{-2} & 0.999100^{+0.000034}_{-0.0000004} \end{pmatrix}$$

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#### $V_{\rm CKM}$ , with apologies to BABAR and BELLE

• The CKM matrix a-la BABAR/BELLE:

$$V_{\text{CKM}} = \begin{pmatrix} 0.97383 \pm 0.00024 & 0.2272 \pm 0.0010 & (3.96 \pm 0.09) \times 10^{-3} \\ 0.2271 \pm 0.0010 & 0.97296 \pm 0.00024 & (4.221^{+0.010}_{-0.080}) \times 10^{-2} \\ (8.14^{+0.32}_{-0.64}) \times 10^{-3} & (4.161^{+0.012}_{-0.078}) \times 10^{-2} & 0.999100^{+0.000034}_{-0.0000004} \end{pmatrix}$$

$$8.14^{+0.32}_{-0.64}) \times 10^{-3} \quad (4.161^{+0.012}_{-0.078}) \times 10^{-2} \quad 0.999100^{+0.000034}_{-0.000004}$$

• The CKM matrix a-la ATLAS/CMS:

$$V_{\text{CKM}} = \begin{pmatrix} 1 & 0.2 & 0 \\ -0.2 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Flavor Physics 68/91

# MFV predictions: Mixing

• The only source of mixing – the CKM matrix:

$$V_{\text{CKM}}^{\text{LHC}} = \begin{pmatrix} 1 & 0.2 & 0 \\ -0.2 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

New particles will decay to either 3rd generation or non-3rd generation quarks but not to both

- ATLAS/CMS can exclude MFV by observing  $Br(q_3) \sim Br(q_{1,2})$
- Examples of new particles: Vector-like quarks; squarks...

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#### Minimal Flavor Violation (MFV)

### MFV + SUSY

- Squarks:
  - Spectrum: 2+1
  - Decays:  $2 \to u, d, s, c, 1 \to t, b$
- Sleptons,  $\Lambda_{\text{seesaw}} > \Lambda_{\text{mediation}}$ :
  - spectrum: 3
  - Decays: flavor diagonal
- Sleptons,  $\Lambda_{\text{seesaw}} < \Lambda_{\text{mediation}}$ :
  - $-Y_N$ ,  $M_R$  may leave a footprint on the slepton spectrum and flavor decomposition

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### Intermediate summary V: MFV

A class of NP models where...

- The only violation of the global  $[SU(3)]_q^3$  symmetry = The Yukawa-spurions:  $Y_u(3, \bar{3}, 1), Y_d(3, 1, \bar{3})$
- 'Solution' to the NP flavor puzzle
- Examples: Gauge-, anomaly-, gaugino-mediated susy breaking
- Probably, only an approximation
- The NP is subject to an approximate  $[SU(2)]^3$  symmetry
- All FC processes  $\propto V_{\rm CKM}$
- Testable at flavor factories (LHCb) and at ATLAS/CMS
- Has nothing to say about the SM flavor puzzle

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#### Models of Flavor Physics

# Flavor Models

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### Reminder: The SM flavor puzzle

$$Y_t \sim 1, \quad Y_c \sim 10^{-2}, \quad Y_u \sim 10^{-5}$$
 $Y_b \sim 10^{-2}, \quad Y_s \sim 10^{-3}, \quad Y_d \sim 10^{-4}$ 
 $Y_\tau \sim 10^{-2}, \quad Y_\mu \sim 10^{-3}, \quad Y_e \sim 10^{-6}$ 
 $|V_{us}| \sim 0.2, \quad |V_{cb}| \sim 0.04, \quad |V_{ub}| \sim 0.004, \quad \delta_{\rm KM} \sim 1$ 

- For comparison:  $g_s \sim 1$ ,  $g \sim 0.6$ ,  $g' \sim 0.3$ ,  $\lambda \sim 1$
- The SM flavor parameters have structure: smallness and hierarchy
- Why? = The SM flavor puzzle
  - Approximate symmetry? [Froggatt-Nielsen]

