

**Pion nucleon (\pi N) interaction** is an example for the application of an effective field theory (EFT) here: chiral perturbation theory XPT in its twofold meaning:

- It deals with mesons and baryons instead of quarks
- It is effective in the sense of being efficient

Much of the development of XPT has its roots historically in the study of the  $\pi N$  interaction  $\rightarrow$  highly advanced both in experiment as well as in theory.

XPT and related subjects had been subject of many ZUOZ schools (1972-2000): Straumann, Scheck, Leutwyler, Gasser, Sainio, Kambor, .... Also: Menu 1999 (Zuoz).



• Topics in  $\pi N$  physics <-> scattering lengths/volumes

• Connection  $\chi PT \leftrightarrow \pi N$  (historical development)

- Meson-Baryon (πN ) observables :
  - scattering experiments
  - exotic atoms experiments



- Extension of soft pion theorems (Goldberger Treiman, Weinberg-Tomozawa, etc.... ) with  $\chi\text{PT}$
- isospin (non)conservation
- $\sigma_{\pi N}$  -term
- $\pi NN$  coupling constant





| Höhler's notation:                                      |                           | π⁺p -> π⁺p              | ~ a⁺- a⁻         |
|---|---------------------------|-------------------------|------------------|
| $a_{0+}^{+} \equiv a_{+}^{+} = 1/3(a_{1/2} + 2a_{3/2})$ | isoscalar (: isospineven) | π⁻р -> π⁻р              | ~ a⁺ + a⁻        |
| $a_{0+}^{-} \equiv a^{-} = 1/3 (a_{1/2} - a_{3/2})$     | isovector (: isospinodd)  | π⁻p -> π <sup>o</sup> n | ~ a <sup>-</sup> |



•  $\pi NN$  coupling constant:  $g_{\pi NN}$ 

Strength of coupling of a pion to a nucleon.

Obtainable from a<sup>-</sup> (isovector) + GMO sumrule



Isospin (non) conservation

Difference in quark masses + Coulomb effects

Obtainable from  $\pi^+$  p,  $\pi^-$ p scattering (isospin triangle); pionic deuterium atom

• Pion nucleon  $\sigma$ -term:  $\sigma_{\pi N}$ 

Response of the nucleon mass to a change in the quark masses.

- strange quark content of the nucleon
- Obtainable from  $\pi N$  scattering lengths(volumes)



\* Based mainly on review articles by St. Scherer, J. Gasser, H. Leutwyler cited in the appendices as well as A. Thomas, W. Weise, The Structure of the Nucleon, WILEY-VCH 2000



### QCD:

Massless quarks lead to chiral symmetry which in turn would require a parity doubling of hadronic states: not observed in nature.

Symmetry is hidden (spontaneously broken)  $\leftrightarrow$  Goldstone theorem applies:

1: massless particle exist with quantum numbers of the field

2: Its coupling to the current does not vanish

GOR relation, chiral condensate,

$$m_{\pi}^{2} = -\frac{1}{2f_{0}^{2}}(m_{u} + m_{d})\langle 0 | \overline{u}u + \overline{d}d | 0 \rangle + O(m_{u,d}^{2},...)$$
Cf.  $\sigma$ -term physics

App. IV

App. III

Isospin symmetry breaking

$$L^{mass} = -\overline{q}Mq = -(\overline{q}_R Mq_L + \overline{q}_L Mq_L) \quad m_u \overline{u}u + m_d \overline{d}d = \frac{m_u + m_d}{2}(\overline{u}u + \overline{d}d) + \frac{m_u - m_d}{2}(\overline{u}u - \overline{d}d)$$

The most general chiral invariant Lagrangian leads e.g. to PCAC; App. V, VI Including the mass term provides predictive power

#### **S**. Weinberg, Physica 96A (1979)327

Phenomenological Lagrangians, (from chapter: current algebra without current algebra)

...phenomenological Lagrangians themselves can be used to justify the calculation of soft-pion matrix elements from tree graphs, without any use of operator algebra.

This remark is based on a "theorem", which as far as I know has never been proven, but which I cannot imagine could be wrong. The "theorem" says .......... This can be put more precisely in the context of perturbation theory: if one writes down the most general possible Lagrangian to any given order of perturbation theory, the result will simply be the most general possible S-matrix consistent with analyticity, perturbative unitarity, cluster decomposition and the assumed symmetry principles. As I said, this has not been proved, but any counterexamples would be of great interest, and I do not know of any.

H. Leutwyler, Annals Phys. 235 (1994) 165 On the foundations of chiral perturbation theory Abstract:

The properties of the effective field theory relevant for the low energy structure generated by the Goldstone bosons of a spontaneously broken symmetry are reexamined. It is shown that anomaly free, Lorentz invariant theories are characterized by a gauge invariant effective Lagrangian, to all orders of the low energy expansion. The paper includes a discussion of anomalies and approximate symmetries, but does not cover nonrelativistic effective theories.



