

# High energy limit of QCD: Heavy quark production and the effective action

Martin Hentschinski

Hamburg University



# Outline

- 1 The BFKL-equation
- 2 Heavy quark production
- 3 The effective action of high energy QCD
- 4 Vertices in QCD Reggeon-field-theory
- 5 Conclusions

# Perturbative QCD at high energies

- squared center-of-mass energy  $s \gg$  all other occurring energy scales
- hard scale  $Q^2$ ,  $\alpha_s(Q^2) \ll 1$ ,  $s \gg Q^2 \gg \Lambda_{\text{QCD}}$
- large logarithm compensate for smallness of the coupling  $\alpha_s(Q^2) \ln s \sim 1$
- **Leading Logarithmic Approximation (LLA)**: resummation of perturbative terms  $(\alpha_s \ln s)^n$

## → BFKL equation

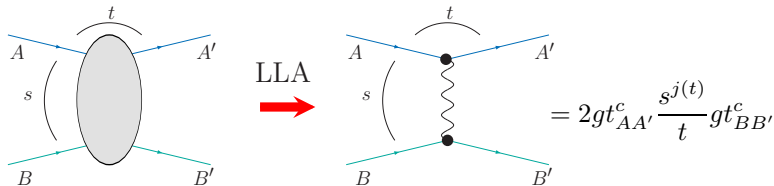
- LLA: [Balitsky, Fadin, Kuraev, Lipatov, 1975-1978]
- NLLA: [Fadin Lipatov, 1998; Ciafaloni, Camici 1998]

description strongly relies on the observation that the gluon reggeizes at high energies

# High energy QCD amplitudes: gluon reggeization and BFKL

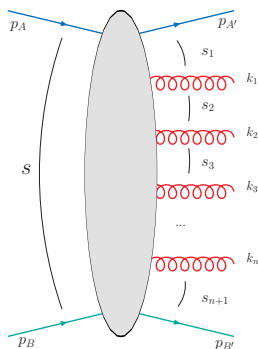
# Reggeization of high energy QCD amplitudes

Scattering of colored objects, resum within LLA all order corrections  
 [Fadin, Kuraev, Lipatov, 1975 - 1977]



- all interaction gathered in collective excitation of gluon field
  - Regge-pole with quantum number of the gluon, trajectory  $j(t) = 1 + \beta(t)$ ,  $j(t=0) = 1$
- the reggeized gluon**

# $n$ -gluon production amplitude within the LLA

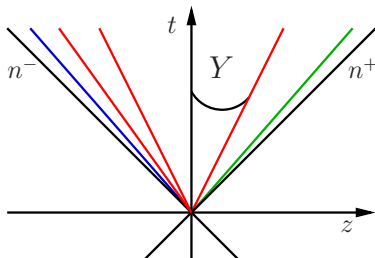


MRK: particles strongly ordered in rapidity

within LLA: production takes place in the Multi-Regge-Kinematics (MRK)

$$s = (p_A + p_B)^2 \gg s_r = (k_r + k_{r-1})^2 \gg -t_r,$$

$$t_r = -\mathbf{q}_r^2, k_r = q_{r+1} - q_r$$



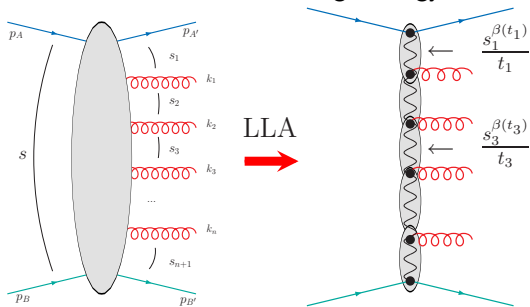
natural to define light-cone variables

$$k^\pm = n^\pm \cdot k$$

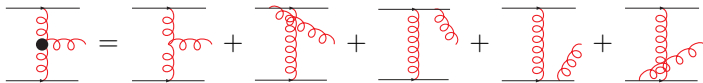
and rapidity  $Y = \ln(k^+/k^-)/2$

# $n$ -gluon production amplitude within the LLA

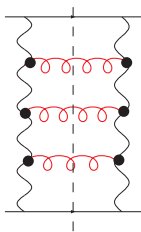
- Interaction mediated by the reggeized gluon  $\rightarrow$  effective degree of freedom in the  $t$ -channel of high energy QCD amplitudes



- interact with QCD particles by gauge invariant vertices contain 'non-local' contributions