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## The Froggatt-Nielsen (FN) mechanism

- Approximate "horizontal" symmetry (e.g.  $U(1)_H$ )
- Small breaking parameter  $\epsilon = \langle S_{-1} \rangle / \Lambda \ll 1$
- $\epsilon(-1)$  is a spurion that breaks  $U(1)_H$
- Selection rules:
  - $-Y_{ij}^d \sim \epsilon^{H(Q_i) + H(\bar{d}_j) + H(\phi_d)}$
  - $-Y_{ij}^u \sim \epsilon^{H(Q_i) + H(\bar{u}_j) + H(\phi_u)}$
  - $-Y_{ij}^{\ell} \sim \epsilon^{H(L_i) + H(\bar{\ell}_j) + H(\phi_d)}$
  - $-Y_{ij}^{\nu} \sim \epsilon^{H(L_i) + H(L_j) + 2H(\phi_u)}$

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#### Flavor models

### The FN mechanism: An example

•  $H(Q_i) = 3, 2, 0, \quad H(\bar{u}_j) = 4, 1, 0, \quad H(\phi_u) = 0$ 

$$Y^u \sim \left( \begin{array}{ccc} \epsilon^7 & \epsilon^4 & \epsilon^3 \\ \epsilon^6 & \epsilon^3 & \epsilon^2 \\ \epsilon^4 & \epsilon & 1 \end{array} \right)$$

- $Y_t: Y_c: Y_u \sim 1: \epsilon^3: \epsilon^7$
- $(V_L^u)_{12} \sim \epsilon$ ,  $(V_L^u)_{23} \sim \epsilon^2$ ,  $(V_L^u)_{13} \sim \epsilon^3$
- A good fit with  $|\epsilon| \sim 0.2$

Flavor Physics

#### The FN mechanism: another example

- $U(1)_H$  broken by  $\epsilon(-1) \sim 0.05$
- $\mathbf{10}(2,1,0), \overline{\mathbf{5}}(0,0,0)$

$$V_{t}: Y_{c}: Y_{u} \sim 1: \epsilon^{2}: \epsilon^{4}$$

$$Y_{b}: Y_{s}: Y_{d} \sim 1: \epsilon: \epsilon^{2}$$

$$Y_{\tau}: Y_{\mu}: Y_{e} \sim 1: \epsilon: \epsilon^{2}$$

$$|V_{us}| \sim |V_{cb}| \sim \epsilon, \quad |V_{ub}| \sim \epsilon^{2}, \quad \delta_{\text{KM}} \sim 1$$

$$+$$

$$m_{3}: m_{2}: m_{1} \sim 1: 1: 1$$

$$|U_{e2}| \sim 1, \quad |U_{u3}| \sim 1, \quad |U_{e3}| \sim 1$$

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## The FN mechanism: Predictions (quarks)

- In the quark sector: 8 FN charges, 9 observables
- One prediction that is independent of charge assignments:

$$|V_{ub}| \sim |V_{us}V_{cb}|$$

Experimentally correct to within a factor of 2

• In addition, six inequalities:

$$|V_{us}| \gtrsim \frac{m_d}{m_s}, \frac{m_u}{m_c}; \quad |V_{ub}| \gtrsim \frac{m_d}{m_b}, \frac{m_u}{m_t}; \quad |V_{cb}| \gtrsim \frac{m_s}{m_b}, \frac{m_c}{m_t}$$
 Experimentally fulfilled

• When ordering the quarks by mass:

 $V_{CKM} \sim 1$  (diagonal terms not suppressed parameterically) Experimentally fulfilled

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## The FN mechanism: Predictions (leptons)

- In the lepton sector: 5 FN charges, 9 observables
- Four predictions that are independent of charge assignments:

$$| m_{\nu_i}/m_{\nu_j} \sim |U_{ij}|^2$$

$$|U_{e3}| \sim |U_{e2}U_{\mu 3}|$$

• In addition, three inequalities:

$$|U_{e2}| \gtrsim \frac{m_e}{m_{\mu}}; \quad |U_{e3}| \gtrsim \frac{m_e}{m_{\tau}}; \quad |U_{\mu 3}| \gtrsim \frac{m_{\mu}}{m_{\tau}}$$

• When ordering the leptons by mass:

$$U \sim 1$$

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#### Flavor models

#### Testing FN with Neutrinos

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu 3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$
- Attempting a FN explanation:
  - $s_{23} \sim 1$ ,  $m_2/m_3 \sim \epsilon^x$ ?