J. Gasser and H. Leutwyler, Annals Phys. 158, 142 (1984); Nucl. Phys. B 250, 465(1985)

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The work of Gasser, Leutwyler is the basis for a renormalizable EFT:
chiral perturbation theory
(CHPT or xPT).
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It used a path integral representation of the QCD generating functional and introduced external fields ( $v_{\mu}$ , $a_{\mu}$ ,s, ps-fields). Expansion in powers of external momenta and quark masses. The renormalization constants of Leff are the so-called

# LEC constants

to be determined from experiment or lattice QCD or ....



Low energy scattering experiments

- Extension of soft pion theorems (Goldberger Treiman, Weinberg-Tomozawa, etc.... ) with  $\chi\text{PT}$
- isospin (non)conservation
- $\sigma_{\pi N}$  -term
- $\pi NN$  coupling constant















## $\pi^{\pm}p$ differential cross sections at low energies

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Physics Letters B633 209 (2006)















### CHAOS: H. Denz et al. Phys. Lett. B 633, 299 (2006)



Up to now a qualitative statement only:

. Although these

shifted values of the scattering length correspond to a  $\pi$ N-sigma term at the low end of the range currently being discussed, it is very important to recognize that such extracted physics quantities are best determined through a full PSA, also making use of the complementary data available at energies above those of this work.





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### Analyzing power measurement at PSI: principle











## Polarized target at PSI





Focal plane spectrum

**1.** without constraint

2.-4. with increasing size of active target signal.



 $\pi^+$  scattering at  $T_{\pi} = 68.6$  MeV,  $\theta_{cm} = 81.3^{\circ}$ 





Pionic Charge Exchange on the Proton from 40 to 250 MeV

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(Dated: May 22, 2006)

#### Abstract

The total cross sections for pionic charge exchange on hydrogen were measured using a transmission technique on thin CH<sub>2</sub> and C targets. Data were taken for  $\pi^-$  lab energies from 39 to 247 MeV with total errors of typically 2 % over the  $\Delta$ -resonance and up to 10 % at the lowest energies. Deviations from the predictions of the SAID phase shift analysis in the 60-80 MeV region are interpreted as evidence for isospin-symmetry breaking in the *s*-wave amplitudes. The charge dependence of the  $\Delta$ -resonance properties appears to be smaller than previously reported.

W.R. Gibbs et al. Phys. Rev. Lett. **74** 3740 (1995) E. Matsinos, Phys. Rev. *C* **56** 3014 (1997) Isospin breaking of about 7% in s-wave amplitudes near Tp=50 MeV

Suspicion: Charge exchange reaction may be the culprit (particularly scarce data base)







#### Transmission technique to measure CEX total cross section: $T_i/T_0 = \exp(a_i\sigma_i)$ ; a: thickness; i: C, CH2



Features: Detection efficiency monitored > 99%

Targets interchanged every 20-30 minutes, equivalent Carbon amount measurements at two different beams at PSI

Checks with positive pions (as well as muons/electrons: zero result)







FIG. 2: Total CX cross sections from this and preceding [10, 11] transmission experiments. The error bars represent the total errors. Results from both pion beam lines used in the present experiment are shown separately. The solid and dashed curves represent the results from the phase shift analyses SAID-FA02 [9] and KH80 [18], respectively.

FIG. 2: Cross sections from this experiment with total errors, plotted as percent deviation from the SAID-FA02 [9] predictions. The curves represent the results of fit procedures with a slight modification of the S-amplitudes (dotted), the P<sub>32</sub>-amplitude (dashed) or both (solid).

#### Main results: Discrepancy between two previous transmission experiments resolved. Isospin symmetry breaking smaller than assumed by Gibbs, Matsinos, but still existing



Experiment with exotic atoms: pionic hydrogen and deuterium

- Extension of soft pion theorems (Goldberger Treiman, Weinberg-Tomozawa, etc....) with xPT
- isospin (non)conservation
- $\sigma_{\pi N}$  -term: needs scattering volume not provided by  $\pi p, \pi d$
- $\bullet \pi NN$  coupling constant



Stark mixing

X-radiation

observable hadronic shifts and broadening

n-1 capture

external Auger effect

n

2

 $\sim 16$ 

## Pionic hydrogen experiment at PSI

Deser-Trueman formula





| E <sub>1s</sub> : e.m. binding energy of ground state: | 3238 eV                |
|--|------------------------|
| r <sub>B</sub> : Bohr radius pionic hydrogen:          | 222.56 fm              |
| $\bar{Q}_0$ : kinematic factor:                        | 0.142 fm <sup>-1</sup> |
| P : Panofsky ratio:                                    | 1.546±0.009            |
| $\delta_{\epsilon,\Gamma}$ : e. m. corrections:        | under debate           |
|  |                        |

Goals:

 $\begin{array}{ccc} \varepsilon_{1s} \rightarrow & a^+ + a^- & 0.2\% \\ \Gamma_{1s} \rightarrow & (a^-)^2 & 1\% \end{array}$ 

