# Models of Flavor Physics

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### **Plan of Lectures**

- 1. Lecture1
  - (a) What is flavor physics?
  - (b) Why is it interesting?
  - (c) Flavor in the Standard Model
  - (d) The SM flavor puzzle
  - (e) Lessons from the B-factories
- 2. Lecture2
  - (a) The NP flavor puzzle
  - (b) Minimal Flavor Violation
  - (c) Flavor models
  - (d) Flavor@LHC

**Models of Flavor Physics** 

# What is Flavor Physics?

### What are flavors?

Copies of the same gauge representation:  $SU(3)_{\rm C} \times U(1)_{\rm EM}$ 

Up-type quarks	$(3)_{+2/3}$	u,c,t
Down-type quarks	$(3)_{-1/3}$	d,s,b
Charged leptons	$(1)_{-1}$	$e, \mu,  au$
Neutrinos	$(1)_{0}$	$ u_1,  u_2,  u_3$

### What are flavors?

In the interaction basis:  $SU(3)_{\rm C} \times SU(2)_{\rm L} \times U(1)_{\rm Y}$ 

Quark doublets	$(3,2)_{\pm 1/6}$	$Q_{Li}$
Up-type quark singlets	$(3,1)_{+2/3}$	$U_{Ri}$
Down-type quark singlets	$(3,1)_{-1/3}$	$D_{Ri}$
Lepton doublets	$(1,2)_{-1/2}$	$L_{Li}$
Charged lepton singlets	$(1,1)_{-1}$	$E_{Ri}$

In QCD:

 $SU(3)_{\rm C}$ 

Quarks (3) u, d, s, c, b, t

# What is flavor physics?

- Interactions that distinguish among the generations:
  - Neither strong nor electromagnetic interactions
  - Within the SM: Only weak and Yukawa interactions
- In the interaction basis:
  - The weak interactions are also flavor-universal
  - The source of all SM flavor physics: Yukawa interactions among the gauge interaction eigenstates
- Flavor parameters:
  - Parameters with flavor index  $(m_i, V_{ij})$

# More flavor dictionary

- Flavor universal:
  - Coupling/paremeters  $\propto \mathbf{1}_{ij}$  in flavor space
  - Example: strong interactions  $\overline{U_R}G^{\mu a}\lambda^a\gamma_\mu \mathbf{1}U_R$
- Flavor diagonal:
  - Coupling/paremeters that are diagonal in flavor space
  - Example: Yukawa interactions in mass basis  $\overline{U_L} \lambda_u U_R H, \quad \lambda_u = \text{diag}(y_u, y_c, y_t)$

# And more flavor dictionary

- Flavor changing:
  - Initial flavor number  $\neq$  final flavor number
  - Flavor number = # particles # antiparticles
  - $B \to \psi K \quad (\bar{b} \to \bar{c}c\bar{s}); \ K^- \to \mu^- \overline{\nu_2} \quad (s\bar{u} \to \mu^- \overline{\nu_2})$
- Flavor changing neutral current processes:
  - Flavor changing processes that involve either U or D but not both and/or either  $\ell^-$  or  $\nu$  but not both
  - $-\mu \to e\gamma; K \to \pi \nu \bar{\nu} \ (s \to d\nu \bar{\nu}); D^0 \overline{D}^0 \text{ mixing } (c\bar{u} \to u\bar{c})...$
  - FCNC are highly suppressed in the SM

### **The Flavor Factories**

- B-factories: Belle and BaBar Asymmetric  $e^+ - e^-$  colliders producing  $\Upsilon(4S) \to B\bar{B}$
- Tevatron: CDF and D0  $p - \bar{p}$  colliders at 2 TeV  $(B_s...)$
- MEG:  $\mu \rightarrow e\gamma$
- LHC: LHCb, ATLAS, CMS
- Future: NA62, Super-B, LHCb-upgrade...

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# Why is Flavor Physics Interesting?

Flavor Physics

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# Why is flavor physics interesting?

- Flavor physics is sensitive to new physics at  $\Lambda_{\rm NP} \gg E_{\rm experiment}$
- The Standard Model flavor puzzle: Why are the flavor parameters small and hierarchical? (Why) are the neutrino flavor parameters different?
- The New Physics flavor puzzle: If there is NP at the TeV scale, why are FCNC so small?

# A brief history of FV

- $\Gamma(K \to \mu \mu) \ll \Gamma(K \to \mu \nu) \implies \text{Charm [GIM, 1970]}$
- $\Delta m_K \implies m_c \sim 1.5 \; GeV$  [Gaillard-Lee, 1974]
- $\varepsilon_K \neq 0 \implies \text{Third generation [KM, 1973]}$
- $\Delta m_B \implies m_t \gg m_W$  [Various, 1986]

A recent example of flavor@GeV  $\implies$  SUSY@TeV:

•  $\Delta m_D + \Delta m_K \implies \Delta m_{\tilde{q}}/m_{\tilde{q}} \lesssim 0.04 - 0.1$ 

[Ciuchini et al, PLB 655, 162 (2007); Nir, JHEP 0705, 102 (2007); Blum et al, PRL 102, 211802 (2009)]

### What is CP violation?

- Interactions that distinguish between particles and antiparticles (e.g.  $e_L^- \leftrightarrow e_R^+$ )
  - Neither strong nor electromagnetic interactions (Comment:  $\theta_{\text{QCD}}$  is irrelevant to our discussion)
  - Within the SM: Charged current weak interactions ( $\delta_{\rm KM}$ )
  - With NP: many new sources of CPV
  - Manifestations of CP violation:

$$- \Gamma(B^0 \to \psi K_S) \neq \Gamma(\overline{B^0} \to \psi K_S)$$
$$- K_S, K_L \neq K_+, K_-$$

# Why is CPV interesting?

- Within the SM, a single CP violating parameter η: In addition, QCD = CP invariant (θ<sub>QCD</sub> irrelevant) Strong predictive power (correlations + zeros) Excellent tests of the flavor sector
- η cannot explain the baryon asymmetry a puzzle: There must exist new sources of CPV Electroweak baryogenesis? (Testable at the LHC) Leptogenesis? (Window to Λ<sub>seesaw</sub>)

# A brief history of CPV

- 1964 2000
  - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}; \ \mathcal{R}e(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$

### A brief history of CPV

- 1964 2000
  - $|\varepsilon| = (2.228 \pm 0.011) \times 10^{-3}; \ \mathcal{R}e(\varepsilon'/\varepsilon) = (1.65 \pm 0.26) \times 10^{-3}$
- 2000 2012
  - $S_{\psi K_S} = +0.68 \pm 0.02$
  - $S_{\phi K_S} = +0.74 \pm 0.12, \ S_{\eta' K_S} = +0.59 \pm 0.07,$  $S_{f_0 K_S} = +0.69 \pm 0.11$
  - $S_{K^+K^-K_S} = +0.68 \pm 0.10$
  - $S_{\pi^+\pi^-} = -0.65 \pm 0.07, C_{\pi^+\pi^-} = -0.36 \pm 0.06$
  - $S_{\psi\pi^0} = -0.93 \pm 0.15, S_{D^+D^-} = -0.98 \pm 0.17,$  $S_{D^{*+}D^{*-}} = -0.77 \pm 0.10$
  - $\mathcal{A}_{K^{\mp}\pi^{\pm}} = -0.087 \pm 0.008$
  - $\mathcal{A}_{D_+K^{\pm}} = +0.19 \pm 0.03$