    Inconsistent with FN
  - $s_{23} \sim 1$ ,  $s_{12} \sim 1$ ,  $s_{13} \sim \epsilon^x$ ?

    Inconsistent with FN
  - $\sin^2 2\theta_{23} = 1 \epsilon^x$ ? Inconsistent with FN

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#### Flavor models

### Neutrino Mass Anarchy

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu 3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$
- Possible interpretation:
  - Neutrino parameters are all of O(1) (no structure): Neutrino mass anarchy
  - Consistent with FN
  - Close to GUT+FN predictions:

$$s_{23} \sim \frac{m_s/m_b}{|V_{cb}|} \sim 1; \quad s_{12} \sim \frac{m_d/m_s}{|V_{us}|} \sim 0.2; \quad s_{13} \sim \frac{m_d/m_b}{|V_{ub}|} \sim 0.5$$

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### The FN mechanism and supersymmetry

- Assume: SUSY breaking terms subject to FN selection rules
  - Sfermion masses are non-degenerate
     (except for RGE effects if mediation scale is high)
  - Alignment: gluino-quark-squark mixing angles are small
- Example:
  - $-H(Q_i) = 3, 2, 0, H(\bar{u}_i) = 4, 1, 0, H(\phi_u) = 0$
  - $-m_{\tilde{Q}_1}, m_{\tilde{Q}_2}, m_{\tilde{Q}_3} = \mathcal{O}(1) \times \tilde{m} \text{ (anarchy)}$
  - $\theta_{12}^{L} \sim \epsilon, \quad \theta_{23}^{L} \sim \epsilon^{2}, \quad \theta_{13}^{L} \sim \epsilon^{3}$  $\theta_{12}^{R} \sim \epsilon^{3}, \quad \theta_{23}^{R} \sim \epsilon, \quad \theta_{13}^{R} \sim \epsilon^{4}$
- General prediction:  $\theta_{ij}^L \sim |V_{ij}|, \quad \theta_{ij}^R \sim \frac{m_i/m_j}{|V_{ij}|}$
- Structure of susy flavor: related to, but not the same as, SM Yukawa

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#### Flavor models

### Intermediate Summary VI: FN

- The SM flavor puzzle can be explained by an approximate Abelian symmetry
- The NP flavor puzzle can be solved by the same mechanism (with a little help from RGE)
- The NP flavor parameters are related to, but not the same as, the SM flavor parameters
- If we discover new particles, and measure their spectrum and flavor decomposition, we can test various solutions to the flavor puzzles

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#### **Models of Flavor Physics**

# Flavor@ATLAS/CMS

Flavor Physics 83/91

#### The LHC

# Exploring the unknown

Energy 
$$0.6 \rightarrow 4 \text{ TeV}$$

Distance 
$$10^{-19} \to 10^{-20} \text{ m}$$

"Time" 
$$10^{-11} \to 10^{-13} \text{ s}$$

#### The LHC

# Questions for the LHC

- What is the mechanism of electroweak symmetry breaking?
- What separates the electroweak scale from the Planck scale?
- What happened at the electroweak phase transition  $(10^{-11} \text{ second after the big bang})$ ?
- What are the dark matter particles?
- How was the baryon asymmetry generated?
- What are the solutions of the flavor puzzles?

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# Experimentalists: Flavor at ATLAS/CMS???

