

---

*PSI Summer School on Particle Physics*

# ***Bound States***

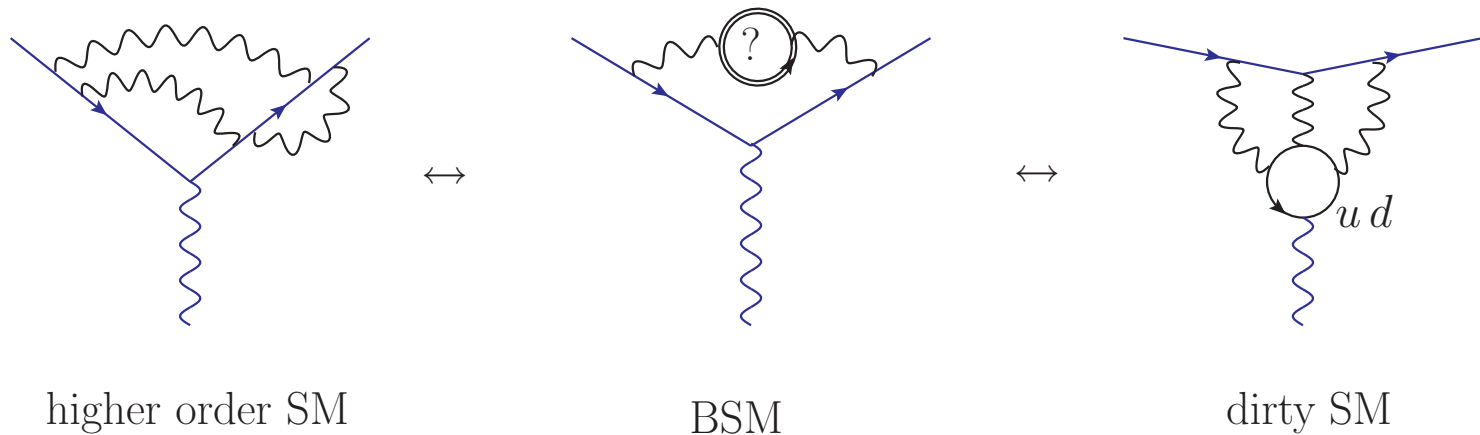
**Adrian Signer**

**Paul Scherrer Institut**

AUGUST 2012, ZUOZ

closing in on the SM  bound states

- usually we are testing the SM (or look for BSM) at as high energies as possible → ideally direct production of new particles
- alternatively consider virtual effects, potentially sensitive to much higher energies
- this requires the “right” observable: precise measurements and precise theory
- prime example:  $(g - 2)$

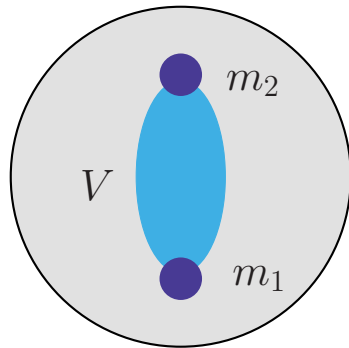


- in such tests we are looking for **small effects** !

- this lecture: the theory of (weakly) bound states
- motivation
  - better understanding of QFT
  - exploit potential of precise measurements to constrain/find BSM
- outlook Part I: theory (mainly Tue)
  - consider non-relativistic limit of QFT
  - explain fundamental principles of effective-theory approach
  - focus on SM part (BSM part is usually the easy bit)
  - health warning: some slides are rather technical
- outlook Part II: applications (mainly Fri)
  - heavy quark pair production near threshold
  - $m_Q$  from  $Q\bar{Q}$
  - decay ratios and HFS of  $Q\bar{Q}$
  - hydrogen vs. muonic hydrogen

possible systems include:

positronium	$e^+ e^-$	$m_1 = m_2$	standard
muonium	$\mu^\pm e^\mp$	$m_1 \gg m_2$	standard
charmonium ( $J/\psi, \eta_c$ )	$c \bar{c}$	$m_1 = m_2$	$\sim$ standard
bottomonium ( $\Upsilon, \eta_b$ )	$b \bar{b}$	$m_1 = m_2$	$\Upsilon$ standard, $\eta_b$ only just
$B_c$ meson	$b \bar{c}$	$m_1 \gg m_2$	scalar since 1998
hydrogen	$p e^-$	$m_1 \gg m_2$	standard
muonic hydrogen	$p \mu^-$	$m_1 \gg m_2$	standard
hydrogen-like	$N e^-$	$m_1 \gg m_2$	standard
antihydrogen	$\bar{p} e^+$	$m_1 \gg m_2$	since $\sim$ 1995
true muonium	$\mu^+ \mu^-$	$m_1 = m_2$	not (yet) produced
tauonium	$\tau^\pm e^\mp$	$m_1 \gg m_2$	not (yet) produced
true tauonium	$\tau^+ \tau^-$	$m_1 = m_2$	not (yet) produced
top	$t \bar{t}$	$m_1 = m_2$	never but nearly



- two point masses  $m_1$  and  $m_2$
- reduced mass  $m \equiv m_1 m_2 / (m_1 + m_2)$
- interacting through potential  $V(r) = -Z \alpha / r$

Schrödinger eq: 
$$\left( -\frac{\Delta}{2m} - \frac{Z \alpha}{r} \right) |n\rangle = E_n |n\rangle$$

Coulomb Green function: 
$$\left( -\frac{\Delta}{2m} - \frac{Z \alpha}{r} - E \right) G_c(\vec{r}, \vec{r}', E) = \delta^{(3)}(\vec{r} - \vec{r}')$$

$G_c(\vec{r}, \vec{r}', E)$  has poles for certain values on  $E = E_n = -\frac{(Z\alpha)^2 m}{2n^2} \implies$  bound states

spectral representation: 
$$G_c(\vec{r}, \vec{r}', E) = \underbrace{\sum_{n=1}^{\infty} \frac{\psi_n(r) \psi_n^*(r')}{E_n - E}}_{\text{bound states}} + \int \frac{d\vec{k}}{(2\pi)^3} \frac{\psi_k(r) \psi_k^*(r')}{k^2/m - E}$$

$G_c(\vec{r}, \vec{r}', E)$  and  $\psi_n(r) \equiv |n\rangle$  can be written in terms of Laguerre polynomials  $L_{n-l-1}^{2l+1}$

$$\psi_{nlm}(r) \equiv |n\rangle_{lm} = \sqrt{\frac{\rho^3 \Gamma(n-l)}{2n\Gamma(n+l+1)}} L_{n-l-1}^{2l+1}(\rho r) e^{-\rho r/2} (\rho r)^l Y_l^m(\theta, \phi)$$

$$\text{with } \rho \equiv \frac{2Z\alpha m}{n} = \frac{2}{a_0 n}$$

## scales of the problem

$$\langle n | \frac{Z\alpha}{r} | n \rangle = \frac{m(Z\alpha)^2}{n^2} = \frac{Z\alpha}{n^2 a_0}$$

Bohr radius

$$\langle n | \frac{p}{m} | n \rangle = \langle n | v | n \rangle = \frac{(Z\alpha)}{n^2}$$

note:  $v \ll 1$  for  $Z \ll \alpha \implies$  non-relativistic system !

$$\langle n | \frac{p^2}{m^2} | n \rangle = \langle n | v^2 | n \rangle = \frac{(Z\alpha)^2}{n^2}$$

note:  $\langle n | Z\alpha/r | n \rangle \not\ll \langle n | p^2/m | n \rangle$

$$\langle n | \frac{p^2}{2m} | n \rangle = \frac{m(Z\alpha)^2}{2n^2} \stackrel{!}{=} -E_n$$

scaling  $m \gg p \sim mv \gg E \sim mv^2$

- our implicit assumption that the system is non-relativistic is justified for  $(Z\alpha) \ll 1$
- there is a hierarchy of scales:

hard scale:  $m \sim 1$

soft scale:  $p \sim v \sim (Z\alpha) \ll 1$

ultrasoft scale:  $E = p^2/(2m) \sim v^2 \ll v$

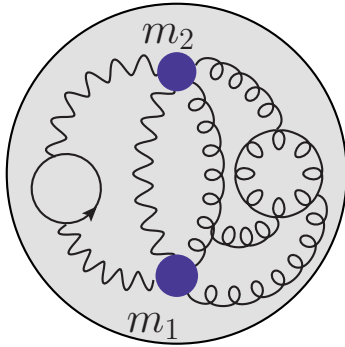
- we must not treat  $V(r) = -Z\alpha/r$  as perturbation, even though  $(Z\alpha) \ll 1$

starting with free Schrödinger equation and treating  $-Z\alpha/r$  as perturbation will never describe a bound state

- how to go on from here:

- recall: we will be looking at **high precision!**
- either: add further effects (fine structure, hyperfine structure, recoil effects, vacuum polarization . . .) to the potential (“**bottom up**”, not here)
- or: ask where does the potential come from and how is this connected to a quantum field theory (“**top down**”, our approach here)

⇒ forget everything you know about Quantum Mechanics (for a while)



- two point masses  $m_1$  and  $m_2$
- reduced mass  $m \equiv m_1 m_2 / (m_1 + m_2)$
- interacting through Lagrangian  $\mathcal{L}_{\text{QED}}$  and/or  $\mathcal{L}_{\text{QCD}}$

- a closed solution of this problem is of course hopeless
- even if we could solve this, it would not answer all questions, since e.g. proton is **not** a point mass.
- goal for for the moment:
  - ignore these **finite size effects**
  - ignore non-perturbative effects (QCD)
  - exploit hierarchy of scales  $v \ll 1$  and  $(Z\alpha) \ll 1$  to make QFT tractable



---

Part I

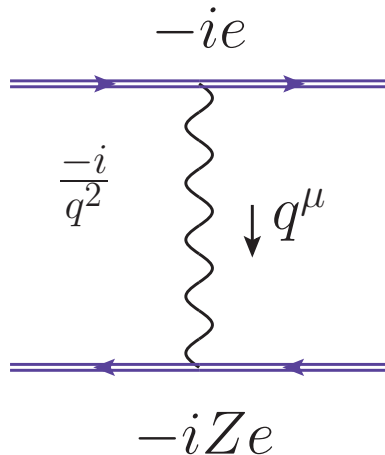
Theory

After a few slides, in a first step we will end up with

$$\begin{aligned}
 \mathcal{L}_{\text{QED}} &= -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \bar{\Psi}(i \not{D} - m)\Psi \\
 &\Downarrow \\
 \mathcal{L}_{\text{NRQED}} &= \psi^\dagger \left( iD^0 + \frac{\vec{D}^2}{2m} \right) \psi + \frac{1}{8m^3} \psi^\dagger \vec{D}^4 \psi - \frac{g c_F}{2m} \psi^\dagger \vec{\sigma} \cdot \vec{B} \psi \\
 &+ \frac{g c_D}{8m^2} \psi^\dagger \left[ \vec{D} \cdot \vec{E} - \vec{E} \cdot \vec{D} \right] \psi + \frac{ig c_S}{8m^2} \psi^\dagger \vec{\sigma} \cdot \left[ \vec{D} \times \vec{E} - \vec{E} \times \vec{D} \right] \psi \\
 &+ (\psi \leftrightarrow \chi) + \mathcal{L}_{\text{light}} \\
 &+ \frac{\alpha_s d_{ss}}{m^2} \psi^\dagger \psi \chi^\dagger \chi + \frac{\alpha_s d_{sv}}{m^2} \psi^\dagger \sigma^i \psi \chi^\dagger \sigma^i \chi \\
 &+ \dots \text{ calculable}
 \end{aligned}$$

- note: this is a strict QFT approach, in principle possible to include loops to any order
- $\mathcal{L}_{\text{NRQCD}}$  is an expansion of  $\mathcal{L}_{\text{QED}}$  in  $v$
- $\mathcal{L}_{\text{NRQCD}}$  gives as good a description of bound states as  $\mathcal{L}_{\text{QED}}$  but is much more convenient

naive first step



exchange of photon in momentum space:

$$i\tilde{V}(q) \sim \frac{(-ie)(-iZe)(-i)}{q_0^2 - \vec{q}^2} \rightarrow \frac{-iZe^2}{\vec{q}^2} + \mathcal{O}(q_0^2/q^2)$$

after Fourier transform:

$$V(r) \sim \frac{-Ze^2}{4\pi r} = -\frac{Z\alpha}{r}$$

- what happened to spinors of fermions ?
- what happened to  $\gamma^\mu$  of vertices and  $g^{\mu\nu}$  of propagator?
- let's do this properly
  - could do a Foldy-Wouthuysen transformation
  - here we will use “matching”, a general technique useful in many effective theories: fix the coefficients  $c_j$  of the Lagrangian of the effective theory s.t.  $\mathcal{L}_{\text{ET}}$  and  $\mathcal{L}_{\text{QED}}$  give the same answer (up to a certain order in perturbation theory)

what is an effective theory?