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#### The Standard Model

### The Standard Model

- $G_{\rm SM} = SU(3)_C \times SU(2)_L \times U(1)_Y$
- $\langle \phi(1,2)_{+1/2} \rangle \neq 0$  breaks  $G_{\rm SM} \to SU(3)_C \times U(1)_{EM}$
- Quarks:  $3 \times \{Q_L(3,2)_{+1/6} + U_R(3,1)_{+2/3} + D_R(3,1)_{-1/3}\}$ Leptons:  $3 \times \{L_L(1,2)_{-1/2} + E_R(1,1)_{-1}\}$

$$\bigvee \mathcal{L}_{\rm SM} = \mathcal{L}_{\rm kinetic+gauge} + \mathcal{L}_{\rm Higgs} + \mathcal{L}_{\rm Yukawa}$$

- $\mathcal{L}_{\rm SM}$  depends on 18 parameters
- All have been measured

# Flavor Symmetry

- $\mathcal{L}_{\text{kinetic+gauge}} + \mathcal{L}_{\text{Higgs}}$  has a large global symmetry:  $G_{\text{global}} = [U(3)]^5$
- $Q_L \to V_Q Q_L$ ,  $U_R \to V_U U_R$ ,  $D_R \to V_D D_R$ ,  $L_L \to V_L L_L$ ,  $E_R \to V_E E_R$
- Take, for example  $\mathcal{L}_{\text{kinetic+gauge}}$  for  $Q_L(3,2)_{+1/6}$ :  $i\overline{Q_L}_i(\partial_\mu + \frac{i}{2}g_s G^a_\mu \lambda^a + \frac{i}{2}g_s W^b_\mu \tau^b + \frac{i}{6}g' B_\mu)\gamma^\mu \delta_{ij} Q_{Lj}$

• 
$$\overline{Q_L} \mathbf{1} Q_L \rightarrow \overline{Q_L} V_Q^{\dagger} \mathbf{1} V_Q Q_L = \overline{Q_L} \mathbf{1} Q_L$$

• Take, for example  $\mathcal{L}_{\text{kinetic+gauge}}$  for  $E_R(1,1)_{-1}$ :  $i\overline{E_R}_i(\partial_\mu - ig'B_\mu)\gamma^\mu \delta_{ij}E_{Rj}$ 

• 
$$\overline{E_R} \mathbf{1} E_R \rightarrow \overline{E_R} V_E^{\dagger} \mathbf{1} V_E E_R = \overline{E_R} \mathbf{1} E_R$$

#### The Standard Model

### **Quark Flavor Violation**

- $\mathcal{L}^{q}_{\text{Yukawa}} = \overline{Q_{L}}_{i} Y^{u}_{ij} \tilde{\phi} U_{Rj} + \overline{Q_{L}}_{i} Y^{d}_{ij} \phi D_{Rj}$ breaks  $U(3)_{Q} \times U(3)_{U} \times U(3)_{D} \to U(1)_{B}$
- Flavor physics: interactions that break the  $[SU(3)]^5$  symmetry

• 
$$Q_L \to V_Q Q_L$$
,  $U_R \to V_U U_R$ ,  $D_R \to V_D D_R$   
= Change of interaction basis

• 
$$Y^d \to V_Q Y^d V_D^{\dagger}, \quad Y^u \to V_Q Y^u V_U^{\dagger}$$

• Can be used to reduce the number of parameters in  $Y^u, Y^d$ 

# Kobayashi and Maskawa (I)

CP violation  $\leftrightarrow$  Complex couplings:

- Hermiticity:  $\mathcal{L} \sim g_{ijk}\phi_i\phi_j\phi_k + g_{ijk}^*\phi_i^\dagger\phi_j^\dagger\phi_k^\dagger$
- CP transformation:  $\phi_i \phi_j \phi_k \leftrightarrow \phi_i^{\dagger} \phi_j^{\dagger} \phi_k^{\dagger}$
- CP is a good symmetry if  $g_{ijk} = g_{ijk}^*$

The number of real and imaginary quark flavor parameters:

• With two generations:

 $2 \times (4_R + 4_I) - [3 \times (1_R + 3_I) - 1_I] = 5_R + 0_I$ 

- With three generations:  $2 \times (9_R + 9_I) - [3 \times (3_R + 6_I) - 1_I] = 9_R + 1_I$
- The two generation SM is CP conserving The three generation SM is CP violating

#### The Standard Model

### The quark flavor parameters

- Convenient (but not unique) interaction basis:  $Y^d \to V_Q Y^d V_D^{\dagger} = \lambda^d, \quad Y^u \to V_Q Y^u V_U^{\dagger} = V^{\dagger} \lambda^u$
- $\lambda^d, \lambda^u$  diagonal and real:

$$\lambda^{d} = \begin{pmatrix} y_{d} & & \\ & y_{s} & \\ & & y_{b} \end{pmatrix}; \quad \lambda^{u} = \begin{pmatrix} y_{u} & & \\ & y_{c} & \\ & & y_{t} \end{pmatrix}$$

• V unitary with 3 real  $(\lambda, A, \rho)$  and 1 imaginary  $(\eta)$  parameters:

$$V \simeq \begin{pmatrix} 1 & \lambda & A\lambda^3(\rho + i\eta) \\ -\lambda & 1 & A\lambda^2 \\ A\lambda^3(1 - \rho + i\eta) & -A\lambda^2 & 1 \end{pmatrix}$$

• Another convenient basis:  $Y^d \to V\lambda^d$ ,  $Y^u \to \lambda^u$ 

### The mass basis

- To transform to the mass basis:  $D_L \to D_L$ ,  $U_L \to VU_L$
- $m_q = y_q \langle \phi \rangle$
- V = The CKM matrix

$$\mathcal{L}_W = \frac{g}{\sqrt{2}} \overline{U_L} V \gamma^{\mu} D_L W^+_{\mu} + \text{h.c.}$$
$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

•  $\eta$  - the only source of CP violation

# Kobayashi and Maskawa (II)

The achievements:

- Predicting the third generation
- Suggesting the correct mechanism of CP violation

#### The Standard Model

# Lepton Flavor Violation

- $\mathcal{L}^{\ell}_{\text{Yukawa}} = \overline{L_L}_i Y^e_{ij} \phi E_{Rj}$ breaks  $U(3)_L \times U(3)_E \to U(1)_e \times U(1)_\mu \times U(1)_\tau$
- Flavor physics:

interactions that break the  $[SU(3)]^5$  symmetry

- $L_L \to V_L L_L$ ,  $E_R \to V_E E_R$ = Change of interaction basis
- $Y^e \to V_L Y^e V_E^{\dagger}$
- Can be used to make  $Y^e \to \lambda_e = \text{diag}(Y_e, Y_\mu, Y_\tau)$ No lepton flavor changing interactions within the SM

#### The Standard Model

### **Intermediate Summary I**

- Within the Standard Model
  - The W-mediated quark interactions the only source of FC and CPV physics:  $\mathcal{L}_W = \frac{g}{\sqrt{2}} \overline{U_L} V \gamma^\mu D_L W^+_\mu + \text{h.c.}$
  - All flavor changing processes depend on 4 CKM parameters:  $\lambda, A, \rho, \eta$
  - All CP violating processes depend on the single KM phase:  $\eta$