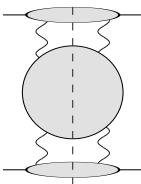


# The BFKL-equation: state of two reggeized gluons



$$\sigma(s) = \int d^2\mathbf{k}_a \int d^2\mathbf{k}_b \phi_A(\mathbf{k}_a) f\left(\frac{s}{s_0}, \mathbf{k}_a, \mathbf{k}_b\right) \phi_B(\mathbf{k}_b)$$

- BFKL Green's function  $f\left(\frac{s}{s_0}, \mathbf{k}_a, \mathbf{k}_b\right) = \int \frac{d\omega}{2\pi i} \left(\frac{s}{s_0}\right)^\omega f_\omega(\mathbf{k}_a, \mathbf{k}_b)$
- solution of BFKL-equation



$$\omega f_\omega(\mathbf{k}_a, \mathbf{k}_b) = \delta(\mathbf{k}_a - \mathbf{k}_b) + K_{\text{BFKL}}(\mathbf{k}_a, \mathbf{k}_b) \otimes f_\omega(\mathbf{k}_a, \mathbf{k}_b)$$

- BFKL-Kernel  $K_{\text{BFKL}}$  contains both real (production vertex) and virtual (gluon trajectory) contributions
- impact factors  $\phi_A(\mathbf{k}_a)$ ,  $\phi_B(\mathbf{k}_b)$ : coupling of BFKL-Green's function to external particles

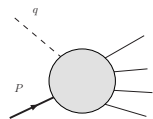


# BFKL and HERA + LHC phenomenology

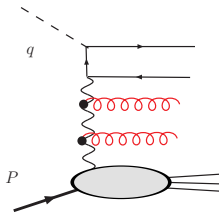
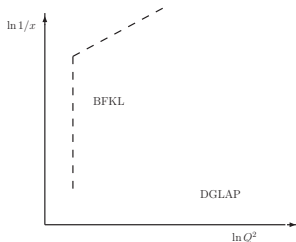
# BFKL predicts powerlike rise of total cross-section

$$\sigma_{\text{tot}} \sim s^\lambda, \quad \lambda = \frac{\alpha_s N_c}{\pi} 4 \ln 2, \quad \text{hard or LO BFKL Pomeron intercept}$$

→ connection to DIS and evolution equations



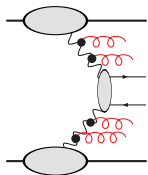
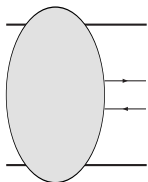
$$F_2(x, Q^2), \quad x \sim Q^2/s$$



HERA: observe strong rise of  $F_2$  for small  $x$  ( $\equiv$  large  $s$ )

→ believed to be driven by BFKL-evolution  
 ↔ all data well described by DGLAP-evolution

# BFKL at hadron collider: heavy quark production



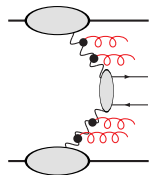
- heavy quark mass allows for perturbative treatment
- finite order calculations plagued by large logs which require resummation (threshold, small  $x$ )
- charm, bottom: proton probed at relatively small  $x \sim M^2/s$ , BFKL logarithms become important

previous studies:

- LO BFKL, inclusive study (total heavy flavor cross-section)
- good description of Tevatron data, large scale dependence (20 % - 50 %)

# Exclusive heavy quark production at the LHC

goal: test BFKL predictions at the LHC; ideal testing ground as



- high center of mass energy  $\rightarrow$  natural environment to apply BFKL

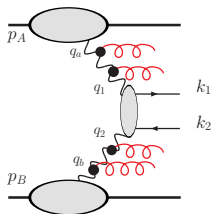
- high luminosity  $\rightarrow$  huge amount of heavy quarks produced

$\rightarrow$  allows for study of exclusive differential cross-sections

$\rightarrow$  stronger test than previous inclusive studies

- use NLO BFKL to increase of accuracy reduce large scale dependence
- give formulation that allows to keep additional gluon radiation exclusive