**theory:** not a model; a framework for systematically improvable predictions

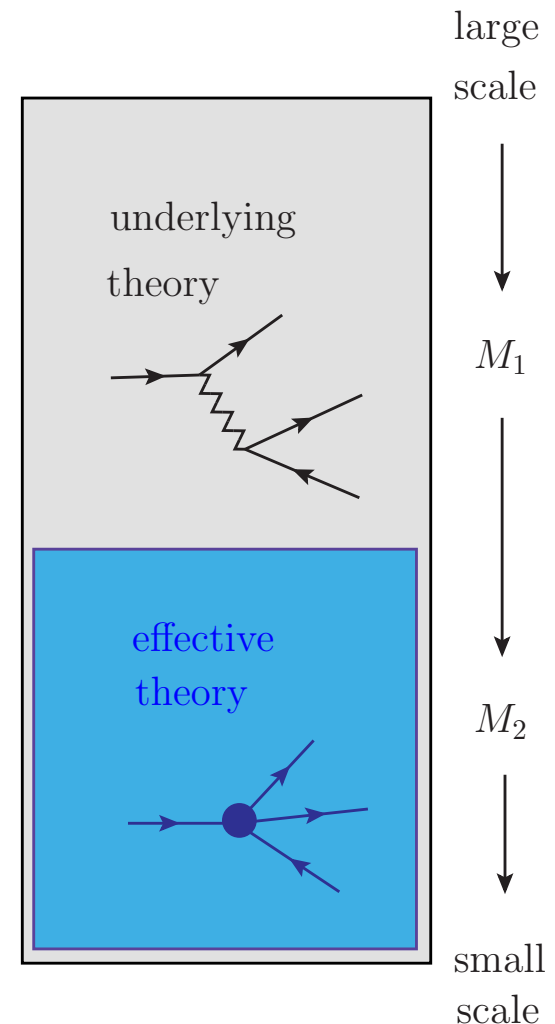
**effective:** not the full story; applicable only in certain circumstances  $\Rightarrow$  factorization

underlying theory (UT)

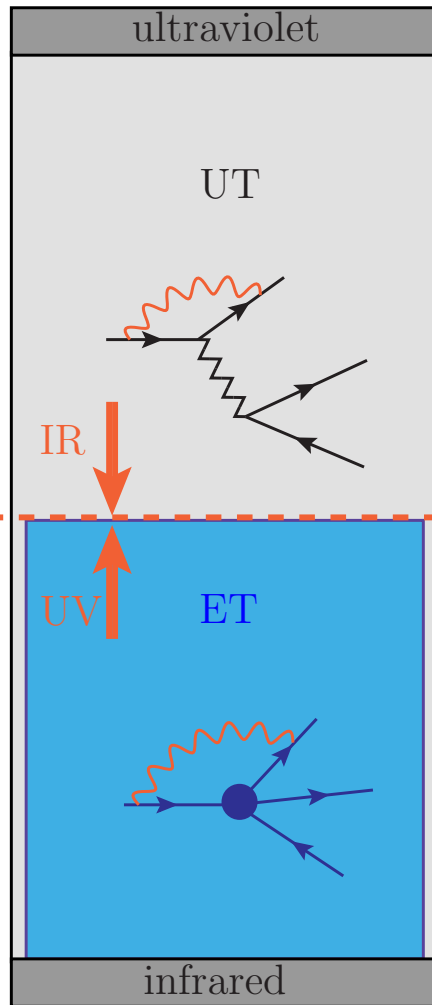
- contains dynamical (directly observable) d.o.f. of large/hard scale  $M_1$  and small/soft scale  $M_2$
- Lagrangian:  $\mathcal{L}_{\text{UT}} = \sum_i O_i(\phi_1, \phi_2)$
- observables:  $f(\alpha, M_1, M_2) = \sum_n \alpha^n f_{\text{UT}}^{(n)}(M_1, M_2)$

effective theory (ET)

- contains dynamical d.o.f. of soft scale  $M_2$ ;  $\phi_1$  integrated out assuming  $M_2/M_1 \ll 1$
- Lagrangian:  $\mathcal{L}_{\text{ET}} = \sum_j c_j O_j(\phi_2)$
- observables:  $f = \sum_n \alpha^n \sum_m (M_2/M_1)^m f_{\text{ET}}^{(n,m)}$



main features of effective theories



UV singularities  $\rightarrow$  renormalize for UT:  $[O_i] \leq 4$

$$\mathcal{L}_{\text{UT}} \simeq -\frac{1}{4} W_i^{\mu\nu} W_{\mu\nu}^i - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} + \sum g_w (\bar{\psi} \gamma^\mu \{\gamma_5\} \tau^i \psi) W_\mu^i + e (\bar{\psi} \gamma^\mu \psi) A_\mu + \dots$$

integrating out the  $W$  mode

$\Rightarrow$  additional singularities at the boundary!

IR singularity of  $\mathcal{L}_{\text{UT}} =$  UV singularity of  $\mathcal{L}_{\text{ET}}$

$$\mathcal{L}_{\text{ET}} \simeq -\frac{1}{4} F^{\mu\nu} F_{\mu\nu} + e (\bar{\psi} \gamma^\mu \psi) A_\mu + \sum c(M_W) (\bar{\psi} \{\gamma^\mu \gamma_5 \tau^i T^a\} \psi) (\bar{\psi} \{\gamma^\mu \gamma_5 \tau^i T^a\} \psi)$$

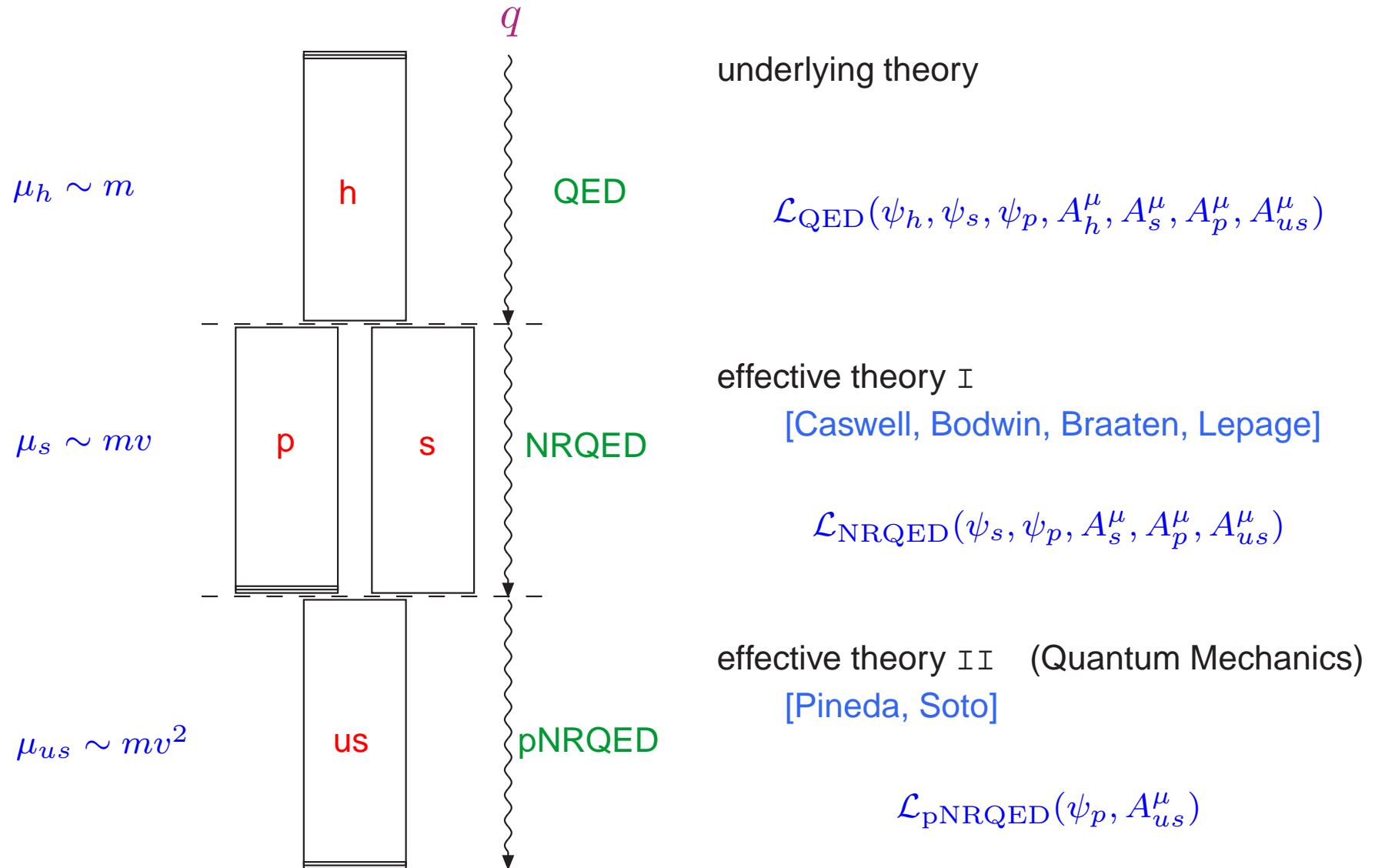
IR singularities  $\rightarrow$  form physical observables

main features of effective theories

- ever higher dimensional operators  $O_j(\phi_2)$  with suppressed coefficients  $c_j \sim 1/M_1^{d-4}$
- IR singularity of UT:  $-\frac{1}{\epsilon} \left(\frac{M_1}{\mu}\right)^{-\epsilon} = -\frac{1}{\epsilon} + \log \frac{M_1}{\mu}$
- UV singularity of ET:  $\frac{1}{\epsilon} \left(\frac{M_2}{\mu}\right)^{-\epsilon} = \frac{1}{\epsilon} - \log \frac{M_2}{\mu}$
- singularities cancel and can be predicted  $\rightarrow$  logs can also be predicted  $\rightarrow$
- resummation of  $L \equiv \log(M_1/M_2) \gg 1$ :
  - presence of terms  $\alpha^n L^{2n}$  or  $\alpha^n L^n$  invalidates expansion in  $\alpha$  alone
  - reorganize perturbation theory:
    - from a pure expansion in  $\alpha$  (LO  $\rightarrow$  NLO  $\rightarrow$  NNLO ...)
    - to resummed expansion, counting  $\alpha L \simeq 1 \not\ll 1$  (LL  $\rightarrow$  NLL  $\rightarrow$  NNLL ...)
- can have a tower of ETs, i.e. for  $M_1 \gg M_2 \gg M_3 \dots$ : UT  $\rightarrow$  ET I  $\rightarrow$  ET II ...
- in (NR)QED: we will not integrate out whole particles ( $\sim$  easy), but integrate out modes (part of a quantum field with a particular scaling)
- in (NR)QED:  $M_1 \sim m$  and  $M_2 \sim mv$  and  $M_3 \sim mv^2$

- external particles in the bound-state system
  - potential fermions:  $p^\mu = (p^0, \vec{p}) \sim (m v^2, m v)$
  - ultrasoft photons/gluons:  $p^\mu = (p^0, \vec{p}) \sim (m v^2, m v^2)$
- we want to infer from QED/QCD how these d.o.f. interact
- we will see: the interaction can be described by a potential  $V$  (interaction local in  $t$  but non-local in  $\vec{x}$ ) and explicit ultrasoft photon/gluon interactions (retardation effects)
- this effective theory is called potential NRQED (pNRQED) and  $\mathcal{L}_{pNRQED}(\psi_p, A_{us})$
- we will get there by going through another ET, NRQED with the following additional d.o.f:
  - soft particles:  $p^\mu = (p^0, \vec{p}) \sim (m v, m v)$
  - potential photons/gluons:  $p^\mu = (p^0, \vec{p}) \sim (m v^2, m v^2)$
- NRQED is a local theory (in  $t$  and  $\vec{x}$ ) that is obtained by integrating out hard modes from QED
- matching coefficients evaluated at hard scale, then using rgi evolved to soft scale  
 $\implies$  resummation of  $\log \mu_s / \mu_h \sim \log v \sim \log \alpha$

Structure of non-relativistic QED/QCD





- match **free QED Lagrangian**  $\mathcal{L}_{\text{QED}}^{(0)} = \bar{\Psi}(iD^\mu \gamma_\mu - m)\Psi$  to NRQED counterpart
- introduce separate fields for annihilating electrons  $\psi$  and creating positrons  $\chi$ :  $\Psi = \psi + \chi$
- expand in  $p/m \sim v$  spinors  $u(p)$  (and  $v(p)$ ) in momentum space,  $E = \sqrt{\vec{p}^2 + m^2}$

$$u(\vec{p}) = \begin{pmatrix} \sqrt{\frac{E+m}{2E}} \xi \\ \frac{\vec{\sigma} \cdot \vec{p}}{\sqrt{2E(E+m)}} \xi \end{pmatrix} = \begin{pmatrix} \left(1 - \frac{\vec{p}^2}{8m^2} + \frac{11\vec{p}^4}{128m^4}\right) \xi \\ \left(\frac{1}{2m} - \frac{3\vec{p}^2}{16m^2} + \frac{31\vec{p}^4}{256m^4}\right) \vec{\sigma} \cdot \vec{p} \xi \end{pmatrix} + \mathcal{O}\left(\frac{1}{m^6}\right)$$

- expand in  $p/m \sim v$ :

$$\bar{u}(\vec{p})(\not{p} - m)u(\vec{p}) = \left(E - m - \frac{p^2}{2m} + \frac{p^4}{8m^3}\right) \xi^\dagger \xi + \mathcal{O}\left(\frac{1}{m^4}\right)$$