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# **Smallness and Hierarchy**

$$\begin{split} Y_t \sim 1, \quad Y_c \sim 10^{-2}, \quad Y_u \sim 10^{-5} \\ Y_b \sim 10^{-2}, \quad Y_s \sim 10^{-3}, \quad Y_d \sim 10^{-4} \\ Y_\tau \sim 10^{-2}, \quad Y_\mu \sim 10^{-3}, \quad Y_e \sim 10^{-6} \\ |V_{us}| \sim 0.2, \quad |V_{cb}| \sim 0.04, \quad |V_{ub}| \sim 0.004, \quad \delta_{\rm KM} \sim 1 \end{split}$$

- For comparison:  $g_s \sim 1$ ,  $g \sim 0.6$ ,  $g' \sim 0.3$ ,  $\lambda \sim 1$
- The SM flavor parameters have structure: smallness and hierarchy
- Why? = The SM flavor puzzle
  - Approximate symmetry? [Froggatt-Nielsen]
  - Strong dynamics? [Nelson-Strassler]
  - Location in extra dimension? [Arkani-Hamed-Schmaltz]
  - ?

### Neutrino flavor parameters

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$

### Neutrino flavor parameters

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$
- Note:
  - $|U_{\mu3}| > \text{any } |V_{ij}|; |U_{e2}| > \text{any } |V_{ij}| \quad (i \neq j)$
  - $m_2/m_3 > \text{any } m_i/m_j$  for charged fermions
  - $|U_{e3}| \not\ll 1$
  - So far, neither smallness nor hierarchy
  - Is neutrino flavor different from charged fermion flavor?

### Structure is in the eye of the beholder

$$|U|_{3\sigma} = \begin{pmatrix} 0.79 - 0.86 & 0.50 - 0.61 & 0.1 - 0.2 \\ 0.25 - 0.53 & 0.47 - 0.73 & 0.56 - 0.79 \\ 0.21 - 0.51 & 0.42 - 0.69 & 0.61 - 0.83 \end{pmatrix}$$

• Tribimaximal-ists:

$$|U|_{\text{TBM}} = \begin{pmatrix} \sqrt{2/3} & \sqrt{1/3} & 0\\ \sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2}\\ \sqrt{1/6} & \sqrt{1/3} & \sqrt{1/2} \end{pmatrix}$$

• Anarch-ists:

$$|U|_{\text{anarchy}} = \begin{pmatrix} \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \\ \mathcal{O}(0.6) & \mathcal{O}(0.6) & \mathcal{O}(0.6) \end{pmatrix}$$

# Intermediate Summary II

- Why is there smallness and hierarchy in the flavor parameters?
- Is there a relation Dirac/Majorana  $\Leftrightarrow$  hierarchy/anarchy? Is there a relation Dirac/Majorana  $\Leftrightarrow$  Abelian/non-Abelian?

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• Babar/Belle: 
$$A_{\psi K_S}(t) = \frac{\frac{d\Gamma}{dt}[\overline{B^0_{\text{phys}}}(t) \to \psi K_S] - \frac{d\Gamma}{dt}[B^0_{\text{phys}}(t) \to \psi K_S]}{\frac{d\Gamma}{dt}[\overline{B^0_{\text{phys}}}(t) \to \psi K_S] + \frac{d\Gamma}{dt}[B^0_{\text{phys}}(t) \to \psi K_S]}$$

• Theory:  $A_{\psi K_S}(t)$  dominated by interference between  $A(B^0 \to \psi K_S)$  and  $A(B^0 \to \overline{B^0} \to \psi K_S)$ 

• 
$$\Longrightarrow A_{\psi K_S}(t) = S_{\psi K_S} \sin(\Delta m_B t)$$
  
 $\implies S_{\psi K_S} = \mathcal{I}m \left[ \frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$
# $S_{\psi K_S}$ in the SM

• 
$$S_{\psi K_S} = \mathcal{I}m\left[\frac{V_{tb}^* V_{td}}{V_{tb} V_{td}^*} \frac{V_{cb} V_{cd}^*}{V_{cb}^* V_{cd}}\right] = \frac{2\eta(1-\rho)}{\eta^2 + (1-\rho)^2}$$

- In the language of the unitarity triangle:  $S_{\psi K_S} = \sin 2\beta$
- The approximations involved are better than one percent!
- Experiments:  $S_{\psi K_S} = 0.68 \pm 0.02$

## The Unitarity Triangle

• A geometrical presentation of  $V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$ 

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$



### The Unitarity Triangle

• A geometrical presentation of  $V_{ub}^* V_{ud} + V_{tb}^* V_{td} + V_{cb}^* V_{cd} = 0$ 



$$\alpha \equiv \phi_2; \ \ \beta \equiv \phi_1; \ \ \gamma \equiv \phi_3$$

### Testing CKM – Take I

- Assume: CKM matrix is the only source of FV and CPV
- $\lambda$  known from  $K \to \pi \ell \nu$ A known from  $b \to c \ell \nu$
- Many observables are  $f(\rho, \eta)$ :

$$-b \rightarrow u\ell\nu \implies \propto |V_{ub}/V_{cb}|^2 \propto \rho^2 + \eta^2$$

$$-\Delta m_{B_d}/\Delta m_{B_s} \implies \propto |V_{td}/V_{ts}|^2 \propto (1-\rho)^2 + \eta^2$$

$$-S_{\psi K_S} \implies \frac{2\eta(1-\rho)}{(1-\rho)^2 + \eta^2}$$

$$-S_{\rho\rho}(\alpha)$$

$$-\mathcal{A}_{DK}(\gamma)$$

$$-\epsilon_K$$

#### **The B-factories Plot**



CKMFitter

Very likely, the CKM mechanism dominates FV and CPV





Very likely, the KM mechanism dominates CP violation

 $S_{\psi K_S}$  with NP

• Reminder: 
$$S_{\psi K_S} = \mathcal{I}m \left[ \frac{A(B^0 \to \overline{B^0})}{|A(B^0 \to \overline{B^0})|} \frac{A(\overline{B^0} \to \psi K_S)}{A(B^0 \to \psi K_S)} \right]$$

- New physics contributions to the tree level decay amplitude negligible
- New physics contributions to the loop + CKM suppressed mixing amplitude could be large

• Define 
$$h_d e^{2i\sigma_d} = \frac{A^{\text{NP}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$$

$$r_d e^{2i\theta_d} = 1 + h_d e^{2i\sigma_d} = \frac{A^{\text{full}}(B^0 \to \overline{B}^0)}{A^{\text{SM}}(B^0 \to \overline{B}^0)}$$

• 
$$S_{\psi K_S} = \sin[2(\beta + \theta_d)] = f(\rho, \eta, h_d, \sigma_d)$$

#### Testing CKM - take II

- Assume: New Physics in leading tree decays negligible
- Allow arbitrary new physics in loop processes
- Use only tree decays and  $B^0 \overline{B}^0$  mixing
- Use  $|V_{ub}/V_{cb}|$ ,  $\mathcal{A}_{DK}$ ,  $S_{\psi K}$ ,  $S_{\rho\rho}$ ,  $\Delta m_{B_d}$ ,  $\mathcal{A}_{SL}^d$
- Fit to  $\eta, \rho, h_d, \sigma_d$
- Find whether  $\eta = 0$  is allowed If not  $\implies$  The KM mechanism is at work
- Find whether  $h_d \gg 1$  is allowed If not  $\implies$  The KM mechanism is dominant

What have we learned?