Debrecen – Coimbra – Ioannina – Jülich – Leicester – Paris – PSI - Vienna

H.-Ch.- Schröder et al., Eur. Phys. J. C21,473 (2001): 815 =-7.105±0.013stat.±0.034syst., *Г*15 =0.868±0.04stat.±0.038syst.eV



## Motivation?

- S. Weinberg, Phys. Rev. Lett. 17, 616 (1966)
- Y. Tomozawa, Nuovo Cimento A, 707, (1966)

$$a^{-} = \frac{M_{\pi}}{8\pi (1+\mu) F_{\pi}^{2}} (1+\dots) ; \qquad \mu = \frac{M_{\pi}}{M_{N}} : 79 \ [10^{-3} \ m_{\pi}^{-1}]$$
$$a^{+} = 0 \qquad + \dots$$



E. Jenkins and A.V. Manohar, Phys. Lett. B255, 558(1991) : HBCHPT

T. Becher and H. Leutwyler, JHEP0106,017(2001): manifestly Lorentz invariant

 $\pi\pi$ : expansion to 6th order in chiral dim. (no. of derivatives and/or quark masses)  $\pi N$ : expansion to 4th order in chiral dim.

 $\begin{vmatrix} L_{\pi\pi} &= & L^{(2)}_{\pi\pi} &+ & L^{(4)}_{\pi\pi} + L^{(6)}_{\pi\pi} \\ & 2 & 7 & 53 \\ L_{\pi N} &= L^{(1)}_{\pi N} + L^{(2)}_{\pi N} + L^{(3)}_{\pi N} + L^{(4)}_{\pi N} \\ & 2 & 7 & 23 & 118 \end{vmatrix}$  Number of LEC

#### N. Fettes and U. G. Meissner, Nucl. Phys. A676, 311 (2000)





ultimate energy resolution







N. Nelms et al., Nucl. Instr. Meth 484 (2002) 419

L. M. Simons, Hyperfine Interactions 81 (1993) 253

Arrangement











• Statistics: cyclotron trap (6) + spherically bent crystals (3-4)

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Up to now 68000 events accumulated (12)
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• Background : New CCD detectors + much improved shielding (10)



In green: improvement factors compared to PSI experiment ETHZ-Neuchâtel-PSI



There are Lorentzian tails in the response function.  $\Delta\Gamma/\Gamma < 1\%$  requires a good knowledge of the resp.fct.: Needed: > 30000 events + ,,no" background. Previous experiment (calibration  $\pi$ Be-1400 cts):  $\Delta\Gamma/\Gamma = 8\%$ Present experiment: First round ( $\pi$ C-4500cts):  $\Delta\Gamma/\Gamma = 3.5\%$ 



microrad





Drawback: missing intensity

**CH**<sub>4</sub> 1500 mbar @ T = 295K

π**C(5g-4f) - @ 2974 eV** 

*quartz* 10-1 *∆E* = 478 ± 29 meV (FWHM)

Si 111 ∆E = 504 ± 16 meV (FWHM)







ECRIT and CRYSTAL

## SPECTROMETER





#### ECRIT measurements 2004

M1 transitions in He-likeS $\leftrightarrow$  $\pi$ H(2p-1s)CI $\leftrightarrow$  $\pi$ H(3p-1s)Ar $\leftrightarrow$  $\pi$ H(4p-1s)



#### 30000 events in line $\leftrightarrow$ tails can be fixed with sufficient accuracy











H<sub>2</sub> 20K at 2 bar ≈ 28.5 bar equivalent density

> H<sub>2</sub> 17K at 1 bar LH<sub>2</sub> first time





previous experiment – <u>Ar Kα</u> ETHZ-PSI H.-Ch.Schröder et al. Eur.Phys.J.C 1(2001)473



#### **R-98**.01

Maik Hennebach, thesis Cologne 2003  $\epsilon_{1s} = -7.120 \pm 0.008 \pm 0.009 \text{ eV}$   $\uparrow$   $\Delta E_{QED} = \pm 0.006 \text{ eV}$ P. Indelicato, priv. comm.

### Stategy of width measurement: different initial states





Γ<sub>1s</sub> < 850 meV

Maik Hennebach, thesis Cologne 2003

PEAK-TO-BACKGROUND ratio improved by one order of magnitude !