- free non-relativistic Lagrangian

$$\mathcal{L}_{\text{NRQED}}^{(0)} = \psi^\dagger \left( i\partial_0 + \frac{\vec{\nabla}^2}{2m} + \frac{\vec{\nabla}^4}{8m^3} \right) \psi + \chi^\dagger \left( i\partial_0 - \frac{\vec{\nabla}^2}{2m} - \frac{\vec{\nabla}^4}{8m^3} \right) \chi + \mathcal{O}\left(\frac{1}{m^4}\right)$$

$$\psi^\dagger \vec{\nabla}^4 \psi \sim O_j \text{ and } 1/(8m^3) \sim c_j$$

- including interactions  $\mathcal{L}_{\text{QED}}^{\text{int}} = e \bar{\Psi} A^0 \gamma^0 \Psi - e \bar{\Psi} \vec{A} \cdot \vec{\gamma} \Psi$
- from gauge invariance we could anticipate  $\partial_0 \rightarrow \partial_0 - ie A^0$  and  $\vec{\nabla} \rightarrow \vec{\nabla} + ie \vec{A}$
- here we stubbornly follow matching procedure
- note:**  $\mathcal{L}_{\text{UT}}$  is gauge invariant and all our operators  $O_j$  in  $\mathcal{L}_{\text{UT}}$  are gauge invariant  
 $\implies$  the  $c_j$  must be gauge invariant as well
- then with  $\vec{q} = \vec{p}' - \vec{p}$  we get (and similar for  $\bar{v}(\vec{p}')$  and  $v(\vec{p})$ )

$$\bar{u}(\vec{p}') \gamma^0 u(\vec{p}) = \left( 1 - \frac{\vec{q}^2}{8m^2} \right) \xi^\dagger \xi + \frac{i}{4m^2} \xi^\dagger \vec{\sigma} \cdot (\vec{p}' \times \vec{p}) \xi + \mathcal{O} \left( \frac{1}{m^3} \right)$$

$$\bar{u}(\vec{p}') \vec{\gamma} u(\vec{p}) = \frac{1}{2m} \xi^\dagger \left( (\vec{p} + \vec{p}') + i(\vec{\sigma} \times \vec{q}) \right) \xi + \mathcal{O} \left( \frac{1}{m^3} \right)$$

- the interaction part of the non-relativistic Lagrangian

$$\begin{aligned} \mathcal{L}_{\text{NRQED}}^{\text{int}} = & e A^0 \psi^\dagger \psi - \frac{e}{2m} \psi^\dagger \vec{A} \cdot (\vec{p} + \vec{p}') \psi - \frac{e}{8m^2} A^0 \psi^\dagger \vec{q}'^2 \psi \\ & + \frac{ie}{4m^2} A^0 \psi^\dagger \vec{\sigma} \cdot (\vec{p}' \times \vec{p}) \psi - \frac{ie}{2m} \psi^\dagger \vec{A} \cdot (\vec{\sigma} \times \vec{q}) \psi + \chi\text{-terms} + \mathcal{O} \left( \frac{1}{m^3} \right) \end{aligned}$$

combine:

$$\begin{aligned}
 \mathcal{L}_{\text{NRQED}} &= \psi^\dagger \left( i \partial_0 + \frac{\vec{\nabla}^2}{2m} + \frac{\vec{\nabla}^4}{8m^3} \right) \psi + e A^0 \psi^\dagger \psi - \frac{e}{2m} \psi^\dagger \vec{A} \cdot (\vec{p} + \vec{p}') \psi \\
 &\quad - \frac{e}{8m^2} A^0 \psi^\dagger \vec{q}'^2 \psi + \frac{ie}{4m^2} A^0 \psi^\dagger \vec{\sigma} \cdot (\vec{p}' \times \vec{p}) \psi - \frac{ie}{2m} \psi^\dagger \vec{A} \cdot (\vec{\sigma} \times \vec{q}) \psi \\
 &= \psi^\dagger \left( i D_0 + \frac{\vec{D}^2}{2m} + \frac{\vec{D}^4}{8m^3} \right) \psi - \frac{e}{2m} \psi^\dagger \vec{\sigma} \cdot \vec{B} \psi + \frac{e}{8m^2} \psi^\dagger (\vec{D} \cdot \vec{E} - \vec{E} \cdot \vec{D}) \psi \\
 &\quad + \frac{ie}{8m^2} \psi^\dagger \vec{\sigma} \cdot (\vec{D} \times \vec{E} - \vec{E} \times \vec{D}) \psi + \chi\text{-terms} + \mathcal{O}\left(\frac{1}{m^4}\right)
 \end{aligned}$$

with  $E^i = F^{i0}$  and  $B^i = -1/2 \epsilon^{ijk} F_{jk}$  or

$$\vec{E} = -\vec{\nabla}(A^0) - \partial^0 \vec{A} - ig [T^b, T^c] \vec{A}^b (A^0)^c \quad \text{and} \quad \vec{B} = \vec{\nabla} \times \vec{A} - \frac{ig}{2} [T^b, T^c] \vec{A}^b \times \vec{A}^c$$

**note:** all operators are gauge independent!  
even in non-abelian case

$$\begin{aligned}
 \vec{E}^a &\rightarrow \vec{E}^a + f^{abc} \vec{E}^b \omega^c \\
 \vec{B}^a &\rightarrow \vec{B}^a + f^{abc} \vec{B}^b \omega^c
 \end{aligned}$$

going from QED to QCD and preparing for loops

loop calculations to be done in  $D$  dimensions (dimensional regularization):

avoid intrinsic 4-dim objects like  $\epsilon^{ijk}$ ,  $\times$  etc.

$$\mathcal{L}_{\text{NRQCD}} = \psi^\dagger \left( i D_0 + \frac{\vec{D}^2}{2m} + \frac{\vec{D}^4}{8m^3} \right) \psi - \frac{c_F g}{2m} \psi^\dagger \left( \frac{-\sigma^{ij} F^{ij}}{2} \right) \psi + \frac{c_D g}{8m^2} \psi^\dagger [D^i, E^i] \psi$$

$$+ \frac{c_s i g}{8m^2} \psi^\dagger \sigma^{ij} [D^i, E^j] \psi + \mathcal{L}_{\text{light}} + \chi\text{-terms} + \mathcal{O}\left(\frac{1}{m^4}\right)$$

define  $D$ -dimensional Pauli “algebra”:

$$\sigma^{ij} = \frac{[\sigma^i, \sigma^j]}{2i} \xrightarrow{D \rightarrow 4} \epsilon^{ijk} \sigma^k$$

$$\frac{-\sigma^{ij} F^{ij}}{2} \xrightarrow{D \rightarrow 4} \vec{\sigma} \cdot \vec{B}$$

matching coefficients:

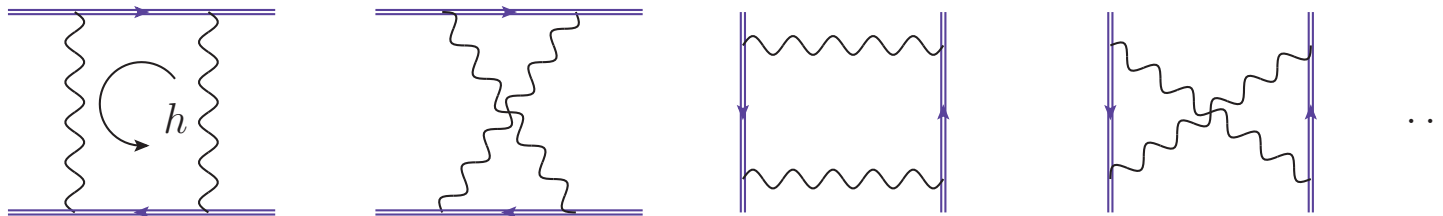
$$c_i(\mu_h) = 1 + \alpha_s (\log(\mu_h/m) + \text{cst}) + \mathcal{O}(\alpha_s^2)$$

contain effects of hard modes

At  $\mathcal{O}(1/m^2)$  there are also **four-fermion operators**

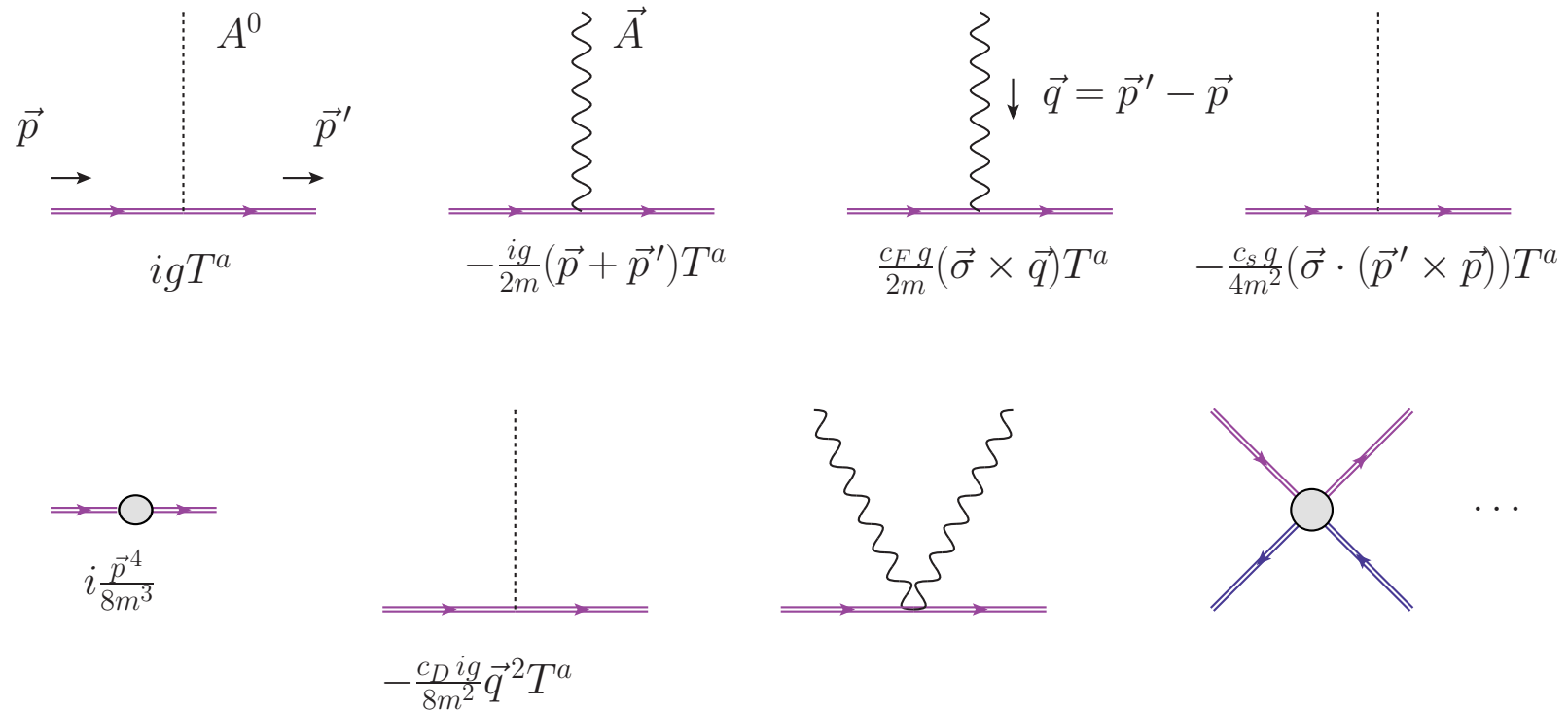
$$\delta\mathcal{L}_{\text{NRQCD}} = \frac{d_{ss}}{m_1 m_2} \psi_1^\dagger \psi_1 \chi_2^\dagger \chi_2 + \frac{d_{sv}}{m_1 m_2} \psi_1^\dagger \vec{\sigma} \psi_1 \chi_2^\dagger \vec{\sigma} \chi_2$$

$$+ \frac{d_{vs}}{m_1 m_2} \psi_1^\dagger T^a \psi_1 \chi_2^\dagger T^a \chi_2 + \frac{d_{vv}}{m_1 m_2} \psi_1^\dagger \vec{\sigma} T^a \psi_1 \chi_2^\dagger \vec{\sigma} T^a \chi_2$$



- effects of **hard loops** are encoded in matching coefficients  $d \sim \mathcal{O}(\alpha)$
- compare “standard” BSM effective operators

- we have now a theory with new Feynman rules



- this theory reproduces QED/QCD Green functions in the non-relativistic limit up to the order to which the matching has been done

- expansion in  $\sim p/m \sim v$  is trivial (if tedious) at tree level
- how to expand in loops ?
  - loop momentum  $k$  runs through all scales  $0 \rightarrow m v^2 \rightarrow m v \rightarrow m \rightarrow \infty$
  - computing full integral and then expanding is neither efficient nor systematic (power counting)
- **method of regions** (expand before doing the integration)
  - separate expansion of integrand in all regions
  - sum of all regions add up to full result
  - each part is simpler and has unique power counting
  - identify modes [Beneke, Smirnov]  $\Rightarrow$  asymptotic expansion (method of regions)

hard	$k^\mu \sim m$	} expand <b>integrand</b> not <b>integral</b>
soft	$k^\mu \sim m v$	
potential	$k^0 \sim m v^2; \vec{k} \sim m v$	
ultrasoft	$k^\mu \sim m v^2$	

- $$\int d^D k f(k, p, m) = \int d^D k f_h + \int d^D k f_p + \int d^D k f_s + \int d^D k f_{us}$$

## Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)}$$



## Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)}$$
$$= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)}$$

Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\begin{aligned} & \int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\ &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\ &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}} \end{aligned}$$

## Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\begin{aligned}
 & \int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\
 &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\
 &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}} \\
 &= \int \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}} + \int \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}} + \text{tadpoles}
 \end{aligned}$$

Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\begin{aligned} & \int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\ &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)} \\ &= \int_{|k| < \mu} \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}} + \int_{|k| > \mu} \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}} \\ &= \underbrace{\int \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}} + \int \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}}}_{\text{additional UV - IR singularities possible}} \end{aligned}$$