 $\eta \neq 0$ ?



• The KM mechanism is at work

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#### What have we learned?

 $h_d \ll 1?$ 



- The KM mechanism dominates CP violation
- The CKM mechanism is a major player in flavor violation

What have we learned?

#### Hints of new physics?

- LHCb+CDF+...:  $\Delta A_{\rm CP}^c = (-0.66 \pm 0.15) \times 10^{-2}$ SM(?):  $\Delta A_{\rm CP}^c \lesssim 10^{-3}$
- D0:  $A_{SL}^b = (-7.9 \pm 1.7 \pm 0.9) \times 10^{-3}$ SM:  $A_{SL}^b = (-0.23 \pm 0.06) \times 10^{-3}$
- CDF+D0: Forward-backward asymmetry in  $t\bar{t}$  production

Observable	Experiment	$\mathbf{SM}$
$A_{\mathrm{FB}}^t$	$0.18\pm0.04$	$\sim 0.08$
$A_{ m FB}^\ell$	$0.15\pm0.04$	$\sim 0.02$
$A_{\rm FB}^t(m_{t\bar{t}} > 450)$	$0.28\pm0.06$	0.10 - 0.15

### Intermediate summary III

- The KM phase is different from zero (SM violates CP)
- The KM mechanism is the dominant source of the CP violation observed in meson decays
- Complete alternatives to the KM mechanism are excluded (Superweak, Approximate CP)
- CP violation in  $D, B_s$  may still hold surprises
- No evidence for corrections to CKM
- NP contributions to the observed FCNC are at most comparable to the CKM contributions
- NP contributions are very small in  $s \to d, c \to u, b \to d, b \to s$

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#### **Plan of Lectures**

- 1. Lecture1
  - (a) What is flavor physics?
  - (b) Why is it interesting?
  - (c) Flavor in the Standard Model
  - (d) The SM flavor puzzle
  - (e) Lessons from the B-factories
- 2. Lecture2
  - (a) The NP flavor puzzle
  - (b) Minimal Flavor Violation
  - (c) Models of Flavor Physics
  - (d) Flavor@LHC

**Models of Flavor Physics** 



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#### The NP flavor puzzle

#### The SM = Low energy effective theory

- 1. Gravity  $\implies \Lambda_{\text{Planck}} \sim 10^{19} \text{ GeV}$
- 2.  $m_{\nu} \neq 0 \Longrightarrow \Lambda_{\text{Seesaw}} \leq 10^{15} \text{ GeV}$
- 3.  $m_H^2$ -fine tuning; Dark matter  $\implies \Lambda_{\rm NP} \sim TeV$

- The SM = Low energy effective theory
  - Must write non-renormalizable terms suppressed by  $\Lambda_{\rm NP}^{d-4}$

• 
$$\mathcal{L}_{d=5} = \frac{y_{ij}^{\nu}}{\Lambda_{\text{seesaw}}} L_i L_j \phi \phi$$

•  $\mathcal{L}_{d=6}$  contains many flavor changing operators

### **New Physics**

• The effects of new physics at a high energy scale  $\Lambda_{\rm NP}$  can be presented as higher dimension operators

• For example, we expect the following dimension-six operators:  $\frac{z_{sd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu s_L)^2 + \frac{z_{cu}}{\Lambda_{\rm NP}^2} (\overline{c_L} \gamma_\mu u_L)^2 + \frac{z_{bd}}{\Lambda_{\rm NP}^2} (\overline{d_L} \gamma_\mu b_L)^2 + \frac{z_{bs}}{\Lambda_{\rm NP}^2} (\overline{s_L} \gamma_\mu b_L)^2$ 

- New contribution to neutral meson mixing, *e.g.*  $\frac{\Delta m_B}{m_B} \sim \frac{f_B^2}{3} \times \frac{|z_{bd}|}{\Lambda_{\rm NP}^2}$
- Generic flavor structure  $\equiv z_{ij} \sim 1$  or, perhaps, loop factor

## Some data

$\Delta m_K/m_K$	$7.0\times10^{-15}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$
$\epsilon_K$	$2.3 \times 10^{-3}$
$A_{\Gamma}$	$\leq 0.2$
$S_{\psi K_S}$	$0.68\pm0.02$
$S_{\psi\phi}$	$\leq 1$

# High Scale?

• For 
$$z_{ij} \sim 1$$
 (and  $\mathcal{I}m(z_{ij}) \sim 1$ ),  $\Lambda_{\rm NP} \gtrsim \frac{10^{-4}}{\sqrt{\Delta m/m}} TeV$ 

		$\Lambda_{ m NP}\gtrsim$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	$1000 { m TeV}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$1000 { m TeV}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$400 { m TeV}$
$\Delta m_{B_s}/m_{B_s}$	$2.1\times10^{-12}$	$70 { m ~TeV}$
$\epsilon_K$	$2.3 \times 10^{-3}$	$20000~{\rm TeV}$
$A_{\Gamma}$	$\leq 0.004$	$3000 { m ~TeV}$
$S_{\psi K_S}$	$0.67\pm0.02$	$800 { m TeV}$
$S_{\psi\phi}$	$\leq 1$	$70 { m ~TeV}$

The NP flavor puzzle

### High Scale

- For  $z_{ij} \sim 1$ ,  $\Lambda_{\rm NP} \gg 1000 \ TeV$
- For  $z_{ij} \sim \alpha_2^2$ ,  $\Lambda_{\rm NP} \gg 100 \ TeV$

## High Scale

- For  $z_{ij} \sim 1$ ,  $\Lambda_{\rm NP} \gg 1000 \ TeV$
- For  $z_{ij} \sim \alpha_2^2$ ,  $\Lambda_{\rm NP} \gg 100 \ TeV$

## $\downarrow$

- Did we misinterpret the Higgs fine tuning problem?
- Did we misinterpret the dark matter puzzle?