• ATLAS/CMS are not optimized for flavor

Flavor Physics 86/91

#### The LHC

# Experimentalists: Flavor at ATLAS/CMS???

• ATLAS/CMS are not optimized for flavor

#### But...

- They can identify  $e, \mu, (\tau)$
- They can tell 3rd generation quarks (b, t) from light quarks

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#### The LHC

# Theorists: Flavor at ATLAS/CMS???

- The scale of flavor dynamics is unknown
- Very likely, it is well above the LHC direct reach

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# Theorists: Flavor at ATLAS/CMS???

- The scale of flavor dynamics is unknown
- Very likely, it is well above the LHC direct reach

#### But...

- If new particles that couple to the SM fermions are discovered
  - ⇒ New flavor parameters can be measured
  - Spectrum (degeneracies?)
  - Flavor decomposition (alignment?)
- In combination with flavor factories, we may...
  - Understand how the NP flavor puzzle is (not) solved  $\Longrightarrow$  Probe NP at  $\Lambda_{\rm NP} \gg TeV$
  - Get hints about the solution to the SM flavor puzzle

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### Solving the SUSY Flavor Puzzle

If ATLAS/CMS observe squarks and sleptons...

- Determine the sfermion mass scale  $(\tilde{m})$
- Determine the sfermion mass splitting  $(m_{\tilde{f}_j} m_{\tilde{f}_i})$
- Determine the sfermion flavor decomposition  $(K_{ij})$



Learn how the SUSY flavor suppression is obtained

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## The role of flavor factories (FF)

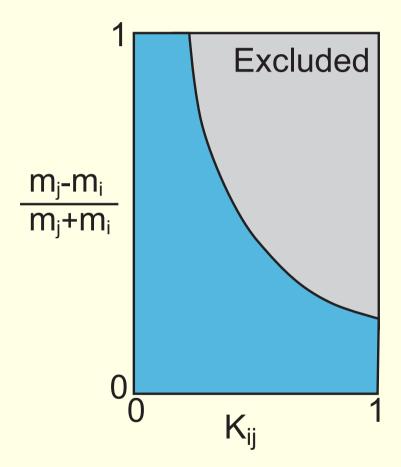
ATLAS/CMS and flavor factories give complementary information

- In the absence of NP at ATLAS/CMS: flavor factories will be crucial to find  $\Lambda_{\rm NP}$
- Consistency between ATLAS/CMS and FF: necessary to understand the NP flavor puzzle
- NP in  $c \to u$ ?  $s \to d$ ?  $b \to d$ ?  $b \to s$ ?  $t \to c$ ?  $t \to u$ ?  $\mu \to e$ ?  $\tau \to \mu$ ?  $\tau \to e$ ?
  - MFV?
  - Structure related to SM?
  - Structure unrelated to SM?
  - Anarchy?

[Hiller, Hochberg, Nir, JHEP0903(09)115; JHEP1003(10)079]]

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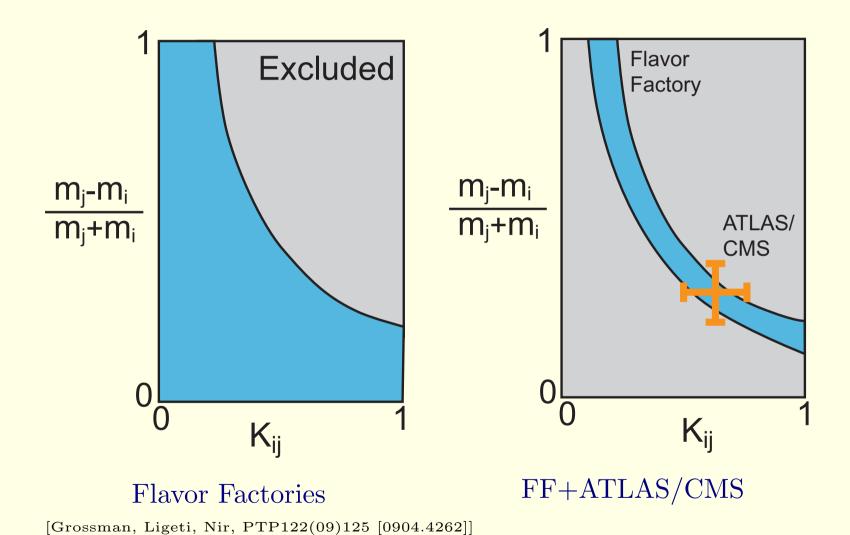
# Intermediate summary VII