3044

energy/eV

3046

3048

3042

3038

3040

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#### Kinetic energy distributions (Cascade theory)









$$\begin{split} \epsilon_{1s} &: \text{Extraction of scattering lengths dominated by} \\ \chi \text{PT correction} & \delta_{\varepsilon} = (-7.2 \pm 2.9)\% * \\ \text{J. Gasser et al., Eur. Phys. J. C 26, 13 (2003)} \\ a^{+} + a^{-} = (93.2 \pm 2.9) [10^{-3} \text{m}_{\pi}^{-1}] \\ \text{result 2005:} & \Gamma_{1s} = 823 \pm 19 \text{ meV} (2.3\%) \text{ preliminary} \\ \chi \text{PT correction} & \delta_{\Gamma} = (0.6 \pm 0.2)\% * \\ \text{P. Zemp, hadatom05} \\ a^{-} = (86.4 \ \frac{^{+0.099}}{^{-1.02}} \ ) [10^{-3} \text{m}_{\pi}^{-1}] \end{split}$$

 $a^+ = (6.8 \pm 3.1) [10^{-3} m_{\pi}^{-1}]$ 

\*  $\delta_{\epsilon}$  depend on three LEC:  $c_1, f_1, f_2$ ;  $f_1$  badly known,  $\delta_{\Gamma}$  depends on  $f_2$  only



# a<sup>+</sup> and a<sup>-</sup> in units of [10<sup>-</sup>] <sup>3</sup>m<sub>π</sub><sup>-1</sup>]

 $\epsilon_{\textit{1s}}$  = -7.120  $\pm$  0.008  $\pm$  0.006 eV (± 0.2%)

 $\Gamma_{1s}$  = 823±19 meV (± 2.3%)

Adapted from: St. Scherer, Advances in Nucl. Physics. 27, 277 (2003); hep-ph/0210398

|                          |          | a⁺             | ۵              |
|--------------------------|----------|----------------|----------------|
| Experiment               | R98-01.1 | a+ =+(6.8±3.1) | a- = (86.4 ±1) |
| W - T                    |          | 0              | 79             |
| HBChPT O(p⁴) I           | Fet(00)  | -9.6           | 90.29          |
| HBChPT O(p⁴) II          | Fet(00)  | +4.5           | 77.03          |
| HBChPT O(p⁴) III         | Fet(00)  | +2.7           | 86.7           |
| RChPT O(p <sup>4</sup> ) | Bec(01)  | -8.4 ↔-13.1    | 91.41          |

 Fet(00): N. Fettes and U.-G. Meissner, Nucl. Phys. A676, 311 (2000)
 KA85, Matsinos, VPI/GW98

 Bec(01): T. Becher and H. Leutwyler, JHEP 0106,017 (2001)
 KA85



GMO sum rule

M.L. Goldberger, H. Miyazawa, R. Oehme Phys. Rev. 99, 986 (1955)

$$(1 + \frac{m_{\pi}}{M})\frac{a^{-}}{m_{\pi}} = \frac{2f_{\pi N}^{2}}{m_{\pi}^{2} - (m_{\pi}^{2}/2M)^{2}} + \frac{1}{2\pi^{2}}\int_{0}^{\infty} \frac{\sigma_{\pi^{-}p}^{tot}(k_{\pi}) - \sigma_{\pi^{+}p}^{tot}(k_{\pi})}{2\omega(k_{\pi})} dk_{\pi}$$

$$J$$

J = -(1.082±0.032)mb T. E. O. Ericson et al. Phys. Rev. C 66, 014005(2002)

 $f_{\pi N}^2 = 0.5712 \ a^{-}[m_{\pi}] + 0.02488 \ J[mb^{-1}] = 0.0763(+9,-10)$  $g_{\pi NN} = 47.66 \ f_{\pi N} = 13.165(+0.077,-0.087)$  $[g_{\pi NN}^2/4\pi = 13.79 \pm \frac{0.164}{0.180}]$ 





From M. Sainio's talk, Meson Nucleon 99 ZUOZ,  $\pi N$  Newsletter 15, 156 (1999)

**Figure 2** The values of the pion-nucleon coupling constant  $f^2$  after 1980 until the présent Neutral pion couplings are denoted by the solid dots, the remaining points refer to charged pion couplings or charge independent determinations



VPI-GWU Analysis (FA02)<a href="http://gwdac.phys.gwu.edu/">http://gwdac.phys.gwu.edu/</a>R. Arndt et al. Phys. Rev. C69, 035213 (2004)GMO NOT used!!! $a^{-} = (88.3 \pm 0.47) [10^{-3} m_{\pi}^{-1}];$  $g_{\pi NN} = 13.145 \pm 0.048^{*}$  (constrained by earlier PSI result)No constraints:  $g_{\pi NN} = 13.08$ ; claimed to be robust

D, V. Bugg, Eur. Phys. J **C33**, 505 (2004) GMO NOT used  $a^{-} = (85.0 \text{ (Fit I)} to 86.6 \text{ (Fit II)}) [10^{-3} m_{\pi}^{-1}]; g_{\pi NN} : 13.09 to 13.168$ 

T.E.O. Ericson et al. Phys. Lett. **B 594**,76 (2004) Analysis of earlier PSI result, GMO sum rule **USED**  $a^{-} = (88.39\pm0.3) [10^{-3} m_{\pi}^{-1}]$  $g_{\pi NN} = 13.28\pm0.08$ 

M. Döring et al. (nucl-th/0402086):  $a^{-}$  = (88.1±0.48) [10<sup>-3</sup> m<sub> $\pi$ </sub><sup>-1</sup>]

\* SP06 nucl-th/0605082:  $g\pi NN = 13.149 \pm 0.005$  !!