### **Small (hierachical?) flavor parameters?**

• For  $\Lambda_{\rm NP} \sim 1 \ TeV, \ z_{ij} \lesssim 10^8 (\Delta m_{ij}/m)$ 

		$z_{ij}\lesssim$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	$9 \times 10^{-7}$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$6 \times 10^{-7}$
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$5 \times 10^{-6}$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$	$2 \times 10^{-4}$
		$\mathcal{I}m(z_{ij}) \lesssim$
$\epsilon_K$	$2.3 \times 10^{-3}$	$\frac{\mathcal{I}m(z_{ij}) \lesssim}{4 \times 10^{-9}}$
$\epsilon_K \ A_\Gamma$	$2.3 \times 10^{-3}$ $\leq 0.004$	$\mathcal{I}m(z_{ij}) \lesssim 4 \times 10^{-9} \\ 1 \times 10^{-7}$
$\epsilon_K \ A_\Gamma \ S_{\psi K_S}$	$2.3 \times 10^{-3}$ $\leq 0.004$ $0.67 \pm 0.02$	$\mathcal{I}m(z_{ij}) \lesssim$ $4 \times 10^{-9}$ $1 \times 10^{-7}$ $1 \times 10^{-6}$

### **Small (hierachical?) flavor parameters**

- For  $\Lambda_{\rm NP} \sim TeV, \, \mathcal{I}m(z_{sd}) < 6 \times 10^{-9}$
- For  $\Lambda_{\rm NP} \sim TeV, |z_{bs}| < 2 \times 10^{-4}$

### **Small (hierachical?) flavor parameters**

- For  $\Lambda_{\rm NP} \sim TeV, \, \mathcal{I}m(z_{sd}) < 6 \times 10^{-9}$
- For  $\Lambda_{\rm NP} \sim |TeV| |z_{bs}| < 2 \times 10^{-4}$

- The flavor structure of NP@TeV must be highly non-generic Degeneracies/Alignment
- How? Why? = The NP flavor puzzle

### How does the SM ( $\Lambda_{\rm SM} \sim m_W$ ) do it?

		$z_{ij}\sim$	$z^{ m SM}_{ij}$
$\Delta m_K/m_K$	$7.0 \times 10^{-15}$	$5 \times 10^{-9}$	$lpha_2^2 y_c^2  V_{cd} V_{cs} ^2$
$\Delta m_D/m_D$	$8.7 \times 10^{-15}$	$5 \times 10^{-9}$	Long Distance
$\Delta m_B/m_B$	$6.3 \times 10^{-14}$	$7 \times 10^{-8}$	$lpha_2^2 y_t^2  V_{td}V_{tb} ^2$
$\Delta m_{B_s}/m_{B_s}$	$2.1 \times 10^{-12}$	$2 \times 10^{-6}$	$lpha_2^2 y_t^2  V_{ts} V_{tb} ^2$
		$rac{\mathcal{I}m(z_{ij})}{ z_{ij} }\sim$	$rac{\mathcal{I}m(z_{ij}^{ ext{SM}})}{ z_{ij}^{ ext{SM}} }$
$\epsilon_K$	$2.3 \times 10^{-3}$	$\mathcal{O}(0.01)$	$\mathcal{I}m \frac{y_t^2 (V_{td}^* V_{ts})^2}{y_c^2 (V_{cd}^* V_{cs})^2} \sim 0.01$
$A_{\Gamma}$	$\leq 0.004$	$\leq 0.2$	0
$S_{\psi K_S}$	$0.67\pm0.02$	$\mathcal{O}(1)$	$\mathcal{I}m\frac{V_{tb}V_{td}^*}{V_{tb}^*V_{td}}\frac{V_{cb}^*V_{cd}}{V_{cb}V_{cd}^*}\sim 0.7$
$S_{\psi\phi}$	$\leq 1$	$\leq 1$	$\mathcal{I}m rac{V_{tb}V_{ts}^*}{V_{tb}^*V_{ts}} rac{V_{cb}^*V_{cs}}{V_{cb}V_{cs}^*} \sim 0.02$

• Does the new physics know the SM Yukawa structure? (MFV)

# **Supersymmetry for Phenomenologists**



80 real + 44 imaginary parameters

## The $D^0 - \overline{D^0}$ mixing challenge

Take, for example, the contribution from the first two generations of squark doublets to  $D - \overline{D}$  mixing:



**Flavor Physics** 

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# How can Supersymmetry do it?

$$\frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1$$

Why? = The SUSY flavor puzzle

# How can Supersymmetry do it?

$$\frac{TeV}{\tilde{m}} \times \frac{\Delta \tilde{m}_{ij}^2}{\tilde{m}^2} \times K_{ij} \ll 1$$

Why? = The SUSY flavor puzzle

- Solutions:
  - Heaviness:  $\tilde{m} \gg 1 \ TeV$
  - Degeneracy:  $\Delta \tilde{m}_{ij}^2 \ll \tilde{m}^2$
  - Alignment:  $K_{ij} \ll 1$

- Split Supersymmetry
- Gauge-mediation
- Horizontal symmetries

# Gauge Mediated Supersymmetry Breaking

Gauge interactions generate universal soft squark and slepton masses:

- $\widetilde{M}_{\widetilde{q}_L}^2 = \widetilde{m}^2 \mathbf{1} + D_{q_L} \mathbf{1} + v_q^2 Y_q Y_q^{\dagger}$
- RGE:  $\tilde{m}_{\tilde{Q}_L}^2(m_Z) = \tilde{m}^2(r_3 \mathbf{1} + c_u Y_u Y_u^{\dagger} + c_d Y_d Y_d^{\dagger})$
- Strong  $[\mathcal{O}(10^{-4})]$  degeneracy between  $\tilde{Q}_{L1} \tilde{Q}_{L2}$ ; CKM-size alignment
- The only source of flavor violation = The SM Yukawa couplings
- An example of minimal flavor violation (MFV)
- MFV solves all SUSY flavor problems

## Intermediate Summary IV

- How does new physics at TeV suppress its flavor violation?
- Degeneracy? Alignment?
- Is the flavor structure of the NP related to the SM Yukawa structure?
- Are the solutions of the NP and SM flavor puzzles related?

**Models of Flavor Physics** 



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# Spurions

- $\mathcal{L}_{\text{gauge}}^{\text{SM}}$  has a global symmetry,  $G_{\text{flavor}}^q = SU(3)_Q \times SU(3)_U \times SU(3)_D$ , under which  $Q_L(3,1,1), \ U_R(1,3,1), \ D_R(1,1,3)$
- $\mathcal{L}^{q}_{\text{Yukawa}} = \overline{Q_{L}}_{i} Y^{u}_{ij} \tilde{\phi} U_{Rj} + \overline{Q_{L}}_{i} Y^{d}_{ij} \phi D_{Rj}$  breaks  $G^{q}_{\text{flavor}}$
- $G^q_{\text{flavor}}$  would be a good symmetry if  $Y^q$  were fields transforming as  $Y^u(3, \overline{3}, 1), Y^d(3, 1, \overline{3})$
- We say that  $Y^u, Y^d$  are spurions that break  $G^q_{\text{flavor}}$

### **MFV: Definition**

A class of models that obey the following principle:

- The only breaking of flavor universality comes from  $Y_u, Y_d \ (\lambda_d, \lambda_u, V)$
- The only spurions that break  $SU(3)_Q \times SU(3)_U \times SU(3)_D$  are  $Y_u(3, \overline{3}, 1)$  and  $Y_d(3, 1, \overline{3})$

In MFV models, the NP flavor puzzle is solved

Minimal Flavor Violation (MFV)

### **Operationally...**

1. SM = Low energy effective theory:

All higher dimensional operators, constructed from SM fields and the  $Y_q$ -spurions are formally invariant under  $[SU(3)]^3$ 

2. A new high energy physics theory: All operators, constructed from SM and NP fields and the  $Y_q$ -spurions are formally invariant under  $[SU(3)]^3$ Example: Gauge mediated supersymmetry breaking (GMSB)