Flavor Factories

Flavor Physics 90/91

### Intermediate summary VII



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#### Flavor models

# Summary

#### • Past:

- The CKM mechanism of flavor violation has passed successfully numerous experimental tests
- The KM mechanism was proven to dominate the observed
   CP violation

#### • Present:

- The SM flavor puzzle: Why smallness and hierarchy?
- The NP flavor puzzle: Why degeneracy and/or alignment?

#### • Future:

- Progress on NP flavor puzzle guaranteed
- Progress on SM flavor puzzle possible if there is accessible new physics with flavor structure related to the SM

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### The SM flavor puzzle with strong dynamics

- At high scale  $\mu > M_>$ , anarchy:  $Y(M_>) = \mathcal{O}(1)$
- A range of scales,  $M_> > \mu > M_<$ , where first two generations couple to a conformal sector:

$$Y(M <) = Y(M_{>}) \left(\frac{M_{<}}{M_{>}}\right)^{\frac{1}{2}(\gamma_{Li} + \gamma_{Rj})}$$
  
 $\gamma_{Mi}$  = the anomalous dimension of the field  $\Phi_{Mi}$ 

- Generates a small parameter  $\epsilon \equiv (M_{<}/M_{>})^{1/2}$
- $m_i/m_j \sim \epsilon^{\gamma_{Li}+\gamma_{Ri}-\gamma_{Lj}-\gamma_{Rj}}$  $|V_{ij}| \sim \epsilon^{\gamma_{Li}-\gamma_{Lj}}$
- For SM flavor parameters, predictions similar to FN

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### The NP flavor puzzle with strong dynamics

For the SUSY flavor problems, various options:

- Supersymmetry broken by the conformal sector
  - $-\tilde{m}_{1,2}$  directly from conformal sector
  - $-\tilde{m}_3$  from gauge mediation
  - $\Longrightarrow \text{Heavy first two sfermion generations: } \tilde{m}_{1,2} \gg \tilde{m}_3$
- Supersymmetry breaking at scale higher than  $M_{>}$ 
  - $-\tilde{m}_{1,2} \rightarrow 0$  at  $M_{\leq}$
  - $-\tilde{m}_{1,2}$  from RGE between  $M_{\leq} \rightarrow m_Z$
  - $\Longrightarrow \text{Degenerate first two sfermion generations: } \tilde{m}_1 \simeq \tilde{m}_2$

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### The SM flavor puzzle with extra dimension

- Anarchical 5d Yukawa couplings:  $Y_{ij}^{5d} = \mathcal{O}(1)$
- Higgs field located near the IR brane
- Wave functions of light fermions located near the UV brane
- Wave functions of heavy fermions located near the IR brane
- 4d Yukawa couplings proportional to overlap of Higgs and fermion wave functions:  $Y_{ij}^{4d} \propto f_{Li} f_{Rj}$  $f_{Mi}$  = wave function of  $\psi_{Mi}$  at the IR brane
- $m_i/m_j \sim \frac{f_{Li}f_{Ri}}{f_{Lj}f_{Rj}}$  $|V_{ij}| \sim f_{Li}/f_{Lj}$
- For SM flavor parameters, predictions similar to FN

Flavor Physics 94/91

### The NP flavor puzzle with extra dimension

- Main problem: Flavor changing couplings of the first KK level gluon
- However, its wave function located at the IR brane, similar to the Higgs field
- FC operators involving first two generations suppressed; e.g.  $(\overline{s_L}d_R)(\overline{s_R}d_L) \propto \frac{m_s m_d}{M_{KK}^2}$
- FC operators involving the top not strongly suppressed;  $e.g. \ \Gamma(t \to cZ)$  orders of magnitude above the SM prediction

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