# The differential cross-section in Regge factorization



$s_1 = (p_A + q_2)^2 = ys$ ,  $s_2 = (p_B + q_1)^2 = xs$   
 $\alpha_i = \sqrt{\frac{M^2 + \mathbf{k}_i^2}{s}} e^{\eta_i}$ ,  $\beta_i = \sqrt{\frac{M^2 + \mathbf{k}_i^2}{s}} e^{-\eta_i}$ ,  $i=1,2$   
 $\eta_i$ ,  $\mathbf{k}_i$ ,  $i = 1, 2$  : rapidity/transverse momentum of produced heavy quarks  
 $M$  : heavy quark mass

$$\begin{aligned}
 \frac{d^6 \sigma}{d\eta_1 d\eta_2 d\mathbf{k}_1 d\mathbf{k}_2} &= \frac{1}{16\pi^2} \int dx \int dy \int \frac{d^2 \mathbf{q}_1}{(2\pi)^3} \int \frac{d^2 \mathbf{q}_2}{(2\pi)^3} \\
 &\left( \int d^2 \mathbf{q}_a \frac{\Phi_A(\mathbf{q}_a)}{\mathbf{q}_a^2} f\left(\frac{s_1}{s_{0,2}}, \mathbf{q}_a, \mathbf{q}_1\right) \right) |\Gamma_{RR \rightarrow Q\bar{Q}}(\mathbf{q}_1, \mathbf{q}_2; \mathbf{k}_1, \mathbf{k}_2, z)|^2 \\
 &\left( \int d^2 \mathbf{q}_b \frac{\Phi_B(\mathbf{q}_b)}{\mathbf{q}_b^2} f\left(\frac{s_2}{s_{0,2}}, \mathbf{q}_2, \mathbf{q}_b\right) \right) (2\pi)^4 \delta^{(2)}(\mathbf{q}_1 + \mathbf{q}_2 - \mathbf{k}_1 - \mathbf{k}_2) \\
 &\delta(x - \alpha_1 - \alpha_2) \delta(y - \beta_1 - \beta_2)
 \end{aligned}$$

# The transverse energy scale $s_{0,1}$

$$\text{BFKL Green's function: } f\left(\frac{s_1}{s_{0,1}}, \mathbf{k}_1, \mathbf{q}_1\right) = \int \frac{d\omega}{2\pi i} \left(\frac{s_1}{s_{0,1}}\right)^\omega f_\omega(\mathbf{k}_1, \mathbf{q}_1)$$

$f_\omega$  as solution of BFKL equation

- choice of energy scale  $s_{0,1}$  arbitrary for LO BFKL (source of large scale dependence), constrained at NLO
  - theory: BFKL/Multi-Regge-Kineatics: all (transverse) scales are of the same order of magnitude
- symmetric choice  $s_{0,1} = \sqrt{\mathbf{k}_1^2 \Sigma}$ , with  $\mathbf{k}_1^2, \Sigma$ : transverse scale of proton/heavy quark system,  $\Sigma = (k_1 + k_2)^2 + (\mathbf{k}_1 + \mathbf{k}_2)^2 = xys$

$$\text{for such a choice: } \left(\frac{s_1}{s_{0,1}}\right)^\omega = e^{\omega(y_A - y_{Q\bar{Q}})}$$

$y_A, y_{Q\bar{Q}}$ : rapidity of the proton/heavy quark system

# Unintegrated gluon density at LO and NLO

hadronic collision: transverse scales asymmetric  $\Sigma \gg \mathbf{q}_a^2$

here: natural choice:  $s_{0,1} = \Sigma, \quad \left( \frac{s_1}{s_{0,1}} \right)^\omega = x^{-\omega}$

→ leads to concept of unintegrated gluon density = probability to resolve an off-shell gluon carrying longitudinal momentum fraction  $x$  and transverse momentum  $k_T$

LO: choice of  $s_{0,1}$  not constrained

$$g^{\text{LO}}(x, \mathbf{k}) = \int \frac{d^2 \mathbf{q}}{2\pi} \frac{\Phi_P(\mathbf{q})}{\mathbf{q}^2} f(x, \mathbf{q}, \mathbf{k})$$

NLO: obtain modification of both proton impact factor and NLO Green's function

$$g^{\text{NLO}}(x, \mathbf{k}) = \int \frac{d^2 \mathbf{q}}{2\pi} \frac{\tilde{\Phi}_P(\mathbf{q})}{\mathbf{q}^2} \tilde{f}(x, \mathbf{q}, \mathbf{k})$$

NLO itself independent of choice, impact on higher, undetermined orders

# Collinear correction terms due to change of energy scales

Modification of impact factors

$$\tilde{\Phi}_P^{\text{NLO}}(\mathbf{q}) = \Phi_P^{\text{NLO}}(\mathbf{q}) - \frac{1}{2} \mathbf{q}^2 \int d^2 \mathbf{l} \frac{\Phi_P^{\text{LO}}(\mathbf{l})}{\mathbf{l}^2} K_{\text{BFKL}}^{\text{LO}}(\mathbf{l}, \mathbf{q}) \ln \frac{\mathbf{l}^2}{\mathbf{q}^2},$$