## Method of regions: a simple example

Let  $p^2 \ll M^2$  and assume we want to compute (the first few terms in an expansion in  $p^2/M^2 \ll 1$  of) the integral (pick  $\mu$  s.t.  $p^2 \ll \mu^2 \ll M^2$ )

$$\int \frac{d^d k}{(k^2 - p^2)(k^2 - M^2)}$$

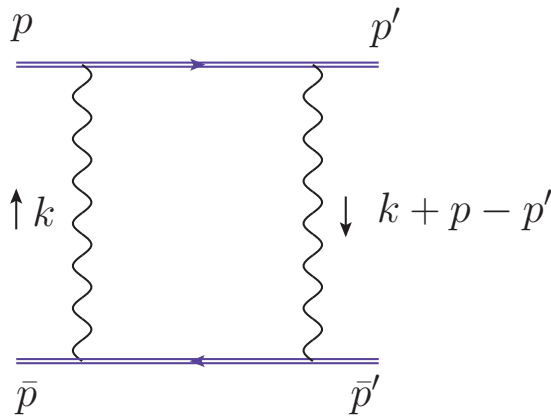
$$= \underbrace{\int \frac{d^d k}{(k^2 - p^2)} \sum_n \frac{(k^2)^n}{(M^2)^{n+1}}}_{\text{soft}} + \underbrace{\int \frac{d^d k}{(k^2 - M^2)} \sum_n \frac{(p^2)^n}{(k^2)^{n+1}}}_{\text{hard}}$$

- identify modes: soft ( $k \sim p$ ) and hard ( $k \sim M$ ) (in general more)
- expand integrand in each region to whatever order required
- each term has a well-defined scaling in  $p^2/M^2 \rightarrow$  power counting
- no explicit cutoff needed (dimensional regularization is important)

Method of regions: a simple example

$$\begin{aligned}
 & \int \frac{d^d k}{(k^2 - p^2)^a (k^2 - M^2)^b} \\
 = & \frac{i(-1)^{a+b}}{(4\pi)^{d/2}} (M^2)^{\frac{d}{2}-a-b} \frac{\Gamma(a+b-\frac{d}{2})}{\Gamma(a+b)} {}_2F_1 \left( \begin{matrix} a; a+b-\frac{d}{2} \\ a+b \end{matrix} \middle| 1 - \frac{p^2}{M^2} \right) \\
 = & \sum_{n=0}^{\infty} \frac{(-n-b+1)_n}{\Gamma(n+1)} (-M^2)^{-b-n} \int \frac{d^d k}{(k^2)^{-n} (k^2 - p^2)^a} \\
 & + \sum_{n=0}^{\infty} \frac{(-n-a+1)_n}{\Gamma(n+1)} (-p^2)^n \int \frac{d^d k}{(k^2)^{a+n} (k^2 - M^2)^b} \\
 = & \frac{i(-1)^a}{(4\pi)^{d/2}} (p^2)^{\frac{d}{2}-a} (-M^2)^{-b} \frac{\Gamma(a-\frac{d}{2})}{\Gamma(a)} {}_2F_1 \left( \begin{matrix} \frac{d}{2}; b \\ 1-a+\frac{d}{2} \end{matrix} \middle| \frac{p^2}{M^2} \right) \\
 & + \frac{i(-1)^{a+b}}{(4\pi)^{d/2}} (M^2)^{\frac{d}{2}-a-b} \frac{\Gamma(\frac{d}{2}-a)\Gamma(a+b-\frac{d}{2})}{\Gamma(b)\Gamma(\frac{d}{2})} {}_2F_1 \left( \begin{matrix} a; a+b-\frac{d}{2} \\ 1+a-\frac{d}{2} \end{matrix} \middle| \frac{p^2}{M^2} \right)
 \end{aligned}$$

example of hard loop



before expansion

$$I_{\text{full}} = \int \frac{d^D k}{k^2 [(k+p)^2 - m_1^2] (k+p-p')^2 [(k-\bar{p})^2 - m_2^2]}$$

after expansion

$$I_{\text{h}} = \int \frac{d^D k}{k^2 [k^2 - m_2^2] k^2 [k^2 - m_1^2]}$$

- $I_{\text{h}}$  is much simpler
- $I_{\text{full}}$  and  $I_{\text{h}}$  have the same UV-singularities  $\implies$  renormalization
- $I_{\text{h}}$  has IR singularities not present in  $I_{\text{full}}$   $\implies$  canceled by UV singularities of ET
- scaling in  $v$ :  $I_{\text{h}} \sim 1$  (known before integration)  $k \sim m \sim 1$
- scaling in  $v$ :  $I_{\text{full}}$  not uniform (different scales)  $p_0 \sim mv^2$ ,  $p \sim mv^2$ ,  $k \sim \text{anything}$

## renormalization group improvement

- explicit computation of matching coefficients at one-loop after UV renormalization typically yields  $c_i(\mu) = 1 + \alpha(\mu) \left( \gamma_i^0 \left[ \frac{1}{\epsilon} - \log \frac{m}{\mu} \right] + \# \right)$
- the singularity is cancelled by a UV singularity of NRQCD (anomalous dimension  $\gamma_i$  of NRQCD operators)
- the hard matching coefficient has to be computed at a hard scale  $\mu \rightarrow \mu_h \sim m$  to avoid large logs
- when used in NRQCD it has to be evaluated at the soft scale  $\mu \rightarrow \mu_s \sim m v$
- solution to standard rge for anomalous dimension  $\mu \frac{d}{d\mu} c_i(\mu) = \gamma_i c_i(\mu)$  is given by

$$c_i(\mu_s) = c_i(\mu_h) \exp \int_{\alpha(\mu_s)}^{\alpha(\mu_h)} \frac{\gamma_i(\alpha) d\alpha}{2\beta(\alpha)}$$

- this resums all (potentially large) logarithms  $L \equiv \log \mu_h / \mu_s \sim \log \alpha \sim \log v$
- with  $\gamma_i^0$  we get NLL (next-to-leading logarithmic) accuracy, i.e.  $\alpha^n L^{n-1}$



NRQCD Lagrangian [Caswell, Bodwin, Braaten, Lepage]

but now in  $D = 4 - 2\epsilon$  dimensions

$$\begin{aligned}
 \mathcal{L}_{\text{NRQCD}} = & \psi^\dagger \left( iD^0 + c_k \frac{\vec{D}^2}{2m} \right) \psi + \frac{c_4}{8m^3} \psi^\dagger \vec{D}^4 \psi - \frac{g c_F}{2m} \psi^\dagger \sigma^i B^i \psi \\
 & + \frac{g c_D}{8m^2} \psi^\dagger [D^i, E^i] \psi + \frac{ig c_S}{8m^2} \psi^\dagger \sigma^{ij} [D^i, E^j] \psi + (\psi \leftrightarrow \chi) \\
 & + \frac{\alpha_s d_{ss}}{m^2} \psi^\dagger \psi \chi^\dagger \chi + \frac{\alpha_s d_{sv}}{m^2} \psi^\dagger \sigma^i \psi \chi^\dagger \sigma^i \chi \\
 & + \frac{\alpha_s d_{vs}}{m^2} \psi^\dagger T^a \psi \chi^\dagger T^a \chi + \frac{\alpha_s d_{vv}}{m^2} \psi^\dagger \sigma^i T^a \psi \chi^\dagger \sigma^i T^a \chi + \mathcal{L}_{\text{light}}
 \end{aligned}$$

- resum  $\ln(\mu_h/\mu_s) = \ln v$  in  $c_i$  and  $d_{ij}$  using renormalization group
- RGI: single heavy quark sector as in HQET [Bauer, Manohar, ...]  
RGI: four heavy quark operators [Pineda]

- QED  $\rightarrow$  NRQED: hard loops  $p^\mu \sim m$  integrated out, not dynamical any longer (we exploited  $m \gg mv$ )

- we are left with

soft  $p^\mu \sim mv$

potential  $p^0 \sim mv^2; \vec{p} \sim mv$

ultrasoft  $p^\mu \sim mv^2$

- an operator like  $\psi^\dagger [D^i, E^i] \psi$  does **not** have a fixed power in  $v$
- final state has only potential fermions and ultrasoft photons
- NRQED  $\rightarrow$  potential NRQED (pNRQED):  
integrate out soft fermions and potential and soft photons
- in pNRQED only potential fermions and ultrasoft photons are dynamical (exploit also  $mv \gg mv^2$ )
- “integrating out” technically again with method of regions

After a few slides we will end up with the pNRQCD Lagrangian in  $d = 3 - 2\epsilon$  dimensions

$$\begin{aligned}
 \mathcal{L}_{\text{QED}} &\Rightarrow \mathcal{L}_{\text{NRQED}} \Rightarrow \\
 \mathcal{L}_{\text{pNRQCD}} &= \psi^\dagger \left( iD^0 + \frac{\partial^2}{2m} \right) \psi + \chi^\dagger \left( iD^0 - \frac{\partial^2}{2m} \right) \chi \\
 &+ \int d^3r \left( \psi^\dagger T^a \psi \right) V \left( \chi^\dagger T^a \chi \right) \\
 &+ \psi^\dagger \left( \frac{\partial^4}{8m^3} - g_s \vec{x} \cdot \vec{E} \right) \psi + \chi^\dagger \left( -\frac{\partial^4}{8m^3} - g_s \vec{x} \cdot \vec{E} \right) \chi \\
 V &= -4\pi C_F \frac{\alpha_s}{\vec{q}^2} - C_F \frac{\alpha_s^2}{\vec{q}^2} \left( a_1 - \beta_0 \ln \frac{\vec{q}^2}{\mu^2} \right) + \dots \\
 &- C_F C_A \alpha_s^2 D_s^{(1)} \frac{\pi^2 \mathcal{K}(\epsilon)}{m q^{1+2\epsilon}} + \frac{3\pi C_F \alpha_s D_{d,s}^{(2)}}{m^2} - \frac{4\pi C_f D_{s^2}^{(2)}}{dm^2} [s_1^i, s_1^j][s_2^i, s_2^j] \dots
 \end{aligned}$$

- static potential (known to  $a_3$ ), non-analytic potential . . . ,  $d$ -dim generalization of Breit-Fermi potential (with spin-spin,  $L^2$  etc)
- resum  $\ln(\mu_s/\mu_{us}) = \ln v$  in matching coefficients  $D_s^{(1)}, D_{d,s}^{(2)}, D_{s^2}^{(2)} \dots$

## Power counting

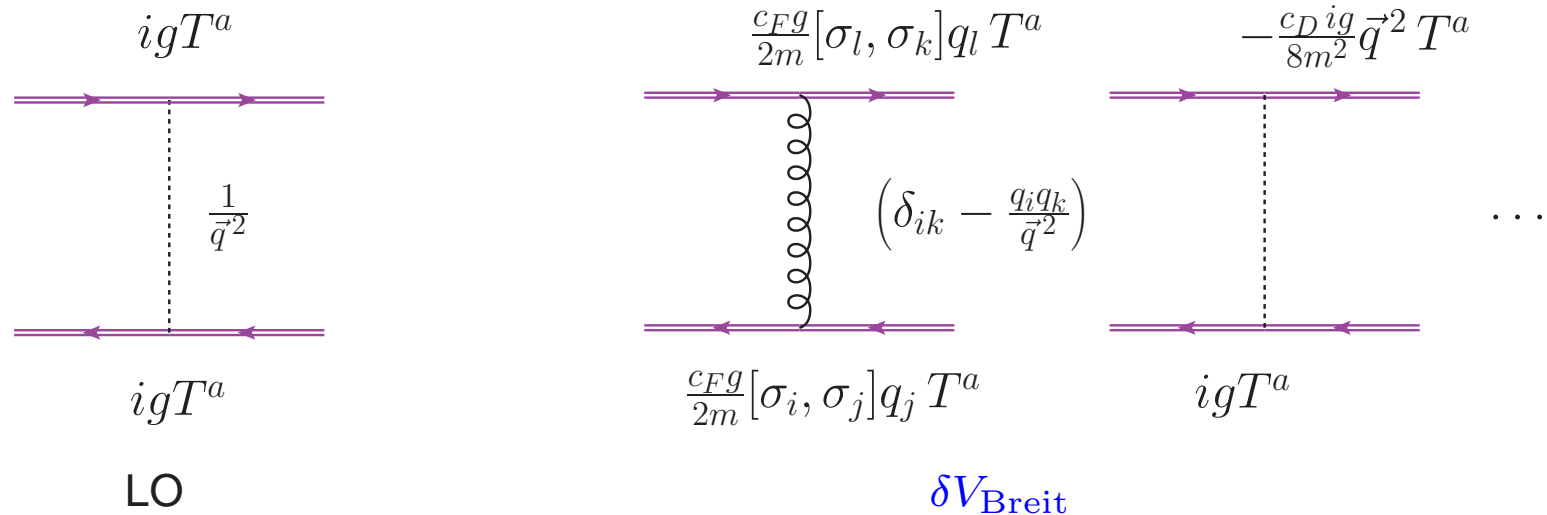
	mom	prop form	prop.	$d^4k$	field
pot. $Q$	$(v^2, \vec{v})$	$[k^0 - \vec{k}^2/(2m)]^{-1}$	$v^{-2}$	$v^5$	$v^{3/2}$
pot. $g$		$[-\vec{k}^2]^{-1}$	$v^{-2}$	$v^5$	$v^{3/2}$
soft $Q$	$(v, \vec{v})$	$[k^0]^{-1}$	$v^{-1}$	$v^4$	$v^{3/2}$
soft $g$		$[k^2]^{-1}$	$v^{-2}$	$v^4$	$v$
us $g$	$(v^2, \vec{v}^2)$	$[k^2]^{-1}$	$v^{-4}$	$v^8$	$v^2$

$$\langle \psi(x)\psi(0) \rangle = \int \frac{d^4k}{k^2}$$

operators in  $\mathcal{L}_{\text{pNRQCD}}$ 

$\psi^\dagger (i\partial^0 + (\partial^2/2m)) \psi$	$v^{3/2} v^2 v^{3/2} = v^5$	LO
$(\psi^\dagger T^a \psi) (\alpha_s/\vec{q}^2) (\chi^\dagger T^a \chi)$	$v^3 (\alpha_s/v^2) v^3 = \alpha_s v^4$	LO
$(\psi^\dagger T^a \psi) (\alpha_s^2/\vec{q}^2) (\chi^\dagger T^a \chi)$	$v^3 (\alpha_s^2/v^2) v^3 = \alpha_s^2 v^4$	NLO
$(\psi^\dagger T^a \psi) (\alpha_s^2/q) (\chi^\dagger T^a \chi)$	$v^3 (\alpha_s^2/v) v^3 = \alpha_s^2 v^3$	NNLO
$\psi^\dagger (g_s \vec{x} \cdot \vec{E}) \psi$	$v^{3/2} \sqrt{\alpha_s} v^4 v^{3/2} = \sqrt{\alpha_s} v^7$	NNNLO