- I. Goldberger Treiman relation:  $g_{\pi NN} = M_N G_A / F_{\pi}(1+\Delta_{GT}); \Delta GT \approx m_q$   $\Delta_{GT} = c M_{\pi}^2 + O(M_{\pi}^4), c \approx 1/GeV^2; T. Becher, hep-ph/0206165$   $\downarrow \downarrow$   $\Delta_{GT}^{\text{theor}} \approx 2\%$ R98-01.1:  $g_{\pi NN} \approx 13.165; \text{together with } M_N G_A / F_{\pi} \approx 12.9$   $\downarrow \downarrow$  $\Delta_{GT}^{exp.} = 2.05(+0.60, -0.67)\% \longrightarrow \text{values for LEC's}$
- II. Induced pseudoscalar coupling constant  $g_P$  (muon capture: least well known)

 $g_{P} = \frac{2m_{\mu}g_{\pi NN}F_{\pi}}{m_{\pi}^{2} + 0.88m_{\mu}^{2}} - \frac{1}{3}g_{A}m_{\mu}m_{N} < r_{A} >^{2}$  V. Bernard, N. Kaiser, U.-G. Meissner, Phys. Rev. D **50**, 6899 (1994) N. Kaiser, Phys. Rev. C **67**, 027002 (2003) (r\_{A})<sup>2</sup>: axial radius of nucleon (0.44±0.02) fm<sup>2</sup> With  $g_{\pi NN}$  from R98-01.1:

 $g_{\rm P}$ =8.3±0.07



III. S-wave electric dipole multipoles E in charged pion photoproduction: Corrections to LET (Kroll-Ruderman) to  $O(m_{\pi}^{3})$ 

V. Bernard, N. Kaiser, U.-G. Meissner, Phys. Lett. B383, 116 (1996) "BKM"

V. Bernard, Proc. of Chiral Dynamics 1997, Mainz, Springer Lecture notes

in Physics 513, (Springer, Berlin, 1998) hep-ph/9710430

With  $g_{\pi NN} = 13.165$ : Values in units of  $[10^{-3}m_{\pi}^{-1}]$ 

|   | LET   | BKM       | DA*   | Experiment  |
|---|-------|-----------|-------|---|
| E <sup>thr</sup> ₀₊ (γp→π⁺n)            | 27.3  | 27.9±0.6  | 27.99 | 28.06±0.27 <sub>stat.</sub> ±0.45 <sub>syst.</sub> ** |
| E <sup>thr</sup> <sub>0+</sub> (γn→π⁻p) | -31.4 | -32.4±0.6 | -31.7 | -31.5±0.8 ***   |

\* "Dispersion theor. analysis" O. Hanstein et al, Phys. Lett. B399, 13 (1999)

\*\* E. Korkmaz et al., Phys. Rev. Lett. 18, 3609 (1999)

\*\*\* M.A. Kovash et al., πN Newsletter 12, 55 (1997)

Independently:  $(a^{-})^2 = q/k_0 P | (E^{thr}_{0+} (\pi-p)) |^2 ; q, k_0 CMS momenta of <math>\gamma, \pi$ With R98-01.1 value of  $a^{-}$ :  $E^{thr}_{0+} (\pi-p) = -32.46 \pm 0.39 [10^{-3}m_{\pi}^{-1}]$ 



Starting point: a<sup>+</sup> is small (pion does NOT scatter); Consequences for pionic deuterium scattering length:

 $c_1$ ,  $f_2$  rather well known, f1 is the problem

 $\Delta a_{\pi d} = \Delta a_{\pi d}^{\text{LO}} + O(p^3) \quad \Delta a_{\pi d}^{\text{LO}} = (4\pi (1 + \mu/2))^{-1} (\delta T_p + \delta T_n) . \longrightarrow f_1 \text{ (c1 known)}$   $\Delta a_{\pi d}^{\text{LO}} = -(0.0110^{+0.0081}_{-0.0058}) M_{\pi}^{-1} ,$   $\Delta a_{\pi d}^{\text{LO}} / \text{Re} \, a_{\pi d}^{\text{exp}} = 0.42 \text{ (central values)} \qquad \text{Combination } \pi\text{H}, \pi\text{D: values for a MUST overlap}$ 



Scattering data await a proper phase shift analysis before  $\sigma$ -term problem can be settled.

Isospin breaking still an item

 $g_{\pi NN}$ : overall agreement

Pionic hydrogen/deuterium have (almost) reached the desired precision, Still hampered by molecular effects. Even now: important constraint.