BFKL-Kernel (which yields the modified Green's function  $\tilde{f}(x_1, \mathbf{q}_a, \mathbf{q}_1)$ )

$$\tilde{K}_{\text{BFKL}}^{\text{NLO}}(\mathbf{l}_a, \mathbf{l}_b) = K_{\text{BFKL}}^{\text{NLO}}(\tilde{\mathbf{l}}_a, \mathbf{l}_b) - \frac{1}{2} \int d^2 \mathbf{l} K_{\text{BFKL}}^{\text{LO}}(\mathbf{l}_a, \mathbf{l}) K_{\text{BFKL}}^{\text{LO}}(\mathbf{l}, \mathbf{l}_b) \ln \frac{\mathbf{l}^2}{\mathbf{l}_b^2}.$$

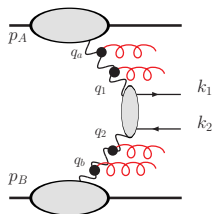
and production vertex

$$\begin{aligned} |\tilde{\Gamma}_{\text{RR} \rightarrow \text{Q}\bar{\text{Q}}}^{\text{NLO}}(\mathbf{q}_1, \mathbf{q}_2; \mathbf{k}_1, \mathbf{k}_2, z)|^2 &= |\Gamma_{\text{RR} \rightarrow \text{Q}\bar{\text{Q}}}^{\text{NLO}}(\mathbf{q}_1, \mathbf{q}_2; \mathbf{k}_1, \mathbf{k}_2, z)|^2 \\ &- \frac{\mathbf{q}_1^2}{2} \int d^2 \mathbf{l} K_{\text{BFKL}}^{\text{LO}}(\mathbf{q}_1, \mathbf{l}) \frac{1}{\mathbf{l}^2} \ln \left( \frac{\mathbf{l}^2}{(\mathbf{q}_2 + \mathbf{l})^2} \right) |\Gamma_{\text{RR} \rightarrow \text{Q}\bar{\text{Q}}}^{\text{LO}}(\mathbf{l}, \mathbf{q}_2; \mathbf{k}_1, \mathbf{k}_2, z)|^2 \\ &- \frac{\mathbf{q}_2^2}{2} \int d^2 \mathbf{l} |\Gamma_{\text{RR} \rightarrow \text{Q}\bar{\text{Q}}}^{\text{LO}}(\mathbf{q}_1, \mathbf{l}; \mathbf{k}_1, \mathbf{k}_2, z)|^2 \frac{1}{\mathbf{l}^2} \ln \left( \frac{\mathbf{l}^2}{(\mathbf{q}_1 + \mathbf{l})^2} \right) K_{\text{BFKL}}^{\text{LO}}(\mathbf{l}, \mathbf{q}_2) \end{aligned}$$

start of collinear/DGLAP evolution [Bartels, Sabio Vera, Schwennsen, 06]



# The differential cross-section with unintegrated gluon densities



$$\alpha_i = \sqrt{\frac{M^2 + \mathbf{k}_i^2}{s}} e^{\eta_i}, \quad \beta_i = \sqrt{\frac{M^2 + \mathbf{k}_i^2}{s}} e^{-\eta_i}, \quad i=1,2$$

$\eta_i, \mathbf{k}_i, i = 1, 2$  : rapidity/transverse momentum of produced heavy quarks

$M$  : heavy quark mass

$$\begin{aligned} \frac{d^6 \sigma}{d\eta_1 d\eta_2 d\mathbf{k}_1 d\mathbf{k}_2} &= \frac{1}{4} \int \frac{d^2 \mathbf{q}_1}{(2\pi)^2} g^{\text{NLO}}(\alpha_1 + \alpha_2, \mathbf{q}_1) \\ &\quad \times |\tilde{\Gamma}_{\text{RR} \rightarrow \text{Q}\bar{\text{Q}}}(\mathbf{q}_1, \mathbf{k}_1 + \mathbf{k}_2 - \mathbf{q}_1; \mathbf{k}_1, \mathbf{k}_2, z)|^2 \\ &\quad \times g^{\text{NLO}}(\beta_1 + \beta_2, \mathbf{k}_1 + \mathbf{k}_2 - \mathbf{q}_1) \end{aligned}$$