- **Breit potential** the naive diagram we started with now looks like

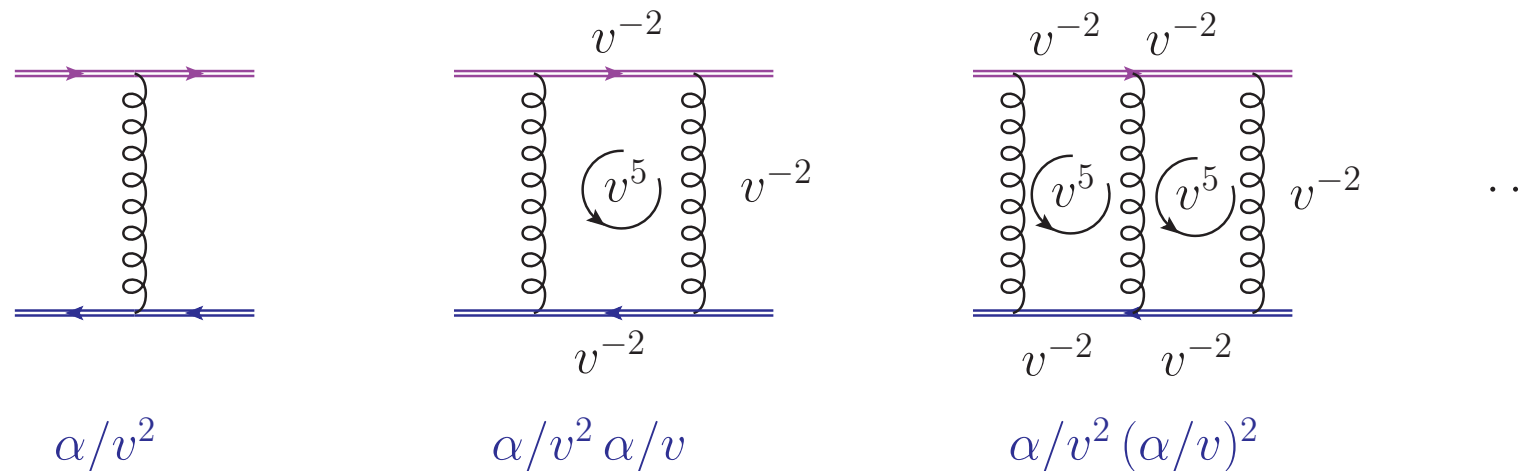


- the LO potential:  $V = \begin{cases} -\frac{\alpha_s}{4\pi} \frac{C_f}{\vec{q}^2} & \text{colour singlet} \\ -\frac{\alpha_s}{4\pi} \frac{C_f - C_A/2}{\vec{q}^2} & \text{colour octet} \end{cases}$

- the Breit potential depends on spin projection

- $\delta V_{\text{Breit}} = \begin{cases} \frac{\vec{p}^2}{m^2} + \frac{\vec{q}^2}{m^2} \left( \frac{(D-2)(D-5)}{4(D-1)} c_F^2 - \frac{1}{4}(1 + c_D) \right) & \text{spin 1} \\ \frac{\vec{p}^2}{m^2} + \frac{\vec{q}^2}{m^2} \left( \frac{(D-2)}{4} c_F^2 - \frac{1}{4}(1 + c_D) \right) & \text{spin 0} \end{cases}$

Power counting potential ladder diagrams have to be resummed



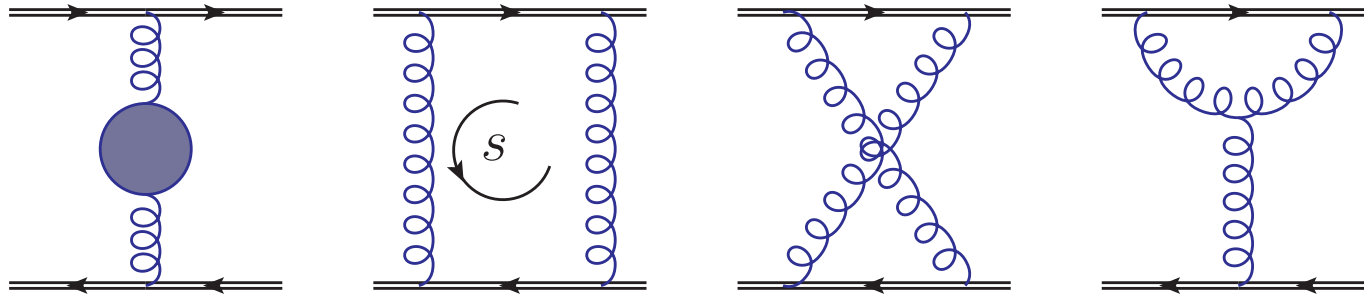
This gives the Green function in momentum space

$$\tilde{G}_c(\vec{p}, \vec{p}', E) = (2\pi)^d \delta^{(d)}(\vec{p} - \vec{p}') \frac{-1}{E - \vec{p}^2/m} + \frac{4\pi C_F \alpha_s}{(E - \vec{p}^2/m) (\vec{p} - \vec{p}')^2 (E - \vec{p}'^2/m)} + \text{finite}$$

or via Fourier in coordinate space ( $\nu \equiv C_F \alpha_s / (2\sqrt{-E/m})$ )

$$G_c(0, 0, E) = \frac{\alpha_s C_F m^2}{8\pi} \left( \frac{1}{2\epsilon} - \ln \frac{-4mE}{\mu^2} - \frac{1}{\nu} - 2\psi(1 - \nu) - 2\gamma_E + 1 \right)$$

as an example consider the **static potential** at NLO



- all diagrams taken separately are gauge dependent
- gauge dependence cancels in sum (as it must)  $\rightarrow a_1$  is gauge independent !!
- consider e.g. box diagram
  - hard loop  $\rightarrow$  matching coefficient of four-fermion operator
  - potential loop  $\rightarrow$  LO Green function
  - soft loop  $\rightarrow$  NLO static potential
- an ordinary QED Feynman diagram splits and contributes to different parts

## pNRQCD Lagrangian [Pineda, Soto]

$$\begin{aligned}
\mathcal{L}_{\text{pNRQCD}} &= \psi^\dagger \left( iD^0 + \frac{\partial^2}{2m} \right) \psi + \chi^\dagger \left( iD^0 - \frac{\partial^2}{2m} \right) \chi \\
&+ \int d^3r \left( \psi^\dagger T^a \psi \right) V \left( \chi^\dagger T^a \chi \right) \\
&+ \psi^\dagger \left( \frac{\partial^4}{8m^3} - g_s \vec{x} \cdot \vec{E} \right) \psi + \chi^\dagger \left( -\frac{\partial^4}{8m^3} - g_s \vec{x} \cdot \vec{E} \right) \chi \\
V &= -4\pi C_F \frac{\alpha_s}{\vec{q}^2} + \delta V
\end{aligned}$$

- QFT  $\rightarrow$  potential  $V^0 + \delta V$
- each term has a well-defined power counting, ultrasoft effects enter at NNNLO
- **recall everything you know about QM** and do QM pert. theory in momentum space
- for higher-order corrections evaluate single and double insertions

$$\delta G_c(0, 0, E) = \int \prod \frac{d^d \vec{p}_i}{(2\pi)^d} \tilde{G}_c(\vec{p}_1, \vec{p}_2, E) \delta V(\vec{p}_2, \vec{p}_3) \tilde{G}_c(\vec{p}_3, \vec{p}_4, E)$$

- all singularities (IR and UV) are consistently treated with dimensional regularization



---

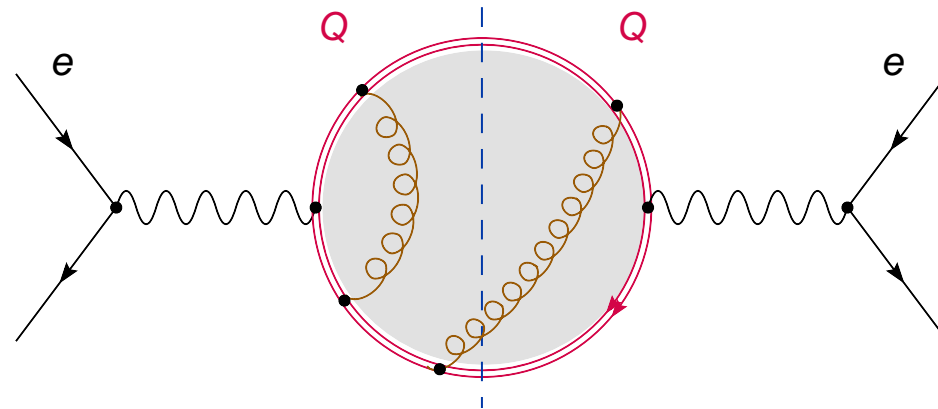
Part II

Applications

Heavy quark pair production:  $e^+e^- \rightarrow Q\bar{Q}$   $Q \in \{c, b, (t)\}$   $\sqrt{s} \sim 2m$

cross section: 
$$R_{Q\bar{Q}}(s) \equiv \frac{\sigma(e^+e^- \rightarrow Q\bar{Q})}{\sigma(e^+e^- \rightarrow \mu^+\mu^-)} = 12\pi \text{Im} \{ \Pi(s + i0^+) \}$$

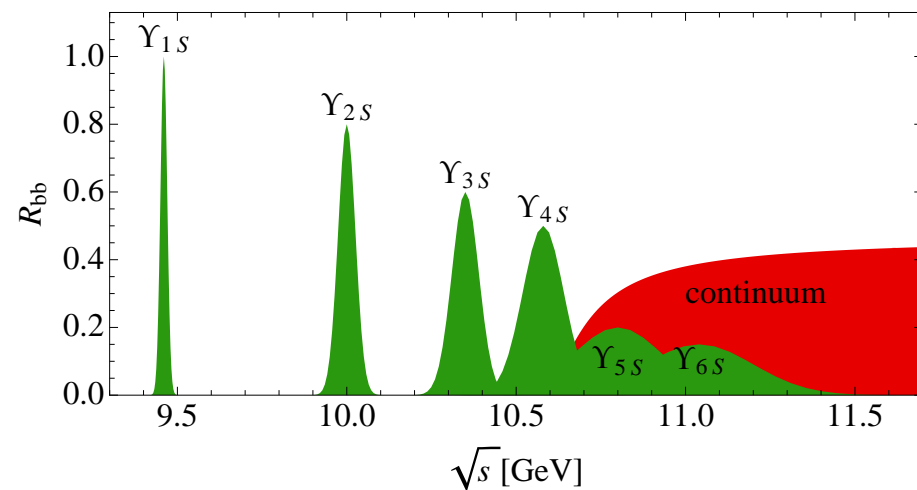
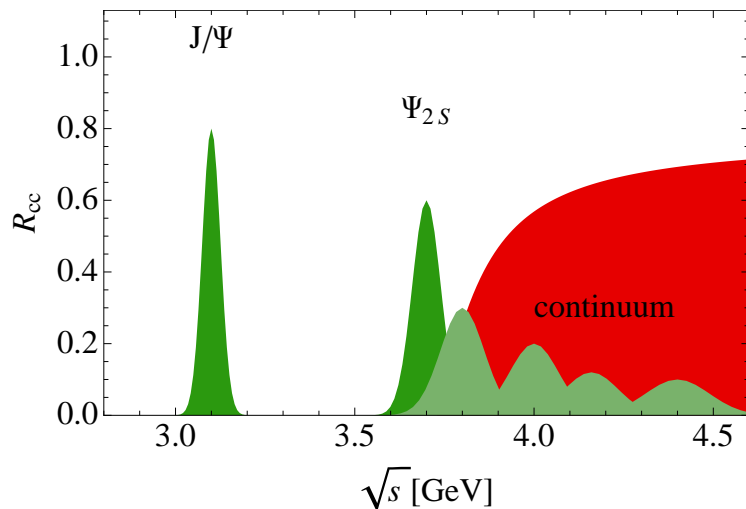
correlator: 
$$\Pi^{\mu\nu} \equiv i \int d^4x e^{iqx} \langle 0 | T \{ j^\mu(x) j^\nu(0) | 0 \rangle = (-q^2 g^{\mu\nu} + q^\mu q^\nu) \Pi(q^2)$$