#### Minimal Flavor Violation (MFV)

# Example (1)

- Consider  $\frac{z_{sd}}{\Lambda_{NP}^2} (\overline{s_L} \gamma_\mu d_L)^2$
- $\overline{s_L} \in (\overline{3}, 1, 1), \quad d_L \in (3, 1, 1) \implies (\overline{s_L} \gamma_\mu d_L) \in (8, 1, 1)$
- $Y_d Y_d^{\dagger} = (3, 1, \overline{3}) \times (\overline{3}, 1, 3) \supset (8, 1, 1)$  $Y_u Y_u^{\dagger} = (3, \overline{3}, 1) \times (\overline{3}, 3, 1) \supset (8, 1, 1)$
- But we are in the down mass basis:  $Y_d = \lambda_d \Longrightarrow (Y_d Y_d^{\dagger})_{12} = 0$
- Must be  $(Y_u Y_u^{\dagger})_{12} = (V^{\dagger} \lambda_u^2 V)_{12} \approx y_t^2 V_{td}^* V_{ts}$
- $z_{sd} \propto y_t^4 (V_{td}^* V_{ts})^2$
- $z_{cu} \propto y_b^4 (V_{ub} V_{cb}^*)^2$   $z_{bd} \propto y_t^4 (V_{td}^* V_{tb})^2$  $z_{bs} \propto y_t^4 (V_{ts}^* V_{tb})^2$
- With the help of a loop factor, phenomenologically OK!

#### Minimal Flavor Violation (MFV)

# Example (2)

- $\tilde{Q}_L^{\dagger} \tilde{Q}_L = (\bar{3}, 1, 1) \times (3, 1, 1) = (1 + 8, 1, 1)$
- $\implies m_{\tilde{Q}_L}^2 = \mathbf{1} + a_u Y_u Y_u^{\dagger} + a_d Y_d Y_d^{\dagger}$  $Y_d Y_d^{\dagger} - \text{FC in u-basis;} \quad Y_u Y_u^{\dagger} - \text{FC in d-basis}$
- $\tilde{U}_R^{\dagger} \tilde{U}_R = (1, \bar{3}, 1) \times (1, 3, 1) = (1, 1+8, 1)$

• 
$$\implies m_{\tilde{U}_R}^2 = \mathbf{1} + b_u Y_u^{\dagger} Y_u - \text{no FC!}$$

•  $\tilde{D}_R^{\dagger} \tilde{D}_R = (1, 1, \bar{3}) \times (1, 1, 3) = (1, 1, 1 + 8)$ 

• 
$$\implies m_{\tilde{D}_R}^2 = \mathbf{1} + b_d Y_d^{\dagger} Y_d - \text{no FC!}$$
**Example** 
$$(2 \rightarrow 1)$$

GMSB, two generations:

• 
$$\frac{\Delta m_{\tilde{d}_L}^2}{m_{\tilde{d}_L}^2} \sim y_c^2$$
,  $K_{21}^{d_L^*} K_{11}^{d_L} = V_{cd}^* V_{cs}$   
 $\implies z_{sd}^{\text{GMSB}} \sim y_c^4 (V_{cd}^* V_{cs})^2$   
•  $\frac{\Delta m_{\tilde{u}_L}^2}{m_{\tilde{u}_L}^2} \sim y_c^2$ ,  $K_{21}^{u_L^*} K_{11}^{u_L} = \frac{y_s^2}{y_c^2} V_{us} V_{cs}^*$   
 $\implies z_{cu}^{\text{GMSB}} \sim y_s^4 (V_{us}^* V_{cs})^2$ 

### Minimal Flavor Violation (MFV)

## MFV contributions to CPV

• Deviations from SM:

		$y_b \sim 1$			$y_b \ll 1$	
i	$S_{\psi\phi}$	$S_{\psi K}$	$\epsilon_K$	$S_{\psi\phi}$	$S_{\psi K}$	$\epsilon_K$
1	$\operatorname{small}$	$\operatorname{small}$	large	small	$\operatorname{small}$	large
$2,\!3$	large	large	$\operatorname{small}$	large	large	$\operatorname{small}$
$4,\!5$	large	small	large	small	small	large

- MFV will be excluded if
  - $S_{\psi K}$ -large and  $S_{\psi \phi}$ -small
  - $S_{\psi K}, S_{\psi \phi}, \epsilon_K$  all large

### Flavor Physics

# $V_{\text{CKM}}$ , with apologies to BABAR and BELLE

• The CKM matrix a-la BABAR/BELLE:

$$V_{\text{CKM}} = \begin{pmatrix} 0.97383 \pm 0.00024 & 0.2272 \pm 0.0010 & (3.96 \pm 0.09) \times 10^{-3} \\ 0.2271 \pm 0.0010 & 0.97296 \pm 0.00024 & (4.221^{+0.010}_{-0.080}) \times 10^{-2} \\ (8.14^{+0.32}_{-0.64}) \times 10^{-3} & (4.161^{+0.012}_{-0.078}) \times 10^{-2} & 0.999100^{+0.000034}_{-0.000004} \end{pmatrix}$$

# $V_{\text{CKM}}$ , with apologies to BABAR and BELLE

• The CKM matrix a-la BABAR/BELLE:

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• The CKM matrix a-la ATLAS/CMS:

$$V_{\rm CKM} = \begin{pmatrix} 1 & 0.2 & 0 \\ -0.2 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Flavor Physics

Minimal Flavor Violation (MFV)

## MFV predictions: Mixing

• The only source of mixing – the CKM matrix:

$$V_{\rm CKM}^{\rm LHC} = \begin{pmatrix} 1 & 0.2 & 0 \\ -0.2 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

New particles will decay to either 3rd generation or non-3rd generation quarks but not to both

- ATLAS/CMS can exclude MFV by observing  $Br(q_3) \sim Br(q_{1,2})$
- Examples of new particles: Vector-like quarks; squarks...

# MFV + SUSY

- Squarks:
  - Spectrum: 2+1
  - Decays:  $2 \rightarrow u, d, s, c, 1 \rightarrow t, b$
- Sleptons,  $\Lambda_{\text{seesaw}} > \Lambda_{\text{mediation}}$ :
  - spectrum: 3
  - Decays: flavor diagonal
- Sleptons,  $\Lambda_{\text{seesaw}} < \Lambda_{\text{mediation}}$ :
  - $-Y_N$ ,  $M_R$  may leave a footprint on the slepton spectrum and flavor decomposition

## Intermediate summary V: MFV

A class of NP models where...