# The NLO unintegrated gluon density

$$g^{\text{NLO}}(x, \mathbf{k}) = \int \frac{d^2 \mathbf{q}}{2\pi} \frac{\tilde{\Phi}_P(\mathbf{q})}{\mathbf{q}^2} \tilde{f}(x, \mathbf{q}, \mathbf{k})$$

- proton impact factors is non-perturbative; needs to be modeled and fitted to i.e. HERA DIS data
- LO Green's function: known analytically
- NLO Green's function: analytic form unknown, solve numerically using Monte-Carlo event generator

to this end: solve BFKL-equation iteratively:

BFKL kernel given as sum of virtual (trajectory) and real part:

$$\tilde{K}_{\text{BFKL}}(\mathbf{q}_a, \mathbf{q}) = 2\omega(\mathbf{q}_a)\delta^{(2+2\epsilon)}(\mathbf{q}_a - \mathbf{q}) + K^{\text{real}}(\mathbf{q}_a, \mathbf{q})$$

idea: treat real (gluon emission) and virtual (gluon trajectory, no gluon emission) parts on different grounds [Andersen, Sabio Vera, 03-04]

# Iterative solution of the NLO BFKL-equation

i.e. rewrite BFKL-equation as

$$(\omega - 2\omega(\mathbf{q}_1)) \tilde{f}_\omega(\mathbf{q}_a, \mathbf{q}_1) = \delta^{(2)}(\mathbf{q}_a - \mathbf{q}_1) + \int d^2\mathbf{q} \tilde{K}_{\text{BFKL}}^{\text{real}}(\mathbf{q}_a, \mathbf{q}) \tilde{f}_\omega(\mathbf{q}, \mathbf{q}_1)$$

problem: real and virtual parts IR divergent, only sum is finite

→ introduce phase space slicing parameter  $\lambda$ , to obtain finite real and virtual parts

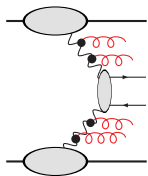
$$K_\lambda(\mathbf{q}_a, \mathbf{q}) = \omega_\lambda(\mathbf{q}) \delta^{(2)}(\mathbf{q}_a - \mathbf{q}) + K_\lambda^{\text{real}}(\mathbf{q}_a, \mathbf{q})$$

iterative solution of BFKL Green's function in  $(x, k_T)$  space given by

$$f(x, \mathbf{q}, \mathbf{k}) = x^{-\omega_\lambda(\mathbf{q})} \left\{ \delta^{(2)}(\mathbf{q} - \mathbf{k}) + \sum_{n=1}^{\infty} \prod_{i=1}^n \int d^2\mathbf{l}_i \left[ K_\lambda^{\text{real}}(\mathbf{q} + \sum_j^{i-1} \mathbf{l}_j, \mathbf{q} + \sum_j^i \mathbf{l}_j) \right. \right. \\ \left. \left. \times \int_{x_{i-1}}^1 \frac{dx_i}{x_i} x_i^{-\omega_\lambda(\mathbf{q} + \sum_{j=1}^i \mathbf{l}_j) + \omega_\lambda(\mathbf{q} + \sum_{j=1}^{i-1} \mathbf{l}_j)} \right] \delta^{(2)}(\mathbf{q} + \sum_{j=1}^n \mathbf{l}_j - \mathbf{k}), \right\}$$

next task: numerical implementation + fit proton impact factors to HERA data

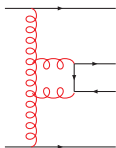
# NLO matrix elements



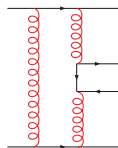
- NLO correction to heavy quark production vertex  $\Gamma^{\text{NLO}}$  currently unknown
- small  $x$ : main contribution from Green's function, restriction to LO production vertex good approximation
- prepare numerical study with  $\Gamma^{\text{LO}}$  alone
- Final goal: determine  $\Gamma^{\text{NLO}}$
- problem: scattering of *off-shell reggeized gluons*  $\rightarrow$  gauge invariance requires to include "non-local" diagrams

Sufficient: replace protons by quarks:

'local': low order reggeized gluon corresponds to single gluon



'non-local': reggeized gluon contains 2 gluon state

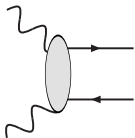


Can try to extract NLO matrix element from  $2 \rightarrow 4$  process at 1-loop  
 $\rightarrow$  systematic approach to calculate matrix elements: **effective action**