Nuclear physics at low energies (light nuclei) is nowadays on a firm theoretical basis



Consider pion decay matrix element for decay:  $\pi \rightarrow \mu + v$ :

$$\langle \mu v \mid H \mid \pi \rangle = \langle 0 \mid J_{hadron} \mid \pi \rangle \langle \mu v \mid j_{lepton} \mid 0 \rangle$$

- $j_{lepton}$  has V-A structure  $\rightarrow$  hadron part should be V or A or both, must be constructed from kinematical quantities  $q = k_v + p_\mu$ :  $\langle 0 | J_{hadron} | \pi \rangle = i f_{\pi} q$ Pion pseudoscalar (o<sup>-</sup>),  $\rightarrow$  (parity)  $J_{hadron}$  is an axial vector  $A_{\lambda}(0)$ : axial current
- Translational invariance:  $<0|A_{\lambda}(x)|\pi(q)> = <0|A_{\lambda}(0)|\pi(q)> \exp(-iqx)$  $= i f_{\pi} q \exp(-iqx)$



Appendix I ctd.

Question: Is the axial Current conserved (CAC valid)? Would require  $\partial_{\mu} < 0 | A_{\lambda}(x) | \pi(q) > = \exp(-iqx) f_{\pi} m^{2}_{\pi} = 0$ ?

Answer: If  $m_{\pi} \rightarrow 0$ , then YES  $m_{\pi}$ = 0.15  $m_{proton}$ : rather small  $\rightarrow CAC$  is almost valid:

## PCAC

Zuoz (5.-15. 4. 1972) :

Spring school on weak interactions and nuclear structure, talk by N. Straumann: ...Many theoreticians nowadays idealize strong interaction physics by lettering  $m_{\pi} \rightarrow 0$ . In this limit the axial current could also be conserved(chiral symmetry). As long as SIN has not yet produced any pions, we should, however, better not consider them as being massless.

\* Literature: e.g. U. Mosel: Fields, Symmetries and Quarks, Springer 1988



CAC would be valid for  $m_{\pi} \rightarrow 0$ , which are the consequences for neutron decay? Axial part of the matrix element for neutron  $\beta$  decay:

$$\begin{split} \mathsf{n}(\mathsf{p}) &\to \mathsf{p}(\mathsf{p}') + \mathsf{e} + \mathsf{v}; \\ \text{Tranlational invariance: } \langle \mathsf{p}' \mid A_{\lambda}(\mathsf{x}) \mid \mathsf{p} \rangle &= \langle \mathsf{p}' \mid A_{\lambda}(0) \mid \mathsf{p} \rangle \exp(-\mathsf{i}(\mathsf{q}\mathsf{x}) , \ \mathsf{q}=\mathsf{p}'-\mathsf{p} \\ &\quad \langle \mathsf{p}' \mid \partial^{\lambda}A_{\lambda}(\mathsf{x}) \mid \mathsf{p} \rangle = -\mathsf{i}(\mathsf{p}'-\mathsf{p}) \langle \mathsf{p}' \mid A_{\lambda}(0) \mid \mathsf{p} \rangle \exp(-\mathsf{i}(\mathsf{p}'-\mathsf{p})\mathsf{x}) \\ \text{Symmetry considerations:} \\ &\quad \langle p' \mid A_{\lambda}(0) \mid p \rangle = \overline{u}(p') \Big[ \gamma^{\mu} \gamma^{5} F(q^{2}) + q^{\mu} \gamma^{5} G(q^{2}) \Big] u(p) \\ &\quad (p'-p)_{\lambda} \langle p' \mid A_{\lambda}(0) \mid p \rangle = \overline{u}(p') \Big[ \gamma^{\mu} (p'-p)_{\mu} F(q^{2}) + q^{2} G(q^{2}) \Big] \gamma^{5} u(p) \end{split}$$

Assume CAC:  $2M_N F(q^2)+q^2G(q^2)=0, M_N$ : nucleon mass

$$G(q^{2}) = \frac{\sqrt{2} f_{\pi}}{m_{\pi}^{2} - q^{2}} \sqrt{2} g_{\pi NN}(q^{2}) \rightarrow \frac{-2 f_{\pi} g_{\pi NN}(q^{2})}{q^{2}} \quad for \quad m_{\pi}^{2} \to 0$$

Goldberger Treiman relation  $F(q^2) \rightarrow f_{\pi}$ ,  $G(q^2) \rightarrow G_A$ 

 $g_{\pi NN} = M_N G_A / f_{\pi}$  is valid on the % level

Question: CAC, CVC seem to be established, how to implement this in QCD? Answer: A chiral symmetric Lagrangian for QCD can be constructed for quark masses m<sub>q</sub>=0. i.e. invariant against chiral transformation ↓ Noether's theorem Vector and Axial Vecor currents are conserved for m<sub>q</sub>=0

\* Literature E. D. Commins & P. H. Bucksbaum, Weak interactions of leptons and quarks



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Lagrangian density of QCD: 
$$L = \overline{q} (i\gamma^{\mu}D_{\mu} - M)q - \frac{1}{2}Tr(G^{\mu\nu}G_{\mu\nu}),$$
quark- gluon part
$$L = L^{0} + L^{mass}, \qquad L^{mass} = -\overline{q}Mq, \qquad M = \begin{pmatrix} m_{u} & 0 & 0\\ 0 & m_{d} & 0\\ 0 & 0 & m_{s} \end{pmatrix} \qquad \begin{array}{c} m_{u}/Mp \simeq 0.005\\ m_{d}/Mp \simeq 0.01\\ m_{s}/Mp \simeq 0.2 \end{array}$$

 $L^{o}$  has an extra symmetry related to conserved right- or left-handedness (chirality) of zero mass spin  $\frac{1}{2}$  particles. One introduces right- and left-handed quark fields:

$$q_{R,L} = \frac{1}{2} (1 \pm \gamma_5) q$$

Separate global unitary transformations of these fields leave L<sup>0</sup> invariant.