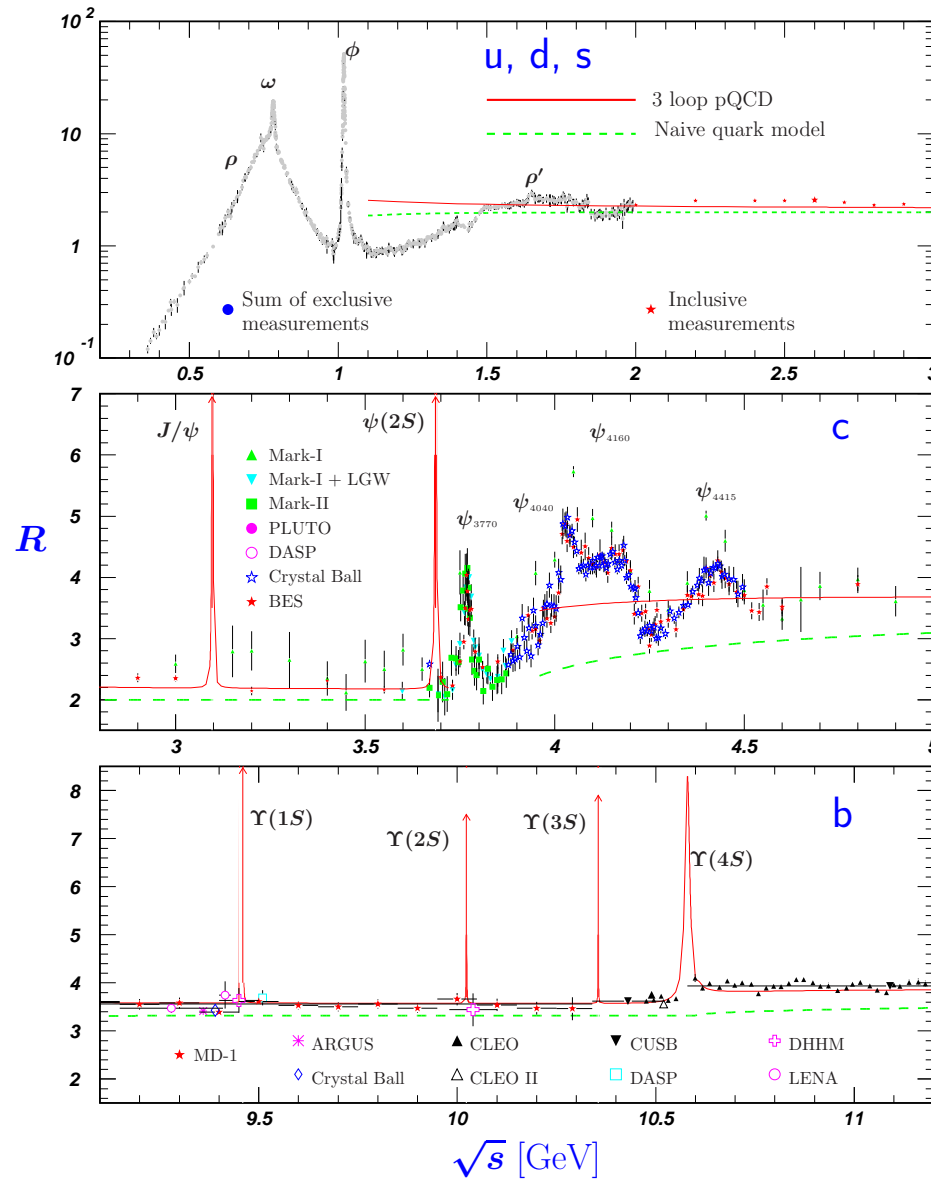
local parton-hadron duality  $R_{Q\bar{Q}}$   $\longrightarrow$  global parton-hadron duality  $M_n$

moments : 
$$M_n^{\text{th}} \equiv \int \frac{ds}{s^{n+1}} R_{Q\bar{Q}}(s) = \frac{12\pi^2}{n!} \left( \frac{d}{dq^2} \right)^n \Pi(q^2) \Big|_{q^2=0}$$

$$M_n^{\text{exp}} = M_n^{\text{res}} + M_n^{\text{cont}} = \underbrace{\frac{9\pi}{\alpha_{\text{em}}^2} \sum_{k=1}^K \frac{\Gamma_k}{M_k^{2n+1}}}_{\text{well known}} + \underbrace{\int_{s \gtrsim s_{\text{thr}}} \frac{ds}{s^{n+1}} R_{Q\bar{Q}}(s)}_{\text{poorly known}}$$



in real life:



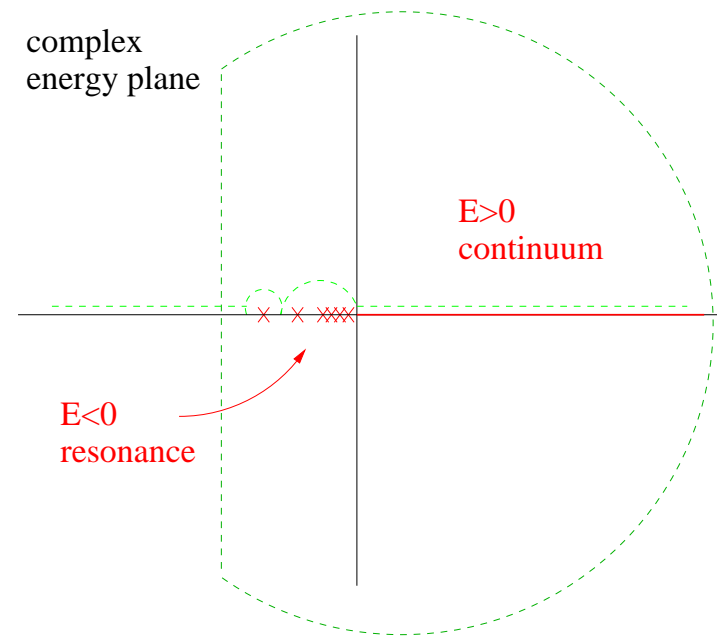
mass of  $\Upsilon(nS)$ :  $M_{\Upsilon(nS)} = 2m_b + E_n$     typical scale:  $\mu \sim p \sim \alpha_s C_F m_b/n$   
 $\mu \sim 1.3 \text{ GeV}$  for  $n = 1$

dominant error non-perturbative  $\implies$  later

moments:  $M_n = \int \frac{ds}{s^{n+1}} R_{b\bar{b}}(s)$     typical scale:  $\mu \sim 2m_b/\sqrt{n}$   
 $\mu \sim 2.5 \text{ GeV}$  for  $n = 14$

dominant error perturbative

- determination of theoretical moments via integration in complex plane
- typical scale  $\mu_s \sim 2m_b/\sqrt{n}$ , choose  $n \leq 14$
- determine experimental resonance moments (very well known) and continuum moments (poorly known), choose  $n \geq 6$



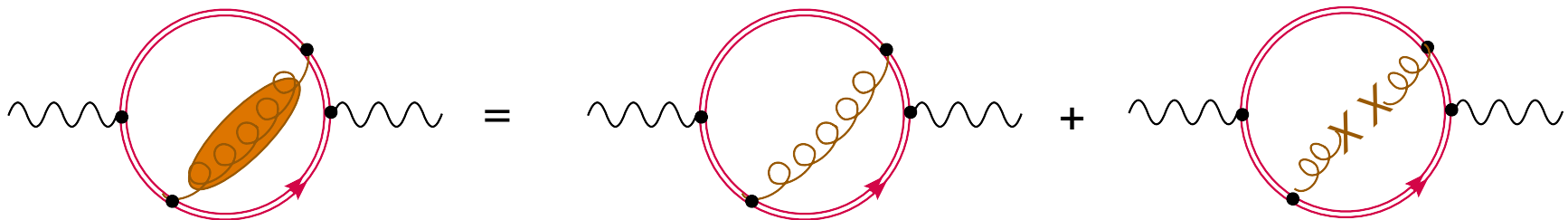
theoretical moments

**perturbative part:** gluon (quark) propagator  $\sim 1/k^2$ , but contains terms to all orders in  $\alpha_s$

- in principle well understood
- can be computed with ever increasing accuracy (at the price of running into technical difficulties, current status 4-loop)

**non-perturbative part:** modification of gluon propagator from  $\sim 1/k^2$  for small  $k^2$

- not very well understood  $\Rightarrow$  **try to minimize the impact of non-perturbative physics**
- parametrize ignorance in terms of (ever more suppressed) condensates
- leading contribution from gluon condensate  $\langle \frac{\alpha}{\pi} G^2 \rangle$



theory: perturbative part

$$M_n = \int \frac{ds}{s^{n+1}} R_{Q\bar{Q}}(s) \simeq \int \frac{2 dE}{(2m)^{2n+1}} e^{-\frac{nE}{m}} R_{QQ}(E)$$

relativistic sum rules:  $n$  “small”, i.e.  $n \lesssim 4$  continuum contribution relevant

FO (fixed order) approach

$$\Pi(q^2) = \frac{N_c e_Q^2}{(4\pi)^2} \sum_{n \geq 0} C_n \left( \frac{q^2}{4m^2} \right)^n \iff M_n = \frac{3}{4} N_c e_Q^2 \frac{1}{(2m)^{2n}} C_n$$

pole scheme:  $C_n^{(\ell)} \sim n^{-3/2} (\alpha\sqrt{n})^\ell$

non-relativistic sum rules:  $n$  “large”, i.e.  $n \gtrsim 8$  continuum contribution suppressed

ET (effective theory) approach

define  $E = \sqrt{s} - 2m \equiv mv^2 \sim$  kinetic energy of heavy quarks if  $v \ll 1$

$n$  “large”  $\leftrightarrow E \sim m \times 1/n$  and  $v \sim 1/\sqrt{n}$  “small”  $\Rightarrow$  quantum mechanics

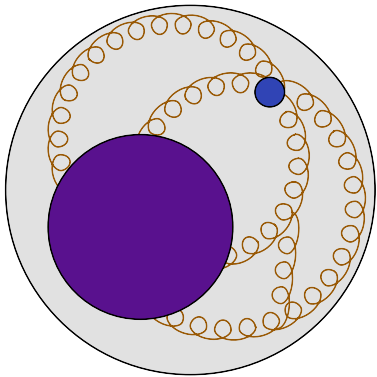
large  $n$  (non-relativistic) vs small  $n$  (relativistic)

- large  $n$  corresponds to small  $v \sim 1/\sqrt{n}$ , conventional fixed order (FO) perturbation theory breaks down (Coulomb singularity), i.e. computing  $R_{Q\bar{Q}}$  to  $\alpha^\ell$  we have terms  $v (\alpha/v)^\ell \sim n^{-1/2} (\sqrt{n} \alpha)^\ell \rightarrow$  use effective theory (ET)
- FO: standard expansion in coupling  $\alpha$ , keeping full dependence of  $E$ , i.e. take into account all powers of  $E/m = v^2$
- ET: double expansion in  $\alpha$  and  $v = \sqrt{E/m}$ , using non-relativistic QCD.

$R_{Q\bar{Q}}$	ET: LO	ET : NLO	ET : NNLO	...
FO: LO	$v c_{0,1}$	$v^2 c_{0,2}$	$v^3 c_{0,3}$	$v^4 c_{0,4}$
FO: NLO	$\alpha c_{1,0}$	$\alpha v c_{1,1}$	$\alpha v^2 c_{1,2}$	$\alpha v^3 c_{1,3}$
FO: NNLO	$\alpha^2 v^{-1} c_{2,-1}$	$\alpha^2 c_{2,0}$	$\alpha^2 v c_{2,1}$	$\alpha^2 v^2 c_{2,2}$
⋮	$\alpha^3 v^{-2} c_{3,-2}$	$\alpha^3 v^{-1} c_{3,-1}$	$\alpha^3 c_{3,0}$	$\alpha^3 v c_{3,1}$



mass schemes So far implicitly understood mass = pole mass  $m_Q$   
 but pole mass has non-perturbative ambiguity (renormalon)  $\Rightarrow$  IR sensitivity  $\sim \Lambda_{\text{QCD}}$



$$\underbrace{M_{\text{meson}}}_{\text{obs}} = \underbrace{m_Q}_{\text{pole mass}} + \underbrace{V}_{\text{ambig}}$$

For  $Q\bar{Q}$  system:  $m_Q$  has IR sensitivity, but this cancels in  $2m_Q + V_{\text{coul}} \simeq M_{\text{meson}}$

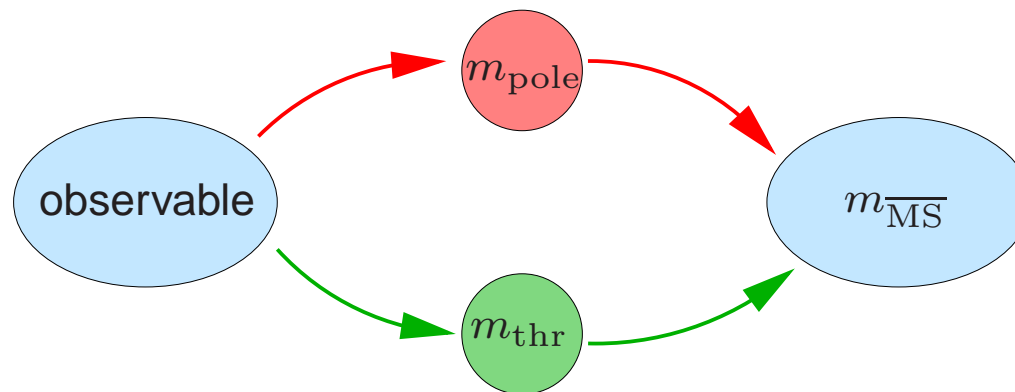
define PS-mass [Beneke]  $m_{\text{PS}} = m_Q + \frac{1}{2} \int_{q < \mu_F} \frac{d^3 \vec{q}}{(2\pi)^3} V_{\text{coul}}(q)$  with  $\mu_F \sim m v \sim m \alpha_s$

other closely related definitions  $m_X = m_Q - \delta m$  are possible

these mass definitions are more appropriate for the description of heavy quarks near threshold  
 $\Rightarrow$  threshold mass