# The gauge invariant effective action of high energy QCD

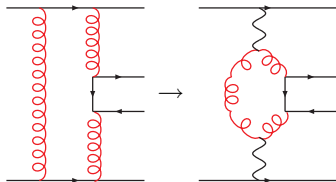
# Systematic treatment: effective action

basic idea of the effective action: introduce new 'induced' vertices that allow to evaluate matrix elements *local in rapidity*



calculation of  $g^* g^* \rightarrow Q\bar{Q}$  factorizes from scattering hadron, quark, gluon ...

achieve this by redistribution of diagrams in the  $\mathfrak{8}_A$ -sector



→ supplement QCD Lagrangian by induced term to achieve redistribution

# The gauge invariant effective action

[Lipatov, 1995]

$$S_{\text{eff}} = \int d^4x [\mathcal{L}_{\text{QCD}}(v_\mu, \psi) + \mathcal{L}_{\text{ind}}(v_\mu, A_+, A_-)]$$

- describes interaction of reggeized gluons ( $A_\pm^a$ ) with quark- ( $\psi$ ) and gluon-fields ( $v_\mu$ )
- $A_\pm^a$  invariant under local gauge transformations, but transforms globally in the adjoint representation of  $SU(N_c)$
- new induced part is given by

$$\mathcal{L}_{\text{ind}}(v_\pm, A_\pm) = \text{tr} [(A_-(v) - A_-) \partial_\sigma^2 A_+] + \text{tr} [(A_+(v) - A_+) \partial_\sigma^2 A_-]$$

$$\text{where } A_\pm(v) = -\frac{1}{g} \partial_\pm U(v_\pm) = v_\pm - gv_\pm \frac{1}{\partial_\pm} v_\pm + g^2 v_\pm \frac{1}{\partial_\pm} v_\pm \frac{1}{\partial_\pm} v_\pm - \dots$$

- yields the induced vertices and reggeized gluon propagator

# Gauge invariance and locality in rapidity

effective action factorizes amplitude into gauge invariant pieces, local in rapidity

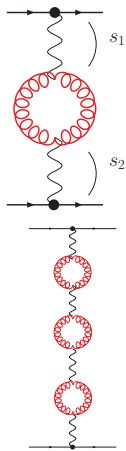
- \* interaction between particles and reggeized gluons restricted to small rapidity interval  $\Delta Y < \eta$
- \* non-local interaction  $\Delta Y > \eta$  mediated by gauge invariant reggeized gluon field

Effective action ready to calculate? NO!

- need additional rules and a supplementary term



# The trajectory of the reggeized gluon



- exchange of reggeized gluon only meaningful if  $s_1, s_2$  are large
- simple cut-off regularization not sufficient  $\rightarrow$  improved regularization method:

$$\lim_{\nu \rightarrow 0} \int_{-i\infty}^{i\infty} \frac{d\omega}{4\pi i} \frac{1}{\omega + \nu} \left[ \left( \frac{-s_1 - i\epsilon}{\Lambda} \right)^\omega + \left( \frac{s_1 - i\epsilon}{\Lambda} \right)^\omega \right] = \theta \left( \left| \frac{s_1}{\Lambda} \right| - 1 \right)$$

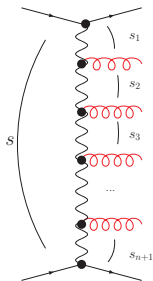
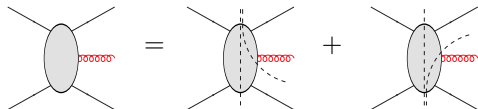
- allows to take into account imaginary part of the reggeized gluon
- Reobtain full reggeized gluon within the LLA with correct real and imaginary part

$$\mathcal{M}^{\text{tree}}(s, t) \int \frac{d\omega}{4\pi i} \frac{1}{\omega - \beta(t)} \left[ \left( \frac{-s - i\epsilon}{s_R} \right)^\omega + \left( \frac{s - i\epsilon}{s_R} \right)^\omega \right]$$

# Phase structure of the reggeized gluon

**Production amplitudes:** Constraint on discontinuities/imaginary parts due to the Steinmann relations: *no simultaneous discontinuities in overlapping channels*

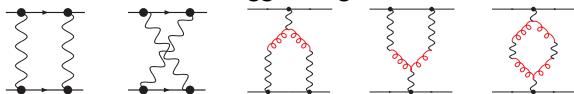
Example:  
 $2 \rightarrow 3$  production  
 amplitude:



- LLA: both production vertex and reggeized gluon are real
- beyond: check by 1-loop correction to production vertex, that overall phase structure is correct - positive result