 $q_R \rightarrow \exp(i \Theta_R^a \frac{\lambda_a}{2}) q_R \quad q_L \rightarrow \exp(i \Theta_L^a \frac{\lambda_a}{2}) q_L, \quad \lambda_a: Gell-Mann matrices, flavour index a = 1,8$ 

Right- and left-handed components of **massless** quark fields do not commute.  $SU(3)_R \times SU(3)_L$  transformations leave the Lagrangian  $L^0$  invariant, this is called:

Chiral symmetry of QCD

Conserved currents

$$J_{R,a}^{\mu} = \overline{q}_{R} \gamma^{\mu} \frac{\lambda_{a}}{2} q_{R} \qquad J_{L,a}^{\mu} = \overline{q}_{L} \gamma^{\mu} \frac{\lambda_{a}}{2} q_{L} \qquad \text{with} \qquad V_{a}^{\mu} = J_{R,a}^{\mu} + J_{L,a}^{\mu} \qquad \text{and} \quad A_{a}^{\mu} = J_{R,a}^{\mu} - J_{L,a}^{\mu} = J_{R,a}^{\mu} + J_{L,a}^{\mu} \qquad \text{and} \quad A_{a}^{\mu} = \overline{q} \gamma^{\mu} \gamma_{5} \frac{\lambda_{a}}{2} q_{L} \qquad \text{with} \quad \text{the corresponding} \quad Q_{a}^{V} \qquad \text{and} \quad Q_{a}^{V} = J_{R,a}^{\mu} - J_{L,a}^{\mu} - J_{L,a}^{\mu} = J_{R,a}^{\mu} - J_{L,a}^{\mu} - J_{L,a}^{\mu} = J_{R,a}^{\mu} - J_{L,a}^{\mu} - J_{L,a}^{\mu} - J_{L,a}^{\mu} = J_{R,a}^{\mu} - J_{L,a}^{\mu} - J$$



Assumption: The axial and vector charges are conserved , they commute with the the hamiltonian  $H^{0} = H_{QCD}(m_{q}=0)$  $[H^{0}, Q_{V,a}]=0= [H^{0}, Q_{A,a}]$ Eigenstates:  $H^{0} | \psi \rangle = E | \psi \rangle$ Then the states  $Q_{V,a} | \psi \rangle$  and  $Q_{A,a} | \psi \rangle$  have the same energy but they should have opposite parity. Meson and baryon mass spectra show: this is NOT the case! Way out:  $Q_{A,a} | 0 \rangle \neq 0$ The ground state does not have the symmetry of the Lagrangian. Chiral symmetry is hidden (spontaneously broken).

Goldstone's theorem applies:

1: massless particle exist with quantum numbers of the field

2: Its coupling to the current does not vanish :

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$$A_{\lambda}(0) | \pi(q) > = i f_{\pi} q_{\mu} \neq 0$$

To summarize:

$$\mathbf{Q}_{A,a} \left| 0 \right> \neq 0 \qquad \mathbf{Q}_{V,a} \left| 0 \right> = 0$$

Axial vector symmetry is spontaneously broken. 8 massless Goldstone particles are associated with the axial charges:  $(\pi, K, \eta)$ They are not exactly massless as  $L^{mass}$  is not chirally invariant. Vector symmetry not broken spontaneously. Hadrons occur in nearly degenerate multiplets which constitute representations of SU(3)<sub>V</sub>.

\* Literature: J. Gasser, Light quark dynamics hep-ph/0312367



Masses of mesons and baryons of opposite parity.

#### Graphs taken from:

A. Hosaka, H. Toki, Quarks, Baryons and Chiral Symmetry, World Sc. 2001 PAUL SCHERRER INSTITUT

Finite value of  $m_{\pi}$  from explicit breaking of chiral symmetry by quark masses. Calculating the divergence of Noether currents (only flavour part u,d) from the

variation of 
$$L^{mass} = -\overline{q}Mq = -(\overline{q}_R Mq_L + \overline{q}_L Mq_L)$$
 under  $U_{L,R}$ 