## mass schemes

- pole mass is more IR sensitive (renormalon ambiguity) than other mass definitions  $\rightarrow$  non-perturbative ambiguity  $\sim \Lambda_{\text{QCD}}$
- use directly  $m_{\overline{\text{MS}}}$  where possible (relativistic sum rules)
- if use of  $m_{\overline{\text{MS}}}$  impossible (non-relativistic sum rules) use threshold mass (incorporates renormalon cancellation) [Bigi et.al; Beneke; Hoang et.al; Pineda]
- express observable in terms of threshold mass (here use PS mass [Beneke] and RS mass [Pineda]) then relate threshold mass to  $m_{\overline{\text{MS}}}$ ; (three-loop exact [Melnikov, Ritbergen; Chetyrkin, Steinhauser] and four-loop via large- $\beta_0$  approximation)



theory: non-perturbative part

leading contribution from gluon condensate [Shifman et.al; Broadhurst et.al., Ioffe]

$$\delta M_n^{\text{np}} = \frac{12\pi^2 e_Q^2}{(2m_b)^{(2n+4)}} \left\langle \frac{\alpha}{\pi} G^2 \right\rangle a_n \left( 1 + \frac{\alpha}{\pi} b_n \right) + \dots$$

- $a_n \sim n^{3/2}$ : importance of non-perturbative effects increases with increasing  $n$
- size of corrections  $\frac{\alpha}{\pi} b_n$  crucially depends on mass scheme

main questions:

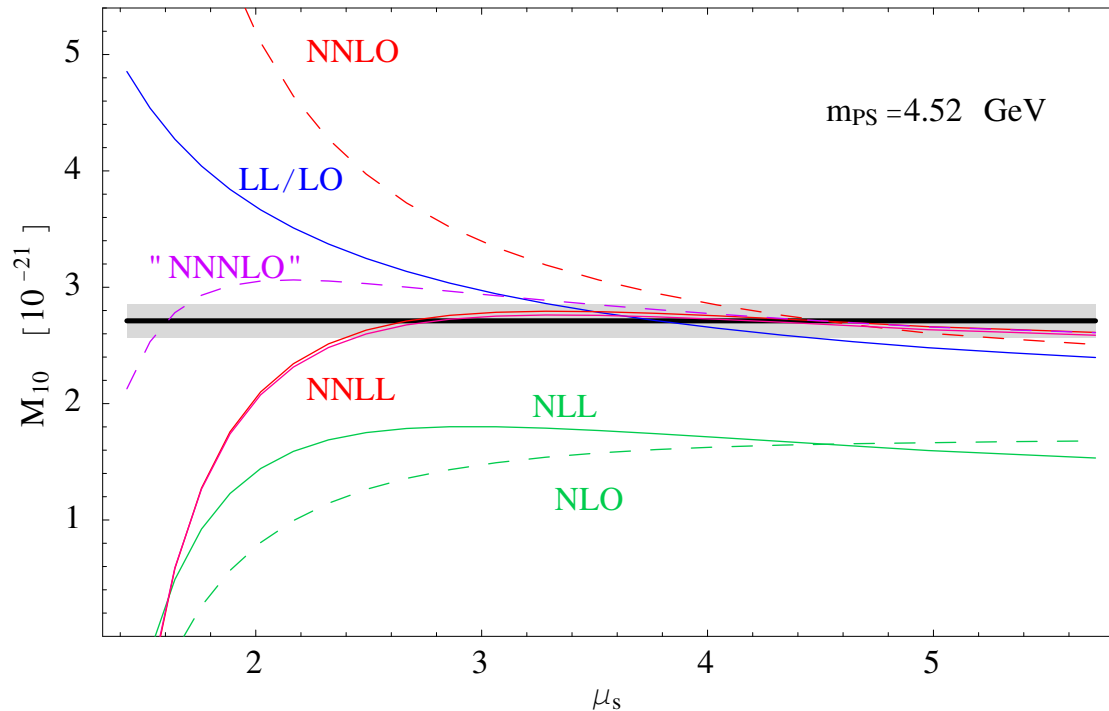
- how important are gluon condensate contributions??
  - $\left\langle \frac{\alpha}{\pi} G^2 \right\rangle = 0.012 \text{ GeV}^4$  [Shifman et.al. 1978]
  - $\left\langle \frac{\alpha}{\pi} G^2 \right\rangle = 0.021 \text{ GeV}^4$  [Broadhurst et.al. 1994]
  - $\left\langle \frac{\alpha}{\pi} G^2 \right\rangle = (0.005 \pm 0.004) \text{ GeV}^4$  [Ioffe 2005]
- can we trust the perturbative series of the coefficient function?

common wisdom ??:

- we can ignore  $\left\langle \frac{\alpha}{\pi} G^2 \right\rangle$  contributions in the case of bottom as long as  $n \lesssim 16$
- what about the charm case ?

Determination of bottom mass from sum rules take  $M_{10}$  as an example:

[Pineda, AS]



consistent results  
for  $6 \leq n \leq 16$

$m_{PS} = 4.52 \pm 0.06 \text{ GeV}$

convert to  $\overline{MS}$ :

$\overline{m} = 4.19 \pm 0.06 \text{ GeV}$

through resummation of  $\log v = \log \mu_s / \mu_h$ :

- size of corrections reduced
- much improved  $\mu_s$  scale dependence

} reduced theoretical error

apply to charm ??  $\Rightarrow$  non-perturbative contributions ??

leading contribution from gluon condensate [Shifman et.al; Broadhurst et.al., Ioffe]

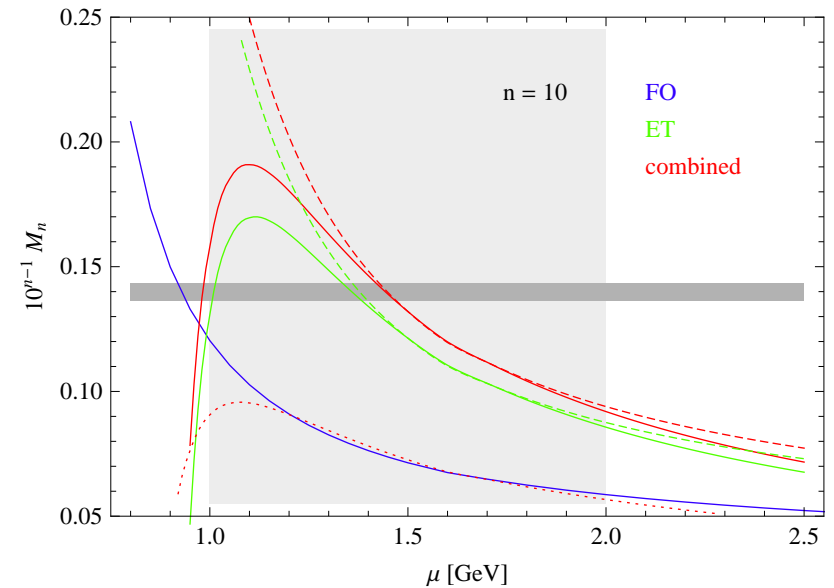
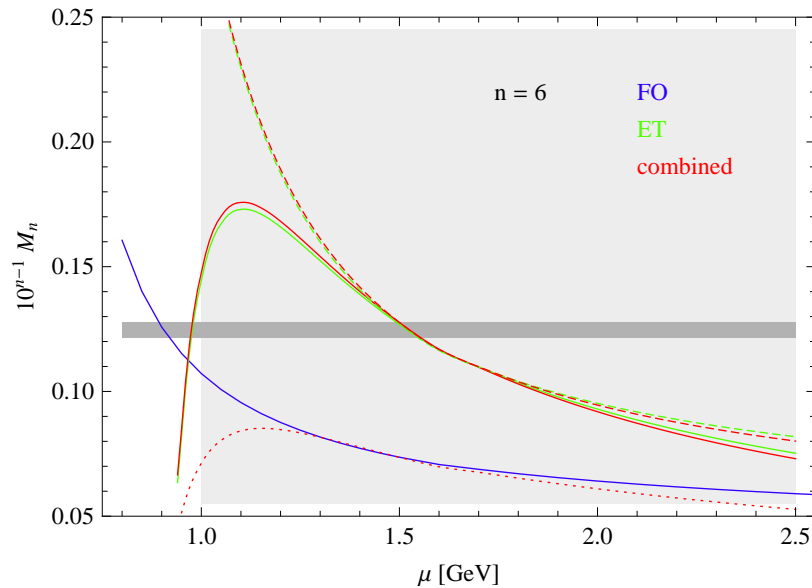
$$\delta M_n^{\text{np}} = \frac{12\pi^2 e_q^2}{(2m_b)^{(2n+4)}} \left\langle \frac{\alpha}{\pi} G^2 \right\rangle a_n \left( 1 + \frac{\alpha}{\pi} [b_n - (2n + 4)\delta b_X] \right) + \dots \text{ with } a_n \sim n^{3/2}$$

importance of non-perturbative effects increases with increasing  $n$  and decreasing  $m$

	$n$	1	4	8	12	16
bottom	$10^2 \delta M_n^{\text{np}} / M_n^{\text{exp}}$	-0.003	-0.02	0.02	0.36	1.6
charm	$10^2 \delta M_n^{\text{np}} / M_n^{\text{exp}}$	0.1	0.7	2.0	3.8	5.9
	$\alpha_s b_n^{\text{PS}} / \pi$	0.75	0.72	0.56	0.34	0.09

ignore non-perturbative effects and use  $n < 16$

Determination of charm mass from sum rules [AS]



$n$	$m$	$\delta m^{\text{th}}$	$\delta m^{\text{exp}}$	$\delta m^\alpha$	$\delta m^{GG}$	$\delta m$
3	1508	229	11	41	2	233
6	1506	81	3	27	3	85
10	1503	40	2	19	5	45
16	1500	27	1	14	6	31

“combine”:

single moment analysis

$$m_{\text{PS}} = 1.50 \pm 0.04 \text{ GeV}$$

convert to  $\overline{\text{MS}}$ :

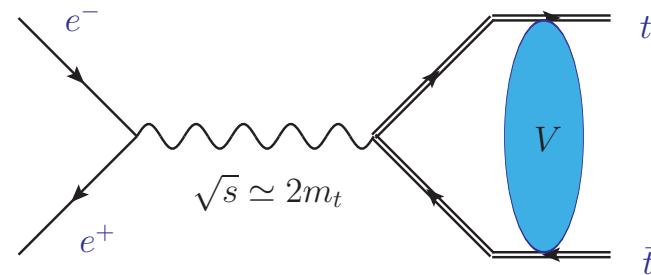
$$\overline{m} = 1.25 \pm 0.04 \text{ GeV}$$

Top threshold scan at linear collider

top pair produced near threshold

$$E \equiv \sqrt{s} - 2m \ll m$$

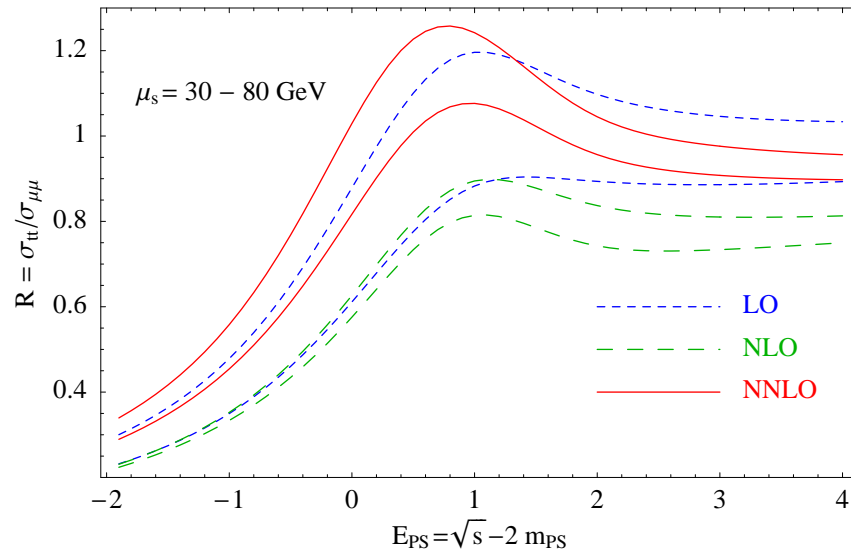
non-relativistic  $\rightarrow$  NRQCD



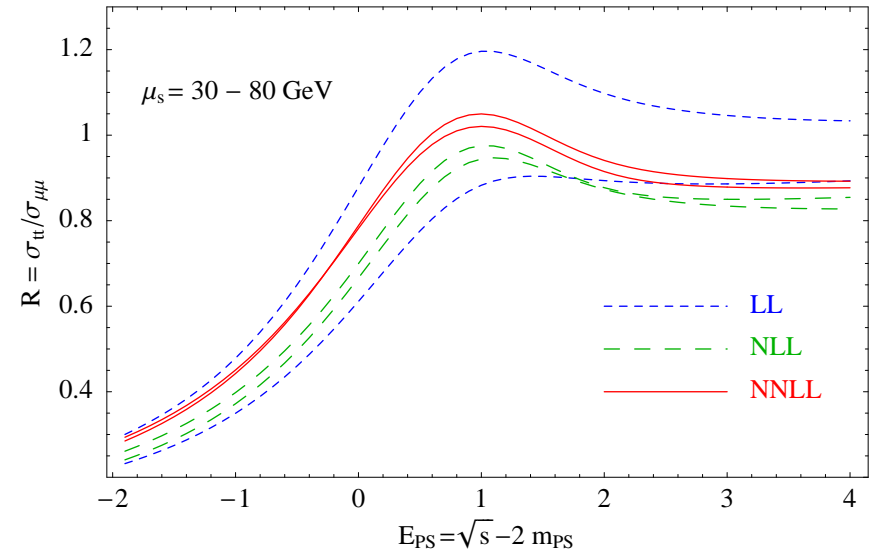
- lifetime for top  $\tau \simeq 1/\Gamma_t \simeq 5 \times 10^{-25}$  s
- typical hadronization time  $\tau_{\text{had}} \simeq 1/\Lambda_{\text{QCD}} \simeq 2 \times 10^{-24}$  s
- $\tau < \tau_{\text{had}} \Rightarrow$  top decays before it forms hadrons
- Schrödinger eq: 
$$\left( \frac{\Delta}{m^2} - \frac{\alpha_s C_F}{r} + \delta V - (E + i\Gamma_t) \right) G(\vec{r}, \vec{r}', E) = \delta(\vec{r} - \vec{r}')$$
- poles (bound states) become a bump (would-be bound state)
- position of bump  $\Rightarrow$  determination of mass
- height and width of bump  $\Rightarrow$  determination of  $\Gamma_t$
- typical scale:  $\mu \simeq 2mv \simeq 2 \left( m\sqrt{E^2 + \Gamma_t^2} \right)^{1/2} \gtrsim 30 \text{ GeV} \Rightarrow$  perturbation theory