# BFKL-equation: 2 reggeized gluons exchange

To reobtain the BFKL-equation from the effective action, consider  $t$ -channel state of 2 reggeized gluons:



- Diagrams contain contribution counted already in the trajectory



→ remove overcounted terms by a supplementary term to the effective Lagrangian + yields convergence of integrals

$$\tilde{\mathcal{L}}_{\text{eff}} = \int d^4x [\mathcal{L}_{\text{QCD}}(v_\mu, \psi) + \mathcal{L}_{\text{ind}}(v_\pm, A_\pm) - 2\mathcal{L}_{\text{ind}}(A_\pm, A_\pm)]$$

- not in conflict with the original derivation of the effective action
- allows to reobtain (LLA) BFKL-equation from effective action

# Unitarization of the BFKL-Pomeron

Goals of effective action two-fold:

- I Determination of  $N^x LO$ -corrections to the BFKL-equation and corresponding interactions with external particles
- II systematic way to unitarize the BFKL-Pomeron (= two reggeized gluon state in color singlet)

Why (II)? powerlike rise predicted by BFKL-Pomeron for total cross-section would finally violate unitarity, if infinitely continued

→ expect occurrence of unitarity corrections for certain value of  $s$

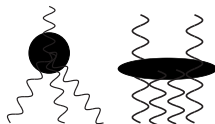
# Effective action II: Transition vertices in QCD Reggeon-field-theory

# Vertices in QCD Reggeon field theory

- most general approach: reformulate QCD as a  $2 + 1$  dimensional Reggeon Field Theory (RFT) with both  $s$ -channel and  $t$ -channel unitarity build in
- know (from 'old' Regge theory), that a RFT of QCD exists [Gribov '68, ...], however only some of its elements known so far
- BKP: states of  $n$  reggeized gluons [Bartels; Kwiecinski, Praszalowicz, '80]
- Number changing elements
  - \* ( $\triangleq$  QFT)



- \* ( $\triangleq$  QM of  $n$  particles)
- \* integrable in large  $N_c$  limit [Lipatov, '94; Faddeev, Korchemsky, '95]



- \* Reggeon field theory
- Use the effective action to derive these vertices

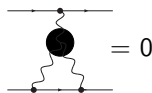
# Transition vertices and signature conservation

Also helpful for deeper understanding of the effective action

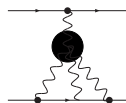
- proper treatment of higher order induced vertices  
 $A(v_+) = v_+ - v_+ \frac{1}{\partial_+} v_+ + v_+ \frac{1}{\partial_+} v_+ \frac{1}{\partial_+} v_+ - \text{color and poles}$
- test the proposed supplementary term to the Lagrangian
- additional conserved quantum number at high energies: signature  
 $\tau = \pm 1: \mathcal{M}^{\tau=\pm}(-s, t) = \pm \mathcal{M}^{\tau=\pm}(s, t)$
- occurs naturally in the effective action

→ transition from odd number state (negative signature) to even number state (positive signature) need to vanish inside elastic amplitude

- find:  $U_{1 \rightarrow 2}$  and  $U_{2 \rightarrow 3}$  vanish if inserted into elastic amplitude due to symmetry properties of loop integrals



- obtain non-zero transition  $U_{1 \rightarrow 3}$ ,  $U_{2 \rightarrow 4}$  inside the elastic amplitude



# The 1 – 3 transition in transverse momentum space

obtain as a result

$$U_{1 \rightarrow 3}^{a; b_1 b_2 b_3}(\mathbf{q}; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = \text{tr} (T^a T^{b_1} T^{b_2} T^{b_3}) U(\mathbf{q}; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) \\ + \text{tr} (T^a T^{b_2} T^{b_1} T^{b_3}) U(\mathbf{q}; \mathbf{k}_2, \mathbf{k}_1, \mathbf{k}_3) + \text{tr} (T^a T^{b_1} T^{b_3} T^{b_2}) U(\mathbf{q}; \mathbf{k}_1, \mathbf{k}_3, \mathbf{k}_2)$$

with


$$U(\mathbf{q}; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3) = g^4 \frac{\mathbf{q}^2}{6} \int \frac{d^2 l}{(2\pi)^3} \left[ \frac{\mathbf{q}^2}{l^2 (\mathbf{q} - l)^2} - \frac{(\mathbf{q} - \mathbf{k}_3)^2}{l^2 (\mathbf{q} - l - \mathbf{k}_3)^2} + \frac{\mathbf{k}_1^2}{l^2 (l - \mathbf{k}_1)^2} \right]$$