- The only violation of the global  $[SU(3)]_q^3$  symmetry = The Yukawa-spurions:  $Y_u(3, \overline{3}, 1), \quad Y_d(3, 1, \overline{3})$
- 'Solution' to the NP flavor puzzle
- Examples: Gauge-, anomaly-, gaugino-mediated susy breaking
- Probably, only an approximation
- The NP is subject to an approximate  $[SU(2)]^3$  symmetry
- All FC processes  $\propto V_{\rm CKM}$
- $\bullet\,$  Testable at flavor factories (LHCb) and at ATLAS/CMS
- Has nothing to say about the SM flavor puzzle

**Models of Flavor Physics** 



## **Reminder: The SM flavor puzzle**

$$\begin{array}{cccc} Y_t \sim 1, & Y_c \sim 10^{-2}, & Y_u \sim 10^{-5} \\ Y_b \sim 10^{-2}, & Y_s \sim 10^{-3}, & Y_d \sim 10^{-4} \\ Y_\tau \sim 10^{-2}, & Y_\mu \sim 10^{-3}, & Y_e \sim 10^{-6} \\ |V_{us}| \sim 0.2, & |V_{cb}| \sim 0.04, & |V_{ub}| \sim 0.004, & \delta_{\mathrm{KM}} \sim 1 \end{array}$$

- For comparison:  $g_s \sim 1$ ,  $g \sim 0.6$ ,  $g' \sim 0.3$ ,  $\lambda \sim 1$
- The SM flavor parameters have structure: smallness and hierarchy
- Why? = The SM flavor puzzle

- Approximate symmetry? [Froggatt-Nielsen]

## The Froggatt-Nielsen (FN) mechanism

- Approximate "horizontal" symmetry (e.g.  $U(1)_H$ )
- Small breaking parameter  $\epsilon = \langle S_{-1} \rangle / \Lambda \ll 1$
- $\epsilon(-1)$  is a spurion that breaks  $U(1)_H$
- Selection rules:

$$-Y_{ij}^{d} \sim \epsilon^{H(Q_i)+H(\bar{d}_j)+H(\phi_d)}$$
$$-Y_{ij}^{u} \sim \epsilon^{H(Q_i)+H(\bar{u}_j)+H(\phi_u)}$$
$$-Y_{ij}^{\ell} \sim \epsilon^{H(L_i)+H(\bar{\ell}_j)+H(\phi_d)}$$
$$-Y_{ij}^{\nu} \sim \epsilon^{H(L_i)+H(L_j)+2H(\phi_u)}$$

## The FN mechanism: An example

•  $H(Q_i) = 3, 2, 0, \quad H(\bar{u}_j) = 4, 1, 0, \quad H(\phi_u) = 0$ 

$$\begin{array}{c} & \downarrow \\ & \downarrow \\ Y^{u} \sim \begin{pmatrix} \epsilon^{7} & \epsilon^{4} & \epsilon^{3} \\ \epsilon^{6} & \epsilon^{3} & \epsilon^{2} \\ \epsilon^{4} & \epsilon & 1 \end{pmatrix}
\end{array}$$

- $Y_t: Y_c: Y_u \sim 1: \epsilon^3: \epsilon^7$
- $(V_L^u)_{12} \sim \epsilon$ ,  $(V_L^u)_{23} \sim \epsilon^2$ ,  $(V_L^u)_{13} \sim \epsilon^3$
- A good fit with  $|\epsilon| \sim 0.2$

## The FN mechanism: another example

- $U(1)_H$  broken by  $\epsilon(-1) \sim 0.05$
- $10(2,1,0), \overline{5}(0,0,0)$

$$\begin{array}{c} \downarrow \\ Y_t : Y_c : Y_u \sim 1 : \epsilon^2 : \epsilon^4 \\ Y_b : Y_s : Y_d \sim 1 : \epsilon : \epsilon^2 \\ Y_\tau : Y_\mu : Y_e \sim 1 : \epsilon : \epsilon^2 \\ |V_{us}| \sim |V_{cb}| \sim \epsilon, \quad |V_{ub}| \sim \epsilon^2, \quad \delta_{\rm KM} \sim 1 \\ + \\ m_3 : m_2 : m_1 \sim 1 : 1 : 1 \\ |U_{e2}| \sim 1, \quad |U_{\mu3}| \sim 1, \quad |U_{e3}| \sim 1 \end{array}$$

## The FN mechanism: Predictions (quarks)

- In the quark sector: 8 FN charges, 9 observables
- One prediction that is independent of charge assignments:  $\begin{array}{c} |V_{ub}| \sim |V_{us}V_{cb}| \\ \end{array}$ Experimentally correct to within a factor of 2
- In addition, six inequalities:

 $|V_{us}| \gtrsim \frac{m_d}{m_s}, \frac{m_u}{m_c}; |V_{ub}| \gtrsim \frac{m_d}{m_b}, \frac{m_u}{m_t}; |V_{cb}| \gtrsim \frac{m_s}{m_b}, \frac{m_c}{m_t}$ Experimentally fulfilled

• When ordering the quarks by mass:  $V_{CKM} \sim 1$  (diagonal terms not suppressed parameterically) Experimentally fulfilled

## The FN mechanism: Predictions (leptons)

- In the lepton sector: 5 FN charges, 9 observables
- Four predictions that are independent of charge assignments:  $\begin{array}{l}
  m_{\nu_i}/m_{\nu_j} \sim |U_{ij}|^2 \\
  |U_{e3}| \sim |U_{e2}U_{\mu3}|
  \end{array}$
- In addition, three inequalities:  $|U_{e2}| \gtrsim \frac{m_e}{m_{\mu}}; \quad |U_{e3}| \gtrsim \frac{m_e}{m_{\tau}}; \quad |U_{\mu3}| \gtrsim \frac{m_{\mu}}{m_{\tau}}$
- When ordering the leptons by mass:  $U \sim \mathbf{1}$

## **Testing FN with Neutrinos**

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$
- Attempting a FN explanation:
  - $s_{23} \sim 1, \quad m_2/m_3 \sim \epsilon^x$ ? Inconsistent with FN
  - $s_{23} \sim 1$ ,  $s_{12} \sim 1$ ,  $s_{13} \sim \epsilon^x$ ? Inconsistent with FN
  - $\sin^2 2\theta_{23} = 1 \epsilon^x$ ? Inconsistent with FN

## Neutrino Mass Anarchy

- $\Delta m_{21}^2 = (7.6 \pm 0.2) \times 10^{-5} \text{ eV}^2$ ,  $|\Delta m_{32}^2| = (2.5 \pm 0.1) \times 10^{-3} \text{ eV}^2$
- $|U_{e2}| = 0.56 \pm 0.01$ ,  $|U_{\mu3}| = 0.70 \pm 0.04$ ,  $|U_{e3}| = 0.16 \pm 0.01$
- Possible interpretation:
  - Neutrino parameters are all of O(1) (no structure): Neutrino mass anarchy
  - Consistent with FN
  - Close to GUT+FN predictions:

 $s_{23} \sim \frac{m_s/m_b}{|V_{cb}|} \sim 1; \quad s_{12} \sim \frac{m_d/m_s}{|V_{us}|} \sim 0.2; \quad s_{13} \sim \frac{m_d/m_b}{|V_{ub}|} \sim 0.5$ 

## The FN mechanism and supersymmetry

- Assume: SUSY breaking terms subject to FN selection rules
  - Sfermion masses are non-degenerate
    - (except for RGE effects if mediation scale is high)
  - Alignment: gluino-quark-squark mixing angles are small
- Example:

$$- H(Q_i) = 3, 2, 0, \quad H(\bar{u}_i) = 4, 1, 0, \quad H(\phi_u) = 0$$

$$- m_{\tilde{Q}_1}, m_{\tilde{Q}_2}, m_{\tilde{Q}_3} = \mathcal{O}(1) \times \tilde{m} \text{ (anarchy)}$$
$$- \theta_{12}^L \sim \epsilon, \quad \theta_{23}^L \sim \epsilon^2, \quad \theta_{13}^L \sim \epsilon^3$$
$$\theta_{12}^R \sim \epsilon^3, \quad \theta_{23}^R \sim \epsilon, \quad \theta_{13}^R \sim \epsilon^4$$