Top threshold scan at linear collider [Pineda, AS]

no resummation of  $\log v$



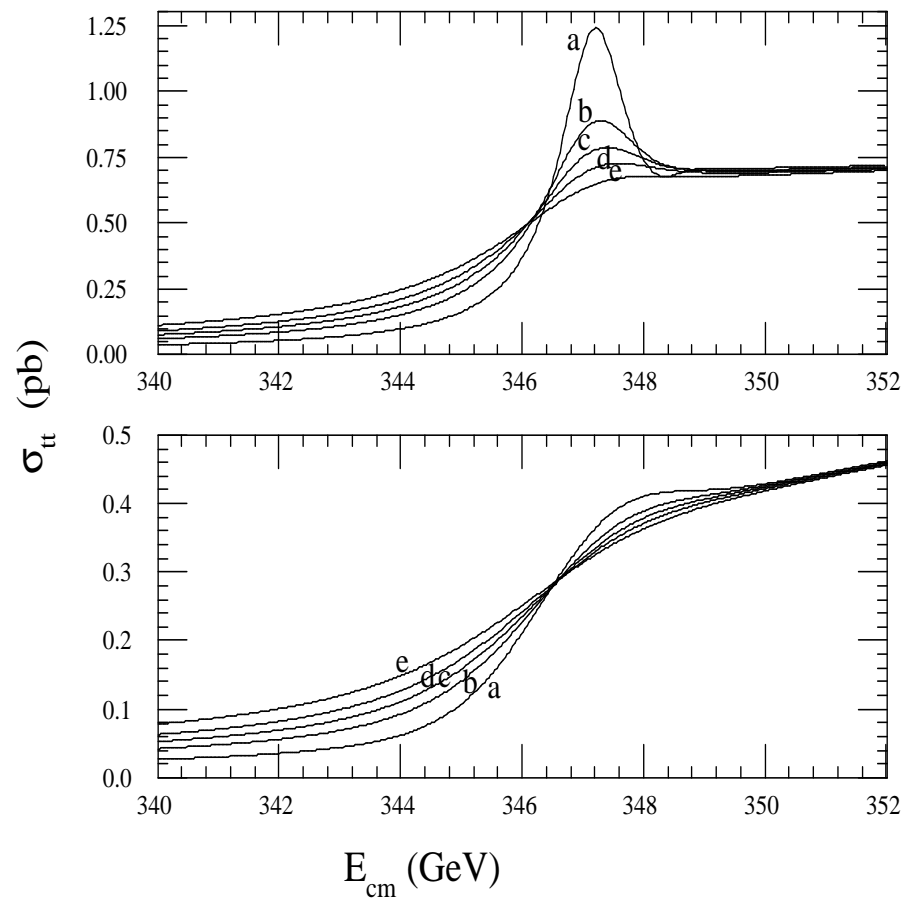
with resummation of  $\log v$



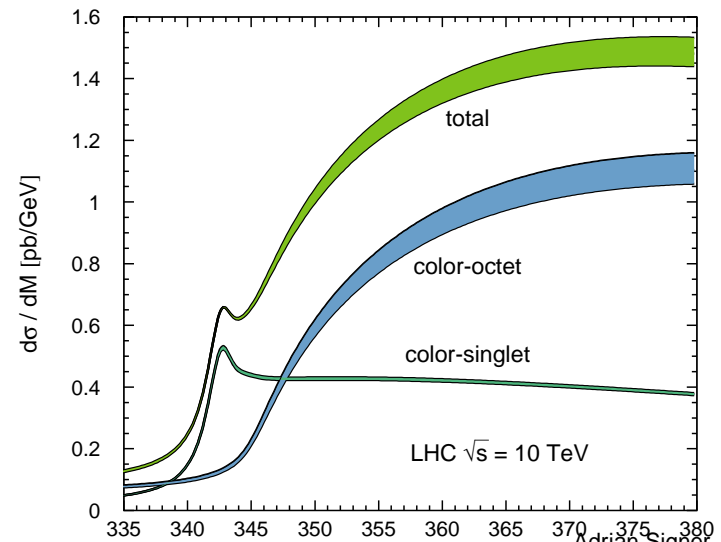
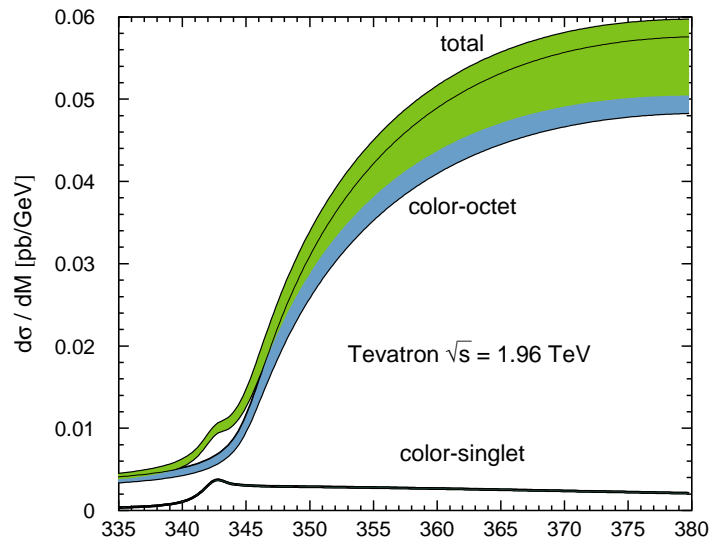
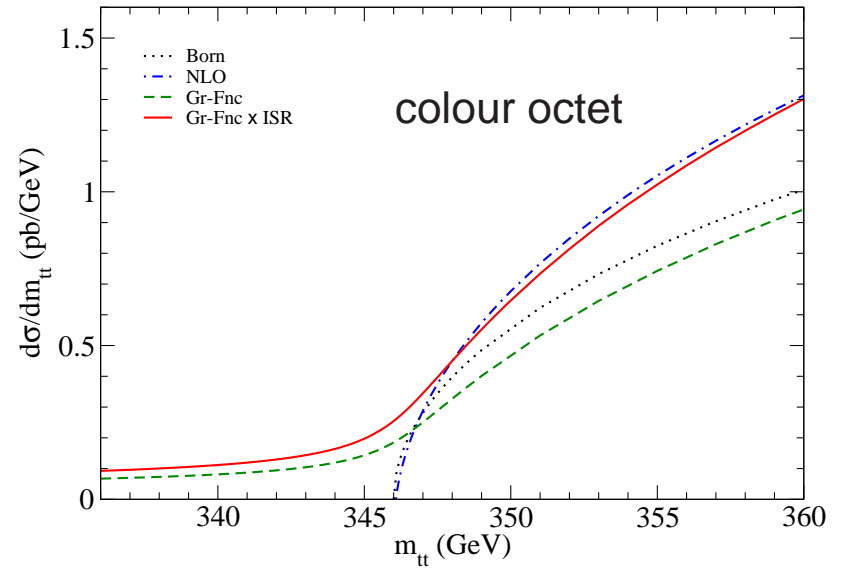
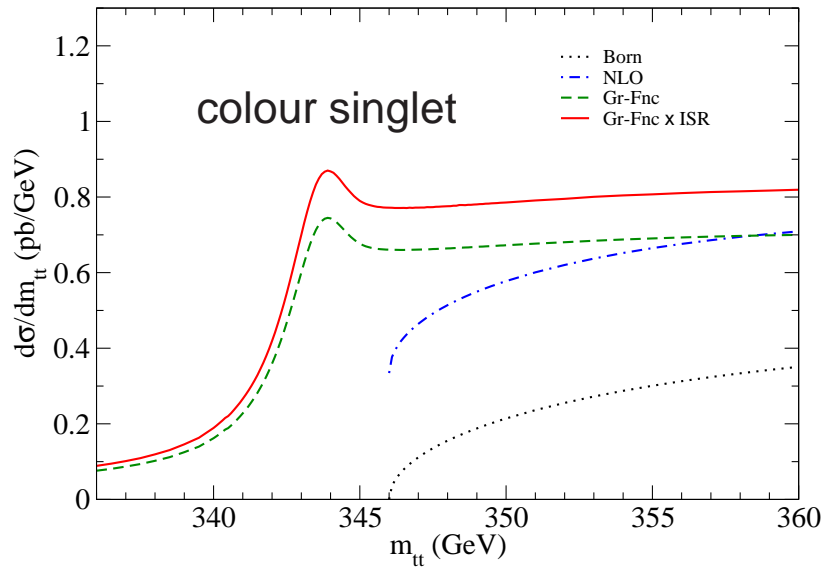
- normalization of cross section much more stable after resummation
- smaller scale dependence, smaller size of corrections
- potential to measure top mass to an accuracy of  $\delta m_t \simeq 200 \text{ MeV}$
- potential for a precise measurement of  $\Gamma_t$  and maybe even the Yukawa coupling



Top threshold scan at linear collider



Top “threshold scan” at LHC [Hagiwara et.al.; Kiyo et.al.]

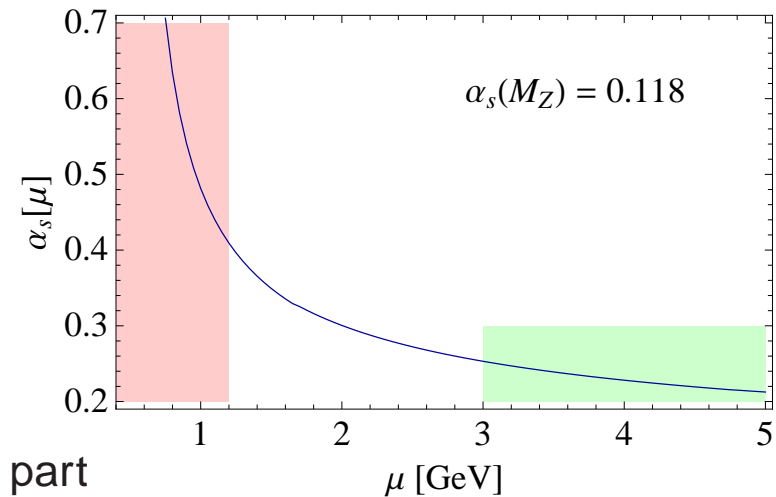


Extraction of bottom mass from  $M_{\Upsilon(1S)}$

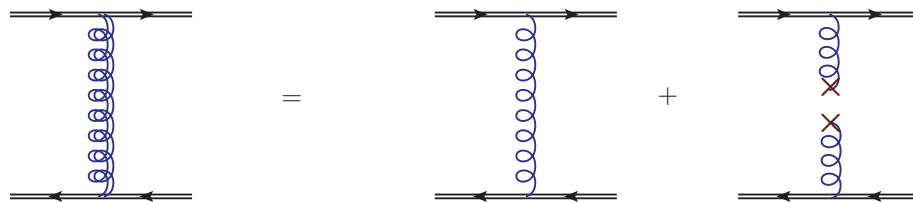
$$M_{\Upsilon(1S)} = 2m_b + E \Rightarrow m_{b,PS} = (4.58 \pm 0.04 \text{ (th)} \pm 0.07 \text{ (non-pert)}) \text{ GeV}$$

scales:  $E_n \simeq -\frac{(C_F \alpha_s)^2 m}{4n^2} \Rightarrow$   
 $\mu_s \sim p_n \simeq \frac{C_F \alpha_s m}{2n} \simeq 1.2 \text{ GeV for } n = 1 \text{ ?!}$

non-perturbative effects !



full gluon propagator = pert. part ( $1/q^2$ ) + non-pert. part



parameterization of QCD at low energies through condensates

leading:  $\alpha_s \langle G_{\mu\nu}^a G_{\mu\nu}^a \rangle \Rightarrow$  contribution  $\delta M_{\Upsilon(1S)}^{np} = \frac{624}{425} \pi m \frac{\langle G_{\mu\nu}^a G_{\mu\nu}^a \rangle}{(m C_F \alpha_s)^4} \simeq 70 - 90 \text{ MeV}$

subleading:  $g_s^3 f^{abc} \langle G_{\mu\nu}^a G_{\nu\lambda}^b G_{\lambda\mu}^c \rangle \dots$

to be continued