- symmetric under simultaneous exchange of transverse momenta and color
- $T_{cc'}^b = i f^{bc'c}$   $SU(N_c)$  generator in the adjoint representation



# The $2 \rightarrow 4$ transition vertex of the effective action

$$U_{2 \rightarrow 4}^{a_1 a_2; b_1 b_2 b_3 b_4}(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = U_{\text{connect}}^{a_1 a_2; b_1 b_2 b_3 b_4}(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) \\ + U_{\text{disconnect}}^{a_1 a_2; b_1 b_2 b_3 b_4}(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4)$$

$$U_{\text{disconnect}}^{a_1 a_2; b_1 b_2 b_3 b_4}(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = \text{Diagram} + \text{perm}$$


$$U_{\text{connect}}^{a_1 a_2; b_1 b_2 b_3 b_4}(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = \\ \sum_{j_1, \dots, j_4} (T^{b_{j_1}} T^{b_{j_2}} T^{b_{j_3}} T^{b_{j_4}})_{a_1 a_2} U_C(\mathbf{l}_{i_1}, \mathbf{l}_{i_2}; \mathbf{k}_{j_1}, \mathbf{k}_{j_2}, \mathbf{k}_{j_3}, \mathbf{k}_{j_4})$$

$$U_C(\mathbf{l}_1, \mathbf{l}_2; \mathbf{k}_1, \mathbf{k}_2, \mathbf{k}_3, \mathbf{k}_4) = g^4 l_1^2 l_2^2 \left[ \frac{1}{24} \frac{(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4)^2}{l_1^2 l_2^2} - \frac{1}{12} \frac{(\mathbf{k}_1 + \mathbf{k}_2 + \mathbf{k}_3)^2}{l_1^2 (\mathbf{l}_2 - \mathbf{k}_4)^2} \right. \\ - \frac{1}{12} \frac{(\mathbf{k}_2 + \mathbf{k}_3 + \mathbf{k}_4)^2}{l_2^2 (\mathbf{l}_2 - \mathbf{k}_1)^2} + \frac{1}{8} \frac{(\mathbf{k}_2 + \mathbf{k}_3)^2}{(\mathbf{l}_1 - \mathbf{k}_1)^2 (\mathbf{l}_2 - \mathbf{k}_4)^2} + \frac{1}{24} \frac{(\mathbf{k}_1 + \mathbf{k}_2)^2}{l_1^2 (\mathbf{l}_2 - \mathbf{k}_3 - \mathbf{k}_4)^2} \\ \left. - \frac{1}{24} \frac{\mathbf{k}_2^2}{(\mathbf{l}_2 - \mathbf{k}_3 - \mathbf{k}_4)^2 (\mathbf{l}_1 - \mathbf{k}_1)^2} + \frac{1}{24} \frac{(\mathbf{k}_3 + \mathbf{k}_4)^2}{l_2^2 (\mathbf{l}_1 - \mathbf{k}_1 - \mathbf{k}_2)^2} - \frac{1}{24} \frac{\mathbf{k}_3^2}{(\mathbf{l}_1 - \mathbf{k}_1 - \mathbf{k}_2)^2 (\mathbf{l}_2 - \mathbf{k}_4)^2} \right]$$

# The state of 4 reggeized gluons

- The transition vertex  $U_{2 \rightarrow 4}$  has no good IR behavior by itself, not even in the overall color singlet
- Expect this only for the complete state of four reggeized gluons

for overall color singlet find following decomposition

$$\Sigma \left[ \text{Diagram 1} + \text{Diagram 2} \right] = \left[ \text{Diagram 3} + \text{Diagram 4} + \text{perm.} + \text{Diagram 5} \right]$$

- Additional reggeization in the symmetric sector
- $V_{2 \rightarrow 4}$  is the transition vertex of [Bartels, Wüsthoff, 1995]: infrared finite, 'triple Pomeron vertex'

# Summary and Conclusions

- heavy flavor production :
  - set theoretical framework for heavy flavor production within NLO  $k_T$  factorization
  - increase accuracy and reduce scale dependence by NLO unintegrated gluon densities
  - iterative solution of BFKL-Green's function that allows for numerical solution
  - outlook: perform numerical implementation and obtain unintegrated gluon densities from fit to HERA data
- effective action:
  - requires supplementary rules + new term
  - allow rederivation of LLA reggeized gluon and BFKL-equation
  - derived transition vertices of QCD Reggeon-field-theory and showed agreement with 'triple Pomeron vertex'
  - outlook: make action ready for NLO calculation