- General prediction:  $\theta_{ij}^L \sim |V_{ij}|, \quad \theta_{ij}^R \sim \frac{m_i/m_j}{|V_{ij}|}$
- Structure of susy flavor: related to, but not the same as, SM Yukawa

# **Intermediate Summary VI: FN**

- The SM flavor puzzle can be explained by an approximate Abelian symmetry
- The NP flavor puzzle can be solved by the same mechanism (with a little help from RGE)
- The NP flavor parameters are related to, but not the same as, the SM flavor parameters
- If we discover new particles, and measure their spectrum and flavor decomposition, we can test various solutions to the flavor puzzles

**Models of Flavor Physics** 



# Exploring the unknown

Energy  $0.6 \rightarrow 4 \text{ TeV}$ 

Distance  $10^{-19} \rightarrow 10^{-20}$  m

"Time"  $10^{-11} \to 10^{-13} \text{ s}$ 

# Questions for the LHC

- What is the mechanism of electroweak symmetry breaking?
- What separates the electroweak scale from the Planck scale?
- What happened at the electroweak phase transition  $(10^{-11} \text{ second after the big bang})?$
- What are the dark matter particles?
- How was the baryon asymmetry generated?
- What are the solutions of the flavor puzzles?

Flavor Physics

## Experimentalists: Flavor at ATLAS/CMS???

• ATLAS/CMS are not optimized for flavor

### The LHC

# Experimentalists: Flavor at ATLAS/CMS???

• ATLAS/CMS are not optimized for flavor

But...

- They can identify  $e, \mu, (\tau)$
- They can tell 3rd generation quarks (b, t) from light quarks

### The LHC

## Theorists: Flavor at ATLAS/CMS???

- The scale of flavor dynamics is unknown
- Very likely, it is well above the LHC direct reach

### The LHC

## Theorists: Flavor at ATLAS/CMS???

- The scale of flavor dynamics is unknown
- Very likely, it is well above the LHC direct reach

But...

- If new particles that couple to the SM fermions are discovered
  - $\implies$  New flavor parameters can be measured
  - Spectrum (degeneracies?)
  - Flavor decomposition (alignment?)
- In combination with flavor factories, we may...
  - Understand how the NP flavor puzzle is (not) solved  $\implies$  Probe NP at  $\Lambda_{\rm NP} \gg TeV$
  - Get hints about the solution to the SM flavor puzzle

# Solving the SUSY Flavor Puzzle

If ATLAS/CMS observe squarks and sleptons...

- Determine the sfermion mass scale  $(\tilde{m})$
- Determine the sfermion mass splitting  $(m_{\tilde{f}_i} m_{\tilde{f}_i})$
- Determine the sfermion flavor decomposition  $(K_{ij})$

Learn how the SUSY flavor suppression is obtained

# The role of flavor factories (FF)

ATLAS/CMS and flavor factories give complementary information

- In the absence of NP at ATLAS/CMS: flavor factories will be crucial to find  $\Lambda_{\rm NP}$
- Consistency between ATLAS/CMS and FF: necessary to understand the NP flavor puzzle
- NP in  $c \to u$ ?  $s \to d$ ?  $b \to d$ ?  $b \to s$ ?  $t \to c$ ?  $t \to u$ ?  $\mu \to e$ ?  $\tau \to \mu$ ?  $\tau \to e$ ?
  - MFV?
  - Structure related to SM?
  - Structure unrelated to SM?
  - Anarchy?

[Hiller, Hochberg, Nir, JHEP0903(09)115; JHEP1003(10)079]]

# Intermediate summary VII



Flavor Physics

# Intermediate summary VII



[Grossman, Ligeti, Nir, PTP122(09)125 [0904.4262]]

Flavor Physics

# Summary

- Past:
  - The CKM mechanism of flavor violation has passed successfully numerous experimental tests
  - The KM mechanism was proven to dominate the observed CP violation
- Present:
  - The SM flavor puzzle: Why smallness and hierarchy?
  - The NP flavor puzzle: Why degeneracy and/or alignment?
- Future:
  - Progress on NP flavor puzzle guaranteed
  - Progress on SM flavor puzzle possible if there is accessible new physics with flavor structure related to the SM

## The SM flavor puzzle with strong dynamics

- At high scale  $\mu > M_>$ , anarchy:  $Y(M_>) = \mathcal{O}(1)$
- A range of scales, M<sub>></sub> > μ > M<sub><</sub>, where first two generations couple to a conformal sector:
   Y(M <) = Y(M<sub>></sub>) (M<sub><</sub>/M<sub>></sub>)<sup>1/2(γ<sub>Li</sub>+γ<sub>Rj</sub>)</sup>
   γ<sub>Mi</sub> = the anomalous dimension of the field Φ<sub>Mi</sub>
- Generates a small parameter  $\epsilon \equiv (M_{<}/M_{>})^{1/2}$
- $m_i/m_j \sim \epsilon^{\gamma_{Li}+\gamma_{Ri}-\gamma_{Lj}-\gamma_{Rj}}$  $|V_{ij}| \sim \epsilon^{\gamma_{Li}-\gamma_{Lj}}$
- For SM flavor parameters, predictions similar to FN

## The NP flavor puzzle with strong dynamics

For the SUSY flavor problems, various options:

- Supersymmetry broken by the conformal sector
  - $\tilde{m}_{1,2}$  directly from conformal sector
  - $\tilde{m}_3$  from gauge mediation
  - $\implies$  Heavy first two sfermion generations:  $\tilde{m}_{1,2} \gg \tilde{m}_3$
- Supersymmetry breaking at scale higher than  $M_>$ 
  - $\tilde{m}_{1,2} \rightarrow 0$  at  $M_{<}$
  - $\tilde{m}_{1,2}$  from RGE between  $M_{<} \rightarrow m_{Z}$
  - $\implies$  Degenerate first two sfermion generations:  $\tilde{m}_1 \simeq \tilde{m}_2$

### The SM flavor puzzle with extra dimension

- Anarchical 5d Yukawa couplings:  $Y_{ij}^{5d} = \mathcal{O}(1)$
- Higgs field located near the IR brane
- Wave functions of light fermions located near the UV brane
- Wave functions of heavy fermions located near the IR brane
- 4d Yukawa couplings proportional to overlap of Higgs and fermion wave functions:  $Y_{ij}^{4d} \propto f_{Li} f_{Rj}$  $f_{Mi}$  = wave function of  $\psi_{Mi}$  at the IR brane
- $m_i/m_j \sim \frac{f_{Li}f_{Ri}}{f_{Lj}f_{Rj}}$  $|V_{ij}| \sim f_{Li}/f_{Lj}$
- For SM flavor parameters, predictions similar to FN

# The NP flavor puzzle with extra dimension

- Main problem: Flavor changing couplings of the first KK level gluon
- However, its wave function located at the IR brane, similar to the Higgs field
- FC operators involving first two generations suppressed;  $e.g. \ (\overline{s_L}d_R)(\overline{s_R}d_L) \propto \frac{m_s m_d}{M_{KK}^2}$
- FC operators involving the top not strongly suppressed; e.g.  $\Gamma(t \to cZ)$  orders of magnitude above the SM prediction