 $\begin{array}{ll} \textbf{results in} & \partial_{\mu}V_{a}^{\mu} = i\overline{q} \Bigg[ M, \frac{\lambda_{a}}{2} \Bigg] q & \propto e.g.(m_{u} - m_{d}) \\ & \partial_{\mu}A_{a}^{\mu} = i\overline{q} \Bigg\{ M, \frac{\lambda_{a}}{2} \Bigg\} \gamma_{5}q, \propto e.g.(m_{u} + m_{d}) \ a = 1 & (I) \\ & \left[ Q_{a}^{1}, \overline{q} \gamma_{5} \lambda_{1}q \right] = -\langle 0 | \overline{u}u + \overline{d}d | 0 \rangle & (II) & \begin{array}{c} e.g. \ \text{St. Scherer} \\ & \text{hep-ph/0210398} \end{array}$ 

$$(I) + (II): \quad \left\langle 0 \left| \left[ Q_a^1, \partial_\mu A^\mu \right] 0 \right\rangle = -\frac{i}{2} (m_u + m_d) \left\langle 0 \left| \overline{u} u + \overline{d} d \right| 0 \right\rangle$$
Using PCAC results in: 
$$m_\pi^2 = -\frac{1}{2f_0^2} (m_u + m_d) \left\langle 0 \left| \overline{u} u + \overline{d} d \right| 0 \right\rangle + O(m_{u,d}^2, ...)$$
explicit spontaneous symmetry breaking

Mass term: 
$$m_u \overline{u}u + m_d \overline{d}d = \frac{m_u + m_d}{2} (\overline{u}u + \overline{d}d) + \frac{m_u - m_d}{2} (\overline{u}u - \overline{d}d)$$
  
Chiral symmetry breaking.  $\overline{d}d = \frac{m_u + m_d}{2} (\overline{u}u + \overline{d}d) + \frac{m_u - m_d}{2} (\overline{u}u - \overline{d}d)$ 

\* Literature: Conference contributions by H. Leutwyler (especially hep-ph/9409423)



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The most general, chirally invariant, Lagrangian density with a minimal number of derivative (equivalent to the "nonlinear  $\sigma$  model) reads:

$$L_{eff} = \frac{F_0^2}{4} Tr(\partial_{\mu} U \partial^{\mu} U^{\dagger}) \text{ with } U(x) = \exp(i\frac{\Phi(x)}{F_0}), \quad \Phi(x) = \sum_{a=1}^8 \lambda_a \Phi_a(x) \equiv \begin{pmatrix} \pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}\overline{K}^0 & -\frac{2}{\sqrt{3}}\eta \end{pmatrix}$$

 $L_{eff}$  is invariant under the global SU(3)<sub>L</sub>xSU(3)<sub>R</sub> transformations U $\rightarrow$  RUL<sup>†</sup>

$$L = \exp(-i\Theta_a^L \frac{\lambda_a}{2}), \quad R = \exp(-i\Theta_a^R \frac{\lambda_a}{2})$$

- $F^2{}_0/4\,$  is chosen to generate the standard form of the kinetic term  $\frac{1}{2}\,\partial_\mu \Phi_a\,\partial^\mu \Phi_a$
- Axial vector Noether current :

$$J_{A}^{\mu,a} = -i\frac{F_{0}^{2}}{4}Tr(\lambda_{a}\left[U,\partial^{\mu}U^{\dagger}\right]) = -i\frac{F_{0}^{2}}{4}Tr(\lambda_{a}\left\{1+\ldots,-i\frac{\lambda_{b}\partial^{\mu}\Phi_{b}}{F_{0}}+\ldots\right\}) = -F_{0}\partial^{\mu}\Phi_{a}+\ldots$$

$$\left\langle 0 \left| -F_0 \partial^{\mu} \Phi_a(x) \right| \Phi^b(p) \right\rangle = F_0 \partial^{\mu} \exp(-ipx) \delta^{ab} = ip^{\mu} F_0 \exp(-ipx) \delta^{ab} \qquad \mathsf{PCAC}$$

\* Literature: St. Scherer, in Advances in Nuclear Physics, Vol 27, 277 (2003), hep-ph/0210398



Including the mass term even provides predictive power (see below):

$$L_{eff} = \frac{F_0^2}{4} Tr(\partial_{\mu} U^{\dagger} \partial^{\mu} U) + \frac{F_0^2}{2} BTr(M(U + U^{\dagger}))$$

From this expression soft pion theorems can be derived.

It may be considered to be the starting point of "true" effective theories i.e. of a Lagrangians leading to renormalizable solutions and containing higher orders.

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For L_{eff} above::
Mass formulae of Gell-Mann Okubo can be derived
GOR relation is readily obtained: M^2 = (m_u + m_d)B
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B is proportional to the quark condensate:

It is the leading order parameter of the spontaneously broken chiral symmetry.

Corroborated by Kl4 decays in terms of the isoscalar scattering length  $a_0^0$  S. Pislak et al. Phys. Rev. Lett. 87 (2001) 221801.

G. Colangelo, J. Gasser, and H. Leutwyler, Phys. Rev. Lett 86 (2001) 5008

\* Monograph by St. Scherer (hep-ph/